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6  
7 **Investigation of Individual Perceptions towards BIM Implementation-a**  
8 **Chongqing Case Study**

9 **Abstract**

10 **Purpose** –This research targeted on individual perceptions of BIM practice in terms of BIM  
11 benefits, critical success factors (CSFs), and challenges in Chongqing which represented the  
12 less BIM-developed metropolitan cities in China.

13 **Design/Methodology/Approach** –Adopting a questionnaire-survey approach followed by  
14 statistical analysis, the study further divided the survey population from Chongqing into  
15 subgroups according to their employer types and organization sizes. A further subgroup  
16 analysis adopting statistical approach was conducted to investigate the effects of employer  
17 type and organization size on individual perceptions.

18 **Findings** –Subgroup analysis revealed that governmental employees held more conservative  
19 and neutral perceptions towards several items in BIM benefit, CSFs, and challenges. It was  
20 inferred that smaller organizations with fewer than 100 full-time employees perceived more  
21 benefits of BIM in recruiting and retaining employees, and considered more critical of  
22 involving companies with BIM knowledge in their projects. **Originality/value** –This study  
23 contributed to the body of knowledge in managerial BIM in terms that: 1) it extended the  
24 research of individual perceptions towards BIM implementation by focusing on less BIM-  
25 mature regions; 2) it contributed to previous studies of influencing factors to BIM practice-  
26 based perceptions by introducing factors related to organization type and sizes; and 3) it

27 would lead to future research in establishing BIM climate and culture which address  
28 perceptions and behaviors in BIM adoption at both individual and organizational levels.

29 **Author Keywords:** Building information modeling (BIM); China; BIM practice; Individual  
30 perceptions; Managerial BIM

## 31 **1. Introduction**

32 BIM (i.e., Building Information Modeling), as the emerging digital technology, is  
33 undergoing a rapid growth in the global architecture, engineering, and construction (AEC)  
34 industry. China is one of the largest AEC markets worldwide, and it accounted for nearly half  
35 of Asia-Pacific industry revenue (MarketLine, 2014). Accompanying the growth of AEC  
36 market is the increasing demand for BIM application in China (Jin et al., 2017a). Promoting  
37 BIM in AEC projects has become a national policy in China since 2011 (Jin et al., 2015).  
38 Although BIM has displayed its impacts on industry practice (Azhar et al. 2012; Francom and  
39 Asmar, 2015), a key concern worth investigating was how industry professionals perceived  
40 the impact of BIM on their business now and in the future (Jin et al., 2017a), as perceptions  
41 have a direct effect in behaviors (Dijksterhuis and Bargh, 2001). So far, most existing  
42 managerial studies in BIM have focused on the industry, company, or project levels (e.g.,  
43 Said and Reginato, 2018), but the individual level perceptions have not been sufficiently  
44 studied (Howard et al., 2017). Factors that affect individual perceptions such as AEC  
45 professions and BIM experience levels (Jin et al., 2017b) have not been sufficiently  
46 investigated. Besides individual BIM competency, the organizational effects on individual  
47 perceptions should also be noticed. For instance, to promote BIM as the shared digital tool in  
48 the AEC industry, it is critical to accommodate all sizes of organizations that implement BIM  
49 such as small and medium sized enterprises (SMEs) (Lam et al., 2017). Succar et al. (2013)  
50 identified organizational capability as one of the factors that affected the BIM  
51 implementation. Continued from the study of Succar et al. (2013), researchers believe that

52 influence factors to individual perceptions towards BIM adoption include also employer type  
53 and organization size.

54 According to Ministry of Housing and Urban-Rural Development (MHURD) of China  
55 (2017a), Chongqing was listed as one of the three provinces/municipalities in the mainland  
56 China without any BIM-involved construction projects in the second quarter of 2017.  
57 Among the totally 32 provinces/municipalities in China, there were a total of 616  
58 construction projects reported applying BIM, or on average 19 BIM projects per  
59 province/municipality. As the largest metropolitan city in the inland of China with booming  
60 construction market, Chongqing has its own large potential for BIM implementation. The  
61 researchers' earlier investigation of Chongqing's AEC industry indicated that there had been  
62 a strong desire from the authority's perspective to promote BIM implementation in  
63 Chongqing, and to catch up with the national strategy in BIM movement. Previous studies of  
64 BIM movement, practice, and implementation in China, such as Ding et al. (2013), Cao et al.  
65 (2016), and Jin et al. (2017a), have focused more on these BIM-leading regions such as  
66 Canton and Shanghai. As stressed by Jin et al. (2017b) and Xu et al. (2018), more Chinese  
67 regions or municipalities are less developed with BIM practice. China is still in its early stage  
68 of BIM movement (Cao et al., 2016). There have not been sufficient studies on investigating  
69 BIM implementation in these less-developed regions (e.g., Chongqing).

70 Compared with other studies related to BIM adoption in other developing AEC markets  
71 (e.g., Masood et al., 2013; Juszczak et al., 2015; and Ahuja et al., 2018), and adopting  
72 Chongqing as the case, this research differs from these previously conducted BIM managerial  
73 studies both in China and overseas in terms that: 1) it addresses the BIM movement in less  
74 BIM-ready regions which contribute to the majority of China's AEC industry revenue (Xu et  
75 al. 2018); 2) it incorporates the two main influencing factors, namely employer type and  
76 organization size, in their effects in AEC practitioners' perceptions; 3) it leads to further

77 discussion of how AEC practitioners from less BIM-developed regions perceive BIM's  
78 benefits, critical success factors (CSFs), and challenges, as compared to their counterparts  
79 from more BIM-mature regions. This study contributes to the body of knowledge in  
80 managerial BIM targeting on the regional difference of BIM movement, which was defined  
81 by Xu et al. (2018) as one indicator of BIM climate describing individual perceptions of BIM  
82 implementation and relevant attitudes. This study also extends the previous research of Jin et  
83 al. (2017a) which focused on two individual-level factors (i.e., AEC profession and BIM  
84 experience level) by incorporating the organization-related factors (i.e., organization type and  
85 size) in their influences on individual perceptions. Scholarly, it leads to more future research  
86 in building the knowledge framework of various influence factors to effective BIM adoption;  
87 practically, the current research provides insights and guides for stakeholders including  
88 policy makers in promoting regional and local BIM practice, based on AEC practitioners'  
89 perceptions towards BIM.

## 90 **2. Background**

### 91 **2.1. Motivations in adopting BIM**

92 BIM enables creations of accurate virtual models and supports further activities in the  
93 project delivery process, and it is hence one of the most promising developments in the AEC  
94 industry (Eastman et al., 2011). It has been applied in assisting multiple AEC activities, such  
95 as cost estimate (Ren et al., 2012), schedule management (Tserng et al., 2014), safety risk  
96 assessment and management (Skibniewski, 2014), visualized construction management (Lin,  
97 2014), construction quality inspection (Lin et al., 2016), and building performance analysis  
98 (Kim and Yu, 2016). Previous studies (Migilinskas et al., 2013; Ahn et al., 2015; Lin et al.,  
99 2016; Zhang et al., 2016; Poirier et al., 2017; Ustinovichius et al., 2017; Gholizadeh et al.,  
100 2018) have recognized these multiple benefits brought by BIM, including cost savings, 3D  
101 visualization, construction planning and site monitoring, reduction of design errors and

102 rework, enhanced project communication, decreased project duration, and improved multi-  
103 party collaboration. The enhanced interoperability of BIM software could save up to two  
104 thirds of annual costs paid by stakeholders (Furieux and Kivvits, 2008). Contractors were  
105 reported by Khanzode, et al. (2008) having reduced 1% to 2% of cost of MEP systems in  
106 large healthcare projects through BIM. According to Becerik-Gerber and Rice (2010) and  
107 Cheung et al. (2012), other project parties including software vendors have also obtained  
108 promising returns on investment in BIM.

## 109 **2.2. Critical success factors and challenges in BIM implementation**

110 Multiple CSFs matter to achieve these aforementioned benefits. These CSF include but  
111 are not limited to: collaborative environment to manage design changes (Eadie et al., 2013;  
112 Saoud et al., 2017; Kumar, 2018), policy interventions (Succar and Kassem, 2015; Kassem  
113 and Succar, 2017), BIM expertise within project teams (Ku and Taiebat, 2011; Kashiwagi et  
114 al., 2012; Eadie et al., 2013; Cao et al., 2016), project location, type and nature (Cao et al.,  
115 2016), project budget (Bazjanac, 2006), BIM governance solution (Hadzaman et al., 2018),  
116 legal issues and contract involving BIM usage (Oluwole, 2011; Race, 2012; Kumar and  
117 Hayne, 2017), adoption of BIM in multiple levels including individual level, company level,  
118 and project level (Samuelson and Björk, 2013), as well as client knowledge and motivation in  
119 adopting BIM (Vass and Gustavsson, 2017).

120 There have also been multiple challenges that had been identified from previous studies,  
121 such as lack of competent project participants (Migilinskas et al., 2017), difficult predication  
122 of BIM effects (Juan et al., 2017), limited training and technology support (Chien et al., 2014;  
123 Juan et al., 2017), insufficient policy and strategy development to cope with BIM  
124 technological movement (Lin, 2015). Other challenges or barriers encountered in BIM  
125 practice contain insufficient evaluation of BIM value, resistance at higher management levels  
126 due to cultural resistance, lack of demand from the client, higher initial investment,

127 organizational change and adjustment in management pattern, and insufficient understanding  
128 of BIM technology or practicability (He et al., 2012; Sackey et al., 2014; Tang et al., 2015;  
129 Lee and Yu, 2016; Çıdık et al., 2017). Ahmed et al. (2017) further stated that the drivers and  
130 factors for BIM adoption, especially in the organizational level, had been disjointedly  
131 dispersed. To address these shortcomings, Ahmed et al. (2017) proposed an exhaustive set of  
132 drivers and key factors aiming to develop a conceptual model for BIM adoption in  
133 organizations.

### 134 2.3. BIM adoption in China

135 Although China's construction market could see BIM benefits, it is restricted to the own  
136 structural barriers (McGraw-Hill Construction, 2014). Despite that BIM could be the  
137 breakthrough in China's building industry, the movement of BIM faces these challenges due  
138 to the lack of sufficiently-developed standards, weak interoperability, and difficulties in  
139 applying BIM throughout the project life cycle (He et al., 2012). Despite of these challenges,  
140 Chinese governmental authorities have been moving forward the policy, guidelines, and  
141 standards to promote BIM usage in its AEC industry in more recent years (Jin et al., 2015).  
142 Recently MHURD of China (2017b) approved the *BIM Standard for Construction*  
143 *Application* and it took effect in the beginning of 2018.

144 Despite the fast BIM movement in China in terms of both standard development and  
145 industry practice, there are regional differences in China's BIM practice nationwide (Jin et al.,  
146 2017b). Xu et al. (2018) further proposed the concept of BIM climate reflecting the regional  
147 BIM practice and AEC practitioners' perceptions towards BIM. A few regions have been the  
148 forerunners of BIM practice, including Beijing, Shanghai, and Canton (Jin et al., 2015). For  
149 example, Shanghai Housing and Urban-Rural Construction and Management Committee  
150 (SHURCMC, 2017) reported that 29% of new AEC projects in Shanghai had adopted BIM,  
151 and 32% of Shanghai-based AEC firms have achieved a higher maturity level of BIM

152 practice compared to other competitors in the local AEC market in 2016. The Committee  
153 further concluded that Shanghai had been in the leading level of BIM implementation in  
154 China. In contrast, Chongqing, as another similar-sized municipality, was identified by  
155 MHURD (2017a) as one of the few less BIM-active regions. A comprehensive understanding  
156 of local BIM practice and culture was imperative for policy making and further promoting  
157 local BIM practice (Xu et al., 2018).

### 158 **3. Research Methodology**

159 This research adopted questionnaire survey followed by statistical analysis in  
160 investigating the individual perceptions of BIM practice in Chongqing.

#### 161 **3.1. Data Collection**

162 Questionnaire survey has been a widely adopted research method in the field of  
163 construction engineering and management. The questionnaire was initiated by the research  
164 team from September to October in 2017. It included two major parts. The first part focused  
165 on the background information of survey participants from Chongqing's AEC industry,  
166 including their employer type (e.g., contractor, consulting, and engineering design firm, etc.)  
167 and organization size measured by number of full-time employees. By adopting the multi-  
168 choice question, they were also asked to select the areas that BIM could be applied in, such as  
169 cost estimate, site management, and 3D visualization, etc. The second part of the  
170 questionnaire was adapted from a similar study conducted by Jin et al. (2017a). It covered  
171 three major sections (i.e., benefits of adopting BIM, critical factors for successful BIM  
172 practice, and challenges encountered in BIM practice) adopting the Likert-scale format. The  
173 initiated questionnaire underwent peer review process by being delivered to five local AEC  
174 professionals between November and December of 2017. Their feedback and comments were  
175 addressed to finalize the questionnaire and to ensure that these questions were clear without  
176 vagueness to AEC professionals in Chongqing.

177 The data collection process followed the procedures described by Cao et al. (2016) and  
178 Jin et al. (2017b), with various ways to reach potential survey participants, including local  
179 BIM-related workshops, events, seminars, and on-line survey to those who had been working  
180 with BIM or involved in BIM implementation (e.g., policy makers related to BIM). Starting  
181 in January 2018, the questionnaire was delivered to potential participants. Guidelines were  
182 provided to each participant by explaining the purpose of the study, the anonymous nature of  
183 the survey, and what the survey outcomes would be used for. Potential participants were also  
184 advised to either decline the survey request or to provide the inputs to the best of their  
185 knowledge.

### 186 **3.2. Statistical analysis**

187 Following the questionnaire survey, multiple statistical methods were adopted to analyze  
188 the survey data, including the Relative Importance Index (*RII*) to rank multiple Likert-scale  
189 items within each BIM perception-based section, internal consistency adopting Cronbach's  
190 alpha value, and one-way Analysis of Variance (ANOVA) accompanied by post-hoc analysis.

#### 191 **3.2.1. *RII***

192 For each of the three sections related to individual perceptions towards BIM practice (i.e.,  
193 benefits, CSFs, and challenges), *RII* was calculated for every individual item within each  
194 section following the same equation adopted from previous studies (e.g., Tam, 2009; Eadie et  
195 al., 2013). It was used to measure the relative importance of individual items within each  
196 BIM-related section.

#### 197 **3.2.2. *Internal consistency analysis***

198 Cronbach's alpha (Cronbach, 1951) was adopted to measure the internal consistency of  
199 items in each section of perceptions on BIM. Its value ranges from 0 to 1, and a higher value  
200 closer to 1 would indicate that a survey participant who selects one numerical Likert-scale  
201 score to one item would be more likely to assign a similar score to other items within the



202 same section. Usually a Cronbach's alpha value from *0.70* to *0.95* indicates acceptable  
203 internal inter-relatedness (Nunnally and Bernstein, 1994; Bland and Altman, 1997). Besides  
204 the overall Cronbach's alpha value, each individual item is computed with its own value. The  
205 individual Cronbach's alpha value lower than the overall one would indicate that this given  
206 item contributes positively to the internal consistency. Otherwise, an individual value higher  
207 than the overall one would mean that survey participants tend to have different perceptions  
208 towards this given item as they would do to others. Each individual Cronbach's alpha value  
209 has a corresponding item-total correlation which measures the correlation between this given  
210 item and the remaining items within the same section of BIM-based perception.

### 211 ***3.2.3. Subgroup analysis***

212 The whole survey sample was divided into subgroups according to their employer types  
213 (e.g., contractor) and organization size measured by number of full-time employees (e.g.,  
214 between *50* and *100* employees). ANOVA, as the parametric method, was adopted to analyze  
215 the subgroup differences in perceiving BIM benefits, CSFs, and challenges. Parametric  
216 methods have been adopted in previous studies in the field of construction management (e.g.,  
217 (e.g., Aksorn and Hadikusumo, 2008; Meliá et al., 2008; Jin et al., 2017b), especially for  
218 Likert-scale questions. The superior performance of parametric methods over non-parametric  
219 approach was discussed by Sullivan and Artino (2013). Carifio and Perla (2008) and Norman  
220 (2010) showed the robustness of parametric methods in survey samples that were either  
221 small-sized or not normally distributed. Compared to previous studies such as Tam (2009),  
222 the sample size of *100* in this study was considered fair.

223 Based on the null hypothesis that subgroups divided according to employer type or  
224 organization size had consistent perceptions towards the given item of perception towards  
225 BIM, a *F* value and a corresponding *p* value were computed for each individual item. Setting  
226 the level of significance at *5%*, a *p* value lower than *0.05* would decline the null hypothesis

227 and suggest the alternative hypothesis that either employer type or organization size affects  
228 survey participants' perceptions towards the given BIM item. Following ANOVA, post-hoc  
229 tests were conducted to further identify the significant differences between each pair of  
230 subgroups. In this study, Fisher Least Significant Difference (LSD) was adopted as the post-  
231 hoc analysis tool. Fisher LSD is used only when the null hypothesis in ANOVA is rejected  
232 and it enables direct comparisons between two means from a pair of subgroups (Statistics  
233 How to, 2018).

## 234 **2. Results**

235 From 507 questionnaires sent through site visits and on-line survey, a total of 100 valid  
236 responses were received in Chongqing by the end of March 2018. The survey participants  
237 had an average BIM usage experience of 6 months, with the maximum experience of 84  
238 months. Survey participants from governmental authorities generally had no BIM usage  
239 experience. But similar to others with little practical experience of BIM, all of them had been  
240 working with other professionals in BIM-involved projects. Survey data were summarized in  
241 these following sections, namely background information of survey participants, as well as  
242 their perceptions on benefits of BIM, CSFs of BIM practice, and challenges encountered in  
243 BIM practice.

### 244 **2.4. Background information of survey participants**

245 The survey population is summarized according to their employer or organization type,  
246 and organization size defined by numbers of full-time employees. Figure 1 displays the  
247 percentage of each subgroup.

248 <Insert Figure 1 here>

249 It is seen in Figure 1 that survey participants came from A/E (i.e., architecture and  
250 engineering) design firm, contractor, consulting firm, quality inspection, governmental  
251 authority, and others. Other employer types included design-build firms, BIM software

252 developers, urban planning companies, business developer or entrepreneur, and construction  
253 material suppliers, etc. Around 60% of the participants had their organization more than 100  
254 full-time employees. Respondents were asked of the multi-choice question regarding BIM's  
255 application areas (i.e., functions). Figure 2 displays the percentages of respondents that  
256 selected each given BIM function.

257 <Insert Figure 2 here>

258 According to Figure 2, a significantly higher percentage of respondents (i.e., 73%)  
259 selected 3D visualization as one BIM function. The significantly higher percentage of  
260 respondents in selecting 3D visualization was consistent with the finding from Jin et al. (2015)  
261 that many Chinese AEC practitioners had been basically using BIM as a 3D visualization tool.  
262 Other BIM functions selected by more than half of survey participants included BIM in  
263 construction site management (e.g., site monitoring), as well as project management  
264 throughout project life cycle from design to facility management. In contrast, clash detection  
265 was chosen by only 26% of respondents. The bottom-ranked BIM functions were enhancing  
266 company image, and increasing the chance of winning project bidding.

## 267 **2.5. BIM benefits**

268 Survey participants were asked to rank multiple five-point Liker-scale items related to  
269 the benefits of BIM implementation, with the numerical value 1 meaning "least beneficial", 3  
270 indicating a neutral attitude, and 5 being "most beneficial". An extra option of 6 was given to  
271 those who were unsure of the answer. Excluding those who were unsure of the provided  
272 items, the overall sample analysis is summarized in Table 1.

273 <Insert Table 1 here>

274 Table 1 shows that B4 (i.e., offering new services) was the top-ranked BIM benefit  
275 among all the 13 listed items. According to Figure 2, 3D visualization is considered the main  
276 BIM service. Other higher ranked BIM benefits with *RII* score over 0.800 include B1 (i.e.,

277 reducing omissions and errors), B2 (i.e., reducing rework), and B3 (i.e., better project quality).  
278 These four highly-ranked BIM benefits were consistent with the finding from Jin et al.  
279 (2017a) who conducted the survey of the same question to AEC practitioners mostly from  
280 more BIM-developed regions (e.g., Shanghai). The main difference between Chongqing  
281 respondents in this study and their counterparts from BIM-advanced regions in Jin et al.  
282 (2017a) lied in that B1 was the top-ranked BIM benefit in the latter study. The overall  
283 Cronbach's Alpha value at *0.9352* showed excellent internal consistency of survey  
284 participants' views of BIM benefits. The generally high item-total correlation coefficients and  
285 lower individual Cronbach's Alpha value in Table 1 indicated that a survey participant who  
286 selected a numerical score to one Likert-scale item was likely to assign a similar score to  
287 other items. Subgroup analysis by dividing the whole survey sample according to their  
288 organization type and size is summarized in Table 2.

289 <Insert Table 2 here>

290 According to Table 2, generally there were consistent perceptions of BIM benefits except  
291 B13 related to BIM benefits in recruiting and retaining employees. B13 was only item that  
292 was perceived differently among subgroups divided according to both employer type and  
293 organization size. The post-hoc analysis adopting Fisher LSD revealed that consultants, A/E  
294 design firms, and contractors held more positive views on B13 compared to quality  
295 inspection firms, governmental authorities, and other employer types. Employees from  
296 governmental authorities held the lowest average Likert-scale score at *3.091* indicating a  
297 neutral attitude. In comparison, consultant had the average score at *4.333*. In terms of  
298 organization size, those organizations with full-time employees fewer than *100* held more  
299 confirmatory views on B13 compared to organizations with more than *100* full-time  
300 employees. Specifically, those from organization size between *50* and *100* employees had the  
301 average score of *4.375*, compared to those from organization sizes of over *200* full-time

302 employees (average score at 3.292) and those with employee size from 100 to 200 (average  
303 score at 3.286). The Fisher post-hoc analyses for B13 are demonstrated in Figure 3 and  
304 Figure 4.

305 <Insert Figure 3 here>

306 The horizontal interval lines show the comparison between each pair of subgroups in  
307 Figure 3. Based on the 95% confidence interval, those lines which do not cover the zero  
308 neutral point indicate the significant differences between the given pair. Figure 3 shows that  
309 consulting firms had a significant difference with governmental authorities, quality inspection  
310 organizations, and others. Similarly, Figure 4 indicates the significant differences between the  
311 given pair of subgroups from different organization sizes, such as the difference between  
312 organizations with 50 to 200 full-time employees and those with 100 to 200 employees, and  
313 between organizations over 200 employees and those with 50 to 100 employees.

314 <Insert Figure 4 here>

## 315 **2.6. Critical Success Factors**

316 Survey participants were asked to rank the importance of CSFs in effective BIM  
317 implementation. Based on the five point Likert-scale with 1 meaning least important, 2 being  
318 not important, 3 indicating neutral, 4 inferring important, 5 being most important, and the  
319 extra 6 for those who were unsure of the answer. Excluding those who chose 6, the overall  
320 sample analysis is summarized in Table 3.

321 <Insert Table 3 here>

322 Similar to the survey in Jin et al. (2017a), the interoperability of BIM software was  
323 considered the top critical factor for BIM to achieve its potential values. Besides  
324 interoperability which could be considered internal factor of BIM, the external factor in terms  
325 of project complexity was considered another critical factor in both this study and Jin et al.  
326 (2017a). Project complexity was defined as the interdependencies and interrelationships

327 among trades, uncertainties causing change orders, and overlapping of construction activities  
328 according to Jarkas (2017). These bottom-ranked items (i.e., F12, F13, and F14) were also  
329 consistent between this study and Jin et al. (2017a). Different from Jin et al. (2017a) where  
330 clients' sophistication was considered a key CSF, client's knowledge on BIM was not ranked  
331 high in this study. Instead, contract form and project budget were considered more critical in  
332 successful BIM implementation.

333 The Cronbach's alpha value at *0.9343* indicated a strong internal consistency among all  
334 the *14* CSFs, inferring that a survey participant who selected one CSF would be likely to  
335 choose a similar answer to other CSFs. All individual Cronbach's alpha values in Table 3  
336 lower than the overall value also suggested that each CSF contribute to the overall internal  
337 consistency among CSF items. The subgroup analyses based on ANOVA were performed as  
338 summarized in Table 4. Linking Table 4 to Table 3, it was found that these three bottom-  
339 ranked items, including F7 related to BIM technology consultants, F13 related to project  
340 location, and F14 related to staff working locations, received the highest variations among the  
341 survey population. However, these variations did not come from the employer type or  
342 organization size.

343 <Insert Table 4 here>

344 According to Table 4, significant differences were found among subgroups divided by  
345 employer types in light of F8 related to the project nature and F10 (i.e., number of BIM-  
346 knowledgeable companies in the project). Adopting the Fisher post-hoc analysis, Figure 5  
347 shows the differences between each pair of subgroups according to employer types. It is seen  
348 in Figure 5 that the main difference came from the governmental authorities. With the  
349 average score of *3.182* indicating a somewhat neutral attitude, respondents from  
350 governmental authorities held significantly less confirmatory views of the significance of  
351 project nature, compared to those working for consulting firms (*4.333*), contractor (*4.286*),

352 and others (3.857). Similarly, participants from governmental authorities also perceived less  
353 significantly of F10 as seen in Figure 6. The average scores on F10 for governmental  
354 employees, contractors, consulting firms, A/E firms, and others were 3.091, 4.364, 4.167,  
355 4.000, and 3.781 respectively.

356 <Insert Figure 5 here>

357 <Insert Figure 6 here>

358 The subgroup analysis based on organizations' number of full-time employees revealed  
359 that those with 100 to 200 employees held less confirmatory views on F10. They had the  
360 average score of 3.381, compared to those with 50 to 100 employees (4.222), 20 to 50 (4.071),  
361 and below 20 (3.833).

## 362 **2.7. Challenges**

363 In the section of challenges encountered during BIM practice, survey participants were  
364 asked to rank the difficulties of the nine items listed in Table 5. A similar five-scale point  
365 Likert scale was provided for each challenge item, with 1 meaning least challenging, 2 being  
366 not challenging, 3 suggesting a neutral attitude, 4 indicating challenging, and 5 inferring most  
367 challenging. Excluding those who chose 6 indicating unsure of the given item, the overall  
368 sample analysis and subgroup analysis are summarized in Table 5 and Table 6 respectively.

369 <Insert Table 5 here>

370  
371 The *RII* data in Table 5 show the significance of each challenge. Compared to the study  
372 in Jin et al. (2017a), some consistent rankings were found in this study, specifically: 1) lack  
373 of sufficient evaluation of BIM and acceptance of BIM from the senior management level  
374 were considered top two major barriers in BIM implementation; 2) acceptance of BIM from  
375 the entry-level staff was ranked as one of the least challenging item. However, differing from  
376 the study targeting on more BIM-developed regions in Jin et al. (2017a), Chongqing  
377 participants considered BIM training a key challenge. Also, they did not perceive the lack of

378 client requirement a key challenge. The overall Cronbach's alpha value at *0.8915* indicated a  
379 fairly high internal consistency of survey participants' perceptions towards these nine  
380 challenge related items. The only exception came from C7 (i.e., cost of purchasing BIM  
381 software) with its individual Cronbach's alpha value higher than the overall one. It was  
382 inferred that compared to other items in Table 5, survey participants tended to have differed  
383 view on C7.

384 <Insert Table 6 here>

385 The largest variation measured by standard deviation came from C2 (i.e., acceptance of  
386 BIM from the senior management level).The subgroup analysis indicated that variations of  
387 perceptions towards challenges in BIM practice mainly came from employer types.  
388 Specifically, governmental employees held less confirmatory views of C6 and C7 related to  
389 the costs of upgrading hardware and purchasing software. They had the average score of  
390 *3.000* and *2.700* respectively for C6 and C7, indicating a neutral attitude or even perceiving  
391 cost-related issues not a challenge. In comparison, contractors (*3.800* and *3.810* respectively),  
392 consulting firms (*3.800* and *3.800*), A/E (*3.833* and *3.583*) perceived cost-related issues more  
393 challenging in BIM investments.

### 394 **3. Discussion and summary**

#### 395 **3.1. Summary of findings in the China context**

396 As indicated by Jin et al. (2017b) and Xu et al. (2018), there was a need to address the  
397 regional difference of BIM movement in a large AEC market (e.g., China). The 3D  
398 visualization was selected by the significantly higher percentage of survey participants (i.e.,  
399 *73%*) as one major BIM function. The overall survey sample's reaction to BIM function  
400 could be linked to the Liker-scale question regarding the perceived benefits by adopting BIM,  
401 in which offering new services was ranked top. It was indicated that survey participants from  
402 Chongqing mainly considered BIM a 3D visualization tool. Consistent to Jin et al. (2015) and



403 the research team's earlier investigation, BIM had been basically used for visualization  
404 purpose, especially when the inexperienced or unsophisticated clients preferred to see well-  
405 visualized pre-construction work. For BIM to demonstrate its further potential in the project  
406 life cycle management, it is critical to take into account of various levels of stakeholders'  
407 maturity, capacity, and readiness (Rezgui et al., 2013).

408 Compared to AEC practitioners' perceptions from China's more BIM-mature regions  
409 (Jin et al., 2017a), both similarities and differences in Chongqing survey participants'  
410 perceptions were found. In light of similarities, reducing errors and rework were considered  
411 main benefits of adopting BIM. Interoperability of BIM software tools was identified as the  
412 top critical factor for effective BIM implementation. Interoperability issues encountered in  
413 BIM have been highlighted in multiple studies (e.g., Shadram et al., 2016; Akinade et al.,  
414 2017; Oduyemi et al., 2017) and remain an ongoing research theme in both technical and  
415 managerial BIM. Project complexity was also considered by both studies as a key important  
416 CSF in BIM practice. Lack of sufficient evaluation of BIM (e.g., ratio of investment to output)  
417 as well as acceptance of BIM from the top management level in an organization were  
418 perceived as main challenges. However, differing from Jin et al. (2017a)'s finding,  
419 Chongqing survey participants in this study did not perceive clients' knowledge of BIM a key  
420 important CSF. Instead, they believed that the project budget and contract-form supporting  
421 BIM were more important. This conveyed the information that in less BIM-ready region such  
422 as Chongqing, certain external factors were considered more important, such as project  
423 contract and budget. In comparison, those AEC practitioners from more BIM-mature regions  
424 would consider internal factors more critical such as BIM-knowledgeable professionals and  
425 clients' knowledge of BIM. Compared to these more BIM-mature regions, Chongqing  
426 participants considered more challenges from lack of effective BIM training. This was

427 consistent from the study of Xu et al. (2018) that less BIM-ready regions would need more  
428 BIM training compared to more BIM-developed regions.

### 429 **3.2. Generalisation of the findings in the international context**

430 Different from previous BIM adoption-based studies conducted in China, such as Ding et  
431 al. (2015) and by Zhao et al. (2018) in which the survey populations were limited to designers,  
432 this study recruited a variety of different employer types. Although adopting Chongqing as  
433 the regional case study, this research could be implied in the international context in terms of  
434 the organizational features emphasized by Ahmed et al. (2017) and Wan Mohammad et al.  
435 (2017). Subgroup analyses were performed according to survey participants' employer type  
436 and organization size. Several subgroup differences were found in participants' perceptions  
437 towards BIM benefits, CSFs, and challenges. The same BIM benefit item related to BIM in  
438 recruiting and retaining employees received different views among subgroups divided by  
439 both employer type and organization size. It appeared that AEC industry practitioners  
440 including consultants and A/E design firms, perceived more positive views of BIM in  
441 retaining and hiring employees compared to those from governmental authorities, quality  
442 inspection organization, and others. Those from smaller-sized organizations with fewer than  
443 100 full-time employees perceived more positively on BIM compared to those organizations  
444 with over 100 employees. It was further indicated that BIM as an advantage to hire or keep  
445 employees was considered an even more important benefit from the perspective of smaller-  
446 sized organizations. Similarly, organizations with fewer than 100 full-time employees also  
447 held more confirmatory view of the importance of number of BIM-knowledgeable companies  
448 in the project, compared to those with 100 to 200 employees.

449 Overall, employees from governmental authorities seemed more conservative in BIM  
450 benefits and CSFs. For example, besides BIM benefits in human resources, they also held  
451 neutral attitudes towards CSFs in BIM including the project nature and number of BIM-

452 knowledgeable companies. In contrast, employees from contractors, A/E firms, and  
453 consulting firms generally had significantly more confirmatory perceptions towards these  
454 items. It was also found that industry practitioners (i.e., A/E firms, contractors, and  
455 consulting firms) considered the cost in BIM-related hardware and software more challenging  
456 compared to governmental employees. This gap between government and industry should be  
457 addressed for promoting BIM in less BIM-mature regions. The less confirmatory views from  
458 governmental employees inferred that they might need to gain more insights from industry  
459 practitioners before adopting relevant guidelines and local policies, as BIM movement asked  
460 the joint-effort and collaboration not only among building trades or AEC disciplines (Eadie et  
461 al., 2013), but also between the industry and governmental authorities.

### 462 **3.3. Research directions**

463 The current study extends the research of Succar et al. (2013) by linking organizational  
464 features into individual perceptions, with two organizational factors studied, namely  
465 employer type and organization size. It leads to future studies on more organization factors'  
466 effects on individual perceptions towards BIM adoption, as guided by Ahmed et al. (2017). It  
467 follows the recommendation from Xu et al. (2018) by exploring the BIM adoption in less  
468 BIM-developed regions. It advances the knowledge from Ding et al. (2015) in which the BIM  
469 empirical studies were basically limited to those BIM-leading or more developed regions in  
470 China. Findings generated from this study could be extended to other developing countries or  
471 regions during the process of BIM promotion, such as Vietnam and Pakistan. The findings  
472 generated from this study could be further applied in other less BIM-developed countries or  
473 regions (e.g., Vietnam) which are also in the early stages of initiating BIM. This study could  
474 also lead to further research in the BIM adoption of Chinese SMEs by dividing the size of  
475 organizations according to their revenues. So far, investigating the BIM adoption and practice  
476 of SME in China has not yet been sufficiently performed. China has significant regional

477 variations in BIM implementation level (Jin et al., 2017b) or BIM climate (Xu et al., 2018).  
478 This study serves as a reference to investigate the barriers and critical factors in implementing  
479 BIM in less developed regions. The empirical data collected from this study could be further  
480 compared with previous BIM studies adopted in more BIM-active region such as Shenzhen  
481 (Ding et al., 2015).

#### 482 **4. Conclusions**

483 *Although this study was based on data collected from a single region (i.e., Chongqing) in*  
484 *China, the study approach and findings generated from the research in terms of organizations*  
485 *features' effects on BIM adoption could be extended to the rest of the world, especially those*  
486 *less BIM-developed AEC markets.* Two main influence factors, namely employer type and  
487 organization size, were studied of their impacts on individual perceptions towards BIM. The  
488 research also allowed the comparison in BIM climate between less BIM-ready regions and  
489 their more BIM-mature counterparts. It contributed to the managerial BIM research and  
490 practice from both theoretical and practical perspectives. Scholarly, it extended previous  
491 studies of BIM climate in terms of individual level perceptions by focusing on less BIM-  
492 ready regions or countries and its influence factors (e.g., organization size); practically, it  
493 provided insights and suggestions for stakeholders on local BIM practice and culture, which  
494 should be incorporated in promoting the regional BIM practice.

495 Although BIM, as the emerging digital technology in the AEC industry with multiple  
496 promising functions such as sustainable and integrated design and construction, the current  
497 stage of BIM practice might still be limited to visualization especially in less BIM-ready  
498 regions. The gap between academic research and industry, as well as between the potential  
499 outreach of BIM and its currently limited applications should be addressed, especially in  
500 those less BIM-ready regions such as Chongqing in this study. These regions should vision  
501 reaching higher potentials of BIM from barely being as a tool to achieve visualization to a

502 more integrated information sharing platform that truly improves project delivery efficiency.

503 Public policies could be considered in setting a regional BIM climate among stakeholders.

504 Through comparison with previous studies conducted in more BIM-developed regions, it  
505 was indicated that AEC practitioners from Chongqing considered several external factors  
506 more important in effective BIM implementation, including project contract supporting BIM  
507 and project budget, rather than other internal factors such as BIM knowledgeable  
508 professionals and clients' BIM knowledge. They also perceived the lack of effective BIM  
509 training more challenging. On the other hand, consistent with peers from more BIM-mature  
510 regions, this study revealed several consistent findings, including: 1) main benefits of BIM  
511 included reductions in errors and rework; 2) interoperability was the main critical factor in  
512 BIM implementation together with the project complexity; 3) lack of sufficient evaluation of  
513 BIM as well as acceptance of BIM from the organizations' senior management level were  
514 major barriers in BIM implementation.

515 Subgroup analyses revealed that governmental employees held more conservative  
516 perceptions towards certain benefits, critical factors, and challenges in BIM practice, such as  
517 BIM benefits in human resources, project feature, and number of BIM knowledgeable  
518 companies. Compared to governmental employees, these AEC practitioners from design  
519 firms, contractors, and consulting held more confirmatory views. It was suggested that these  
520 who were practicing BIM tended to have more positive or confirmatory perceptions of BIM  
521 than governmental authorities. On the other hand, practitioners also perceived more  
522 challenges in terms of BIM investment or costs. Therefore, there was a gap between the  
523 government and the industry practitioners. The subgroup analysis by dividing the survey  
524 sample according to organization size revealed that smaller-sized organizations (i.e., with  
525 fewer than 100 full-time employees) held more positive views on BIM benefits in recruiting

526 or maintaining employees, as well as the importance of having certain number of BIM  
527 knowledgeable employees in the project.

528        Suggestions for promoting BIM practice in less BIM-ready regions or countries  
529 worldwide are proposed: 1) developing the local BIM standard and guideline to enhance BIM  
530 adoption in the local AEC market, such as the contract language to support BIM practice; 2)  
531 bridging the gap between industry practitioners and governmental authorities through  
532 different approaches such as government-funded projects promoting BIM usage; 3) providing  
533 more BIM training for local AEC practitioners, not only technical training for entry-level  
534 employees, but even more importantly, managerial training for senior management staff and  
535 employees from governmental authorities. The BIM training could be provided from public  
536 and private institutions joint with industry representatives experienced in BIM; A variety of  
537 BIM education or training sessions can be offered, including but not limited to seminars,  
538 physical or on-line workshops, and series of modules towards achieving different levels of  
539 BIM skills; and 4) certain policies to be enacted accommodating the smaller-sized AEC  
540 organizations to nurture the growth of BIM within them. International examples of effective  
541 BIM policies in promoting BIM practice could be considered in initiating local BIM policies,  
542 such as BIM policies implemented in United Kingdom and Singapore. To increase the public  
543 awareness of the true nature of BIM, multiple drivers need to be considered, including public  
544 demonstration projects, institutional training and education of BIM by linking it to emerging  
545 practices such as augmented reality and artificial intelligence, as well as policy intervention.  
546 The promotion of digital applications to enhance AEC project efficiency requires multi-  
547 stakeholder joint effort because BIM, by its nature, stresses information sharing through  
548 interdisciplinary coordination and collaboration.

549        The organization size defined in this study was limited to the number of full-time  
550 employees. More future research could extend the current funding by introducing more

551 influence factors to BIM-based individual perceptions, such as annual revenue which could  
552 be another indicator of organization size. Only two organization features (i.e., employer type  
553 and number of full-time employees) were studied in this research, more organizational  
554 indicators could be studied in BIM adoption. Also, a more comprehensive framework of BIM  
555 climate reflecting individual perceptions towards BIM practice could be established in the  
556 future, such as how top executives, mid-level management personnel, and entry-level A/E  
557 employees perceive and behave in adopting BIM within their own organizations.

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789 **Table 1.** *RII* analysis results of perceptions towards BIM benefits within the whole survey  
 790 sample (Cronbach's alpha = 0.9352 ).  
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Item	<i>RII</i>	Ranking	Item-total correlation	Cronbach's Alpha
B1: Reducing omissions and errors	0.806	4	0.728	0.9296
B2: Reducing rework	0.815	2	0.700	0.9303
B3: Better project quality	0.815	2	0.749	0.9288
B4: Offering new services	0.827	1	0.678	0.9309
B5: Marketing new business	0.779	7	0.616	0.9329
B6: Easier for newly-hired staff to understand the ongoing project	0.785	6	0.669	0.9312
B7: Reducing construction cost	0.770	9	0.734	0.9291
B8: Increasing profits	0.776	8	0.807	0.9266
B9: Maintaining business relationships	0.767	10	0.663	0.9315
B10: Reducing overall project duration	0.764	11	0.715	0.9297
B11: Reducing time of workflows	0.794	5	0.770	0.9280
B12: Fewer claims/litigations	0.755	12	0.678	0.9312
B13: Recruiting and retaining employees	0.725	13	0.646	0.9326

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825 **Table 2.** ANOVA analysis of subgroup differences towards BIM-benefit-related items.

Item	Overall Mean	Standard deviation	ANOVA analysis for subgroups according to organization types		ANOVA analysis for subgroups according to organization size	
			F value	<i>p</i> value	F value	<i>p</i> value
B1	4.030	0.738	1.39	0.237	0.22	0.926
B2	4.075	0.858	0.79	0.562	0.76	0.556
B3	4.075	0.765	0.53	0.753	0.81	0.521
B4	4.134	0.815	0.29	0.919	0.42	0.796
B5	3.896	0.837	0.76	0.580	0.54	0.707
B6	3.925	0.841	0.33	0.891	1.37	0.253
B7	3.851	0.821	1.01	0.418	0.91	0.464
B8	3.881	0.844	0.99	0.426	0.21	0.932
B9	3.836	0.881	1.24	0.298	1.32	0.270
B10	3.821	0.869	1.96	0.094	0.40	0.809
B11	3.970	0.797	0.87	0.503	0.45	0.775
B12	3.776	0.813	0.41	0.843	0.92	0.459
B13	3.627	0.967	<b>2.40</b>	<b>0.045*</b>	<b>2.70</b>	<b>0.037*</b>

826 *\*: A p value lower than 0.05 indicates significant subgroup differences in their perceptions towards the given*  
 827 *BIM benefit item.*

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845 **Table 3.** The overall sample analysis results of BIM CSFs within the whole survey sample  
 846 (Cronbach's alpha = 0.9343).  
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Item	<i>RII</i>	Ranking	Item-total correlation	Cronbach's Alpha
F1: Interoperability of BIM software	0.857	1	0.579	0.9326
F2: Number of BIM-knowledgeable professionals	0.800	5	0.726	0.9286
F3: Project complexity	0.836	2	0.644	0.9310
F4: Clients' knowledge on BIM	0.764	11	0.716	0.9287
F5: Companies' collaboration experience with project partners	0.795	7	0.635	0.9311
F6: Contract-form that is BIM-collaboration supportive	0.813	3	0.695	0.9293
F7: BIM technology consultants in the project team	0.758	13	0.713	0.9290
F8: The project nature (e.g., frequency of design changes)	0.792	9	0.730	0.9283
F9: Project schedule	0.797	6	0.661	0.9303
F10: Number of BIM-knowledgeable companies in the project	0.795	7	0.766	0.9274
F11: Project budget	0.810	4	0.677	0.9299
F12: Project size	0.766	10	0.693	0.9294
F13: Project geographic location	0.761	12	0.752	0.9276
F14: Staff from different companies working in the same location	0.709	14	0.671	0.9312

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864 **Table 4.** ANOVA analysis of subgroup difference towards BIM CSF items.

Item	Overall Mean	Standard deviation	ANOVA analysis for subgroups according to employer type		ANOVA analysis for subgroups according to organization size	
			F value	<i>p</i> value	F value	<i>p</i> value
F1	4.286	0.723	0.56	0.728	0.55	0.698
F2	4.000	0.811	0.89	0.492	0.78	0.539
F3	4.182	0.739	0.54	0.745	0.58	0.677
F4	3.818	0.996	1.06	0.388	0.37	0.831
F5	3.974	0.794	1.51	0.197	0.94	0.446
F6	4.065	0.879	0.97	0.439	0.26	0.900
F7	3.792	1.068	1.63	0.162	0.43	0.789
F8	3.961	0.880	<b>2.80</b>	<b>0.022*</b>	1.59	0.184
F9	3.987	0.866	1.74	0.135	0.87	0.486
F10	3.974	0.843	<b>3.47</b>	<b>0.007*</b>	<b>2.56</b>	<b>0.044*</b>
F11	4.052	0.826	1.49	0.203	0.11	0.980
F12	3.831	0.951	1.26	0.291	0.54	0.706
F13	3.805	1.052	1.30	0.273	0.81	0.522
F14	3.545	1.165	0.80	0.551	0.76	0.555

865 \*: a *p* value lower than 0.05 indicates the significant differences among subgroups towards BIM CSFs

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898 **Table 5.** *RII* analysis results of BIM challenges within the whole survey sample (Cronbach's  
 899 alpha = 0.8915).  
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Item	<i>RII</i>	Ranking	Item-total correlation	Cronbach's Alpha
C1: Lack of sufficient evaluation of BIM	0.736	1	0.6905	0.8762
C2: Acceptance of BIM from senior management	0.707	2	0.5661	0.8878
C3: Acceptance of BIM from middle management	0.696	5	0.7654	0.8715
C4: Lack of client requirements	0.667	8	0.7416	0.8717
C5: Lack of government regulation	0.696	5	0.6842	0.8767
C6: Cost of hardware upgrading	0.699	4	0.6863	0.8768
C7: Cost of purchasing BIM software	0.685	7	<b>0.4889</b>	<b>0.8916</b>
C8: Acceptance of BIM from the entry-level staff	0.664	9	0.6660	0.8781
C9: Effective training	0.704	3	0.6840	0.8767

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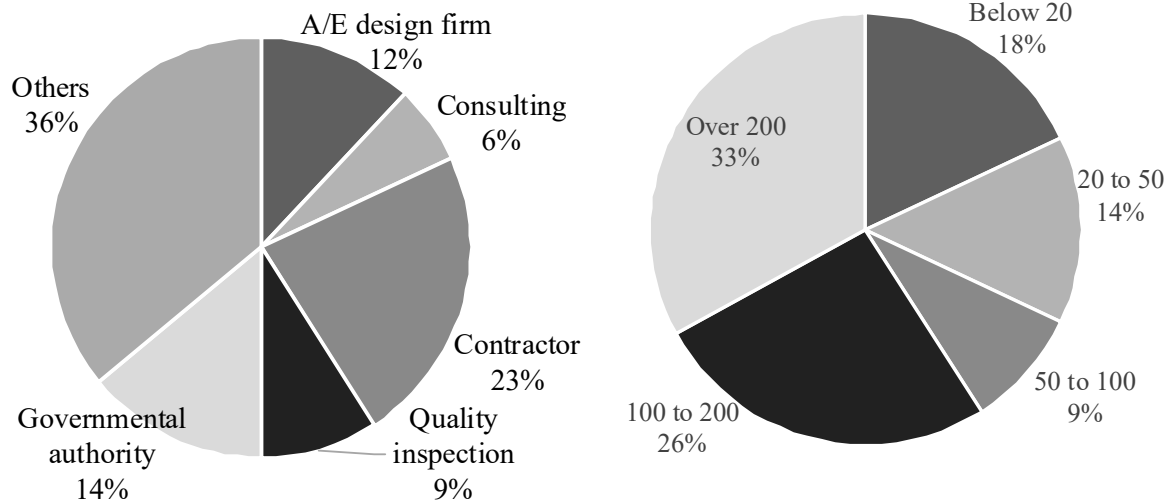


938 **Table 6.** ANOVA analysis of subgroup difference towards BIM-challenge-related items.

Item	Overall Mean	Standard deviation	ANOVA analysis for subgroups according to employer type		ANOVA analysis for subgroups according to organization size	
			F value	<i>p</i> value	F value	<i>p</i> value
C1	3.680	0.918	0.65	0.666	1.41	0.237
C2	3.533	1.070	1.99	0.089	0.68	0.610
C3	3.480	0.828	0.53	0.751	0.36	0.834
C4	3.333	0.963	2.22	0.061	0.76	0.552
C5	3.480	0.921	1.29	0.276	1.18	0.324
C6	3.493	0.876	<b>2.46</b>	<b>0.040*</b>	1.34	0.262
C7	3.427	0.888	<b>2.89</b>	<b>0.019*</b>	1.04	0.390
C8	3.320	0.975	1.32	0.263	0.72	0.578
C9	3.520	0.950	0.77	0.573	1.28	0.283

939 \*: a *p* value lower than 0.05 indicates the significant differences among subgroups

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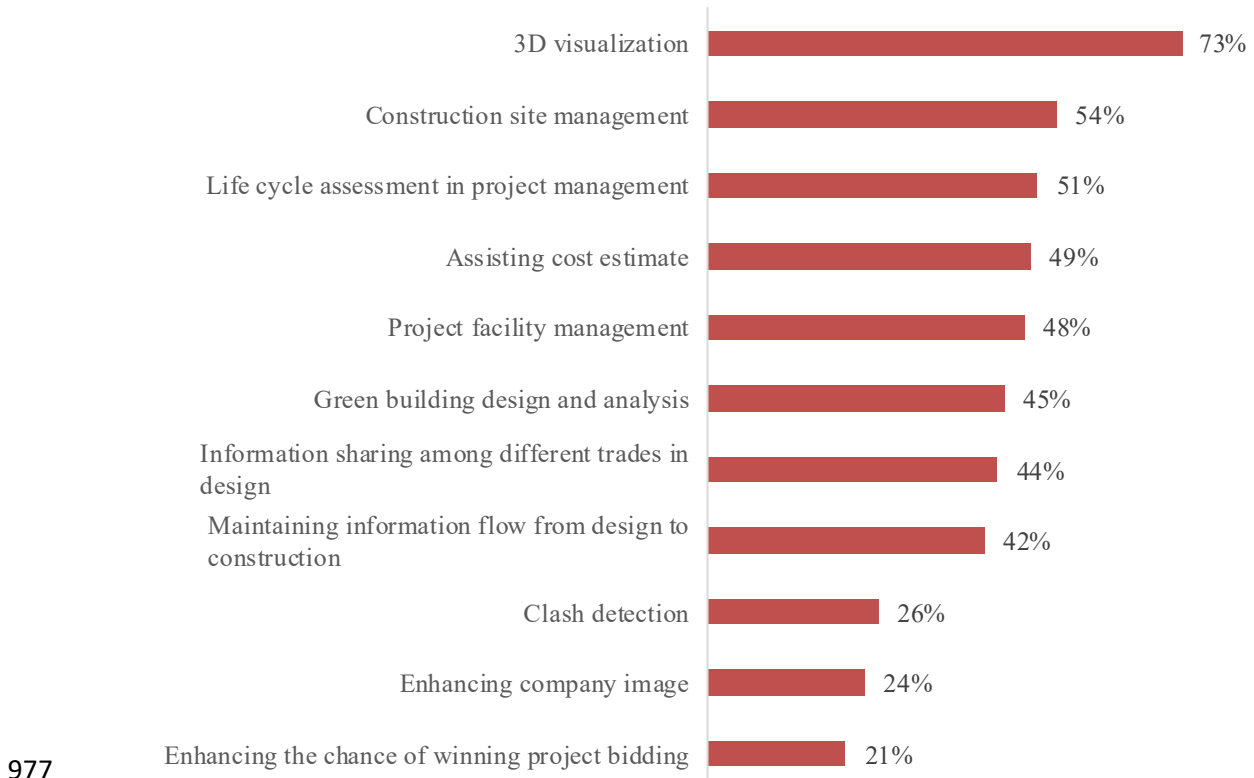


a)Employer type of survey participants in Chongqing

b)Organization size measured by number of full-time employees

964 **Figure 1.** Background information of survey participants from Chongqing's AEC  
 965 professionals (N=100)

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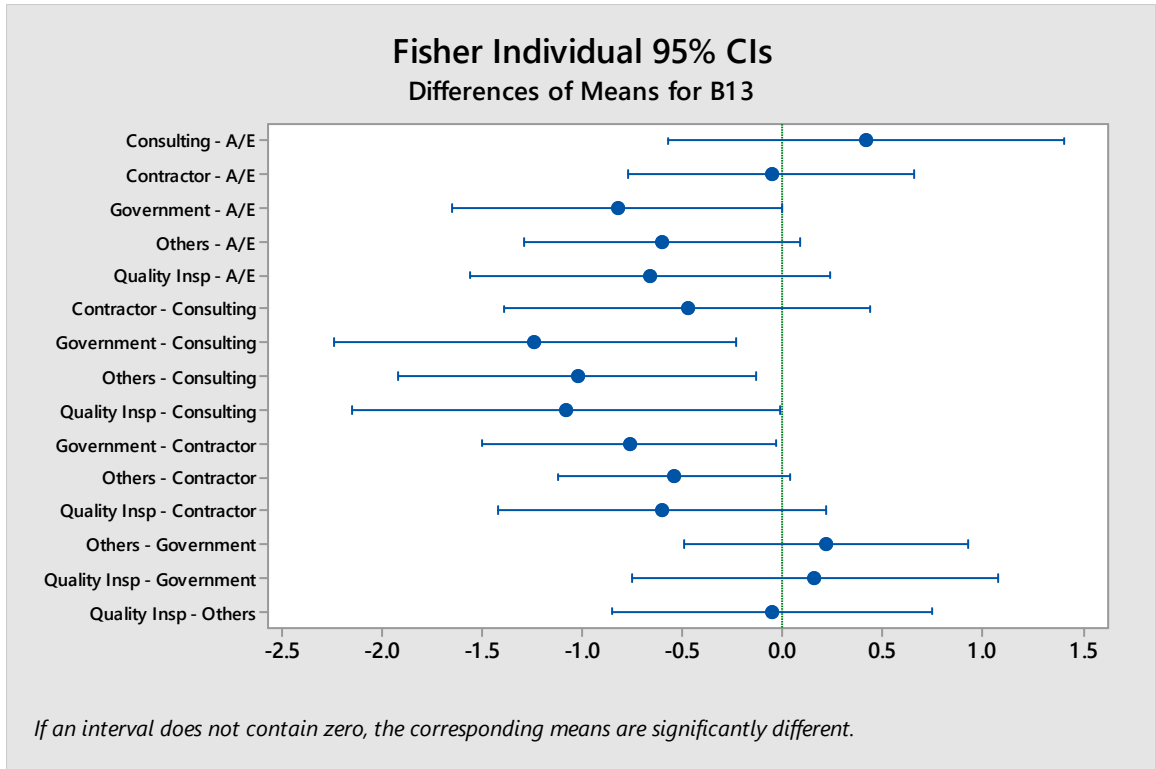
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**Figure 2.** Percentages of the overall survey sample in selecting each BIM function

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983 **Figure 3.** Post-hoc analysis for subgroup analysis of B13 among survey participants  
 984 from different employer types

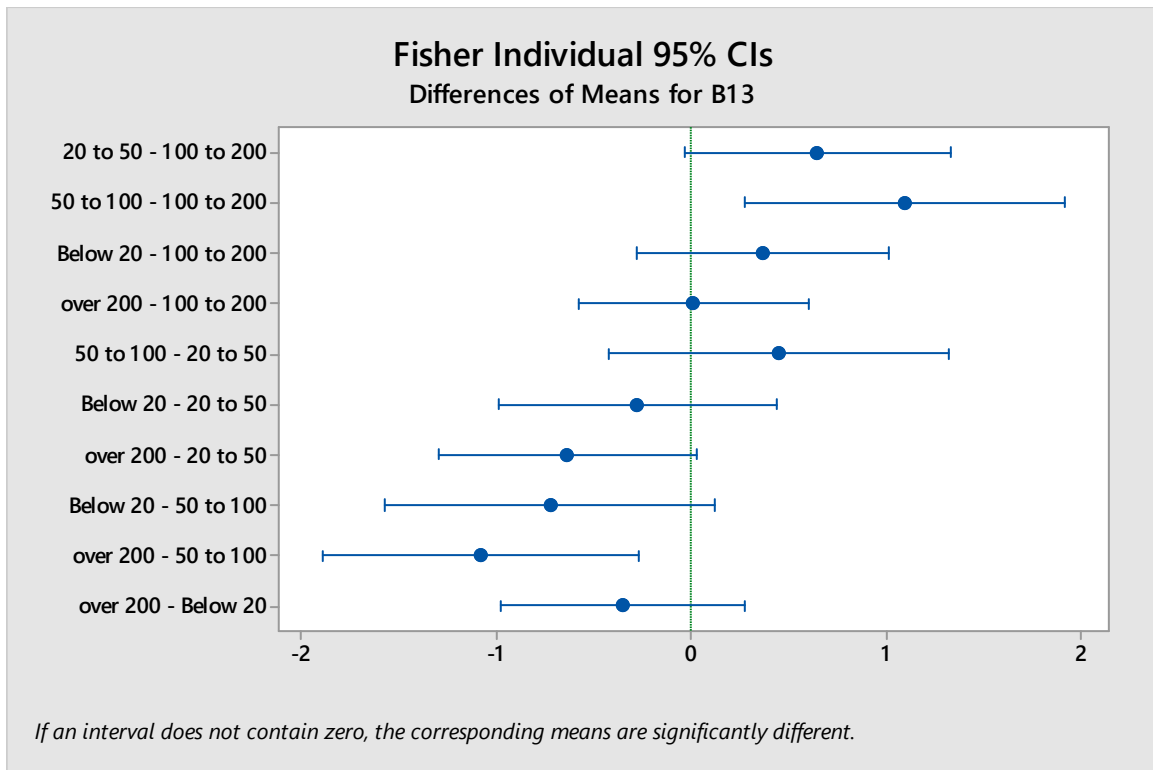
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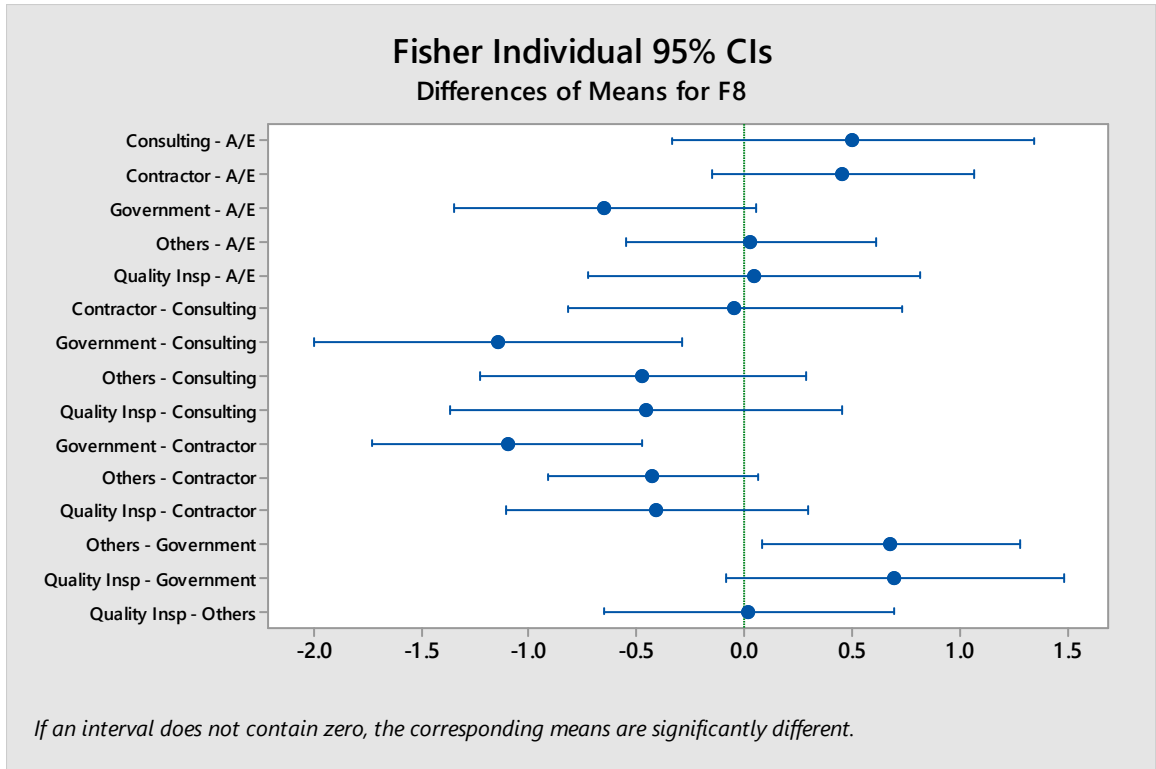
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**Figure 4.** Post-hoc analysis for subgroup analysis of B13 among survey participants from different organization sizes

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1011 **Figure 5.** Post-hoc analysis for subgroup analysis of F8 among survey participants from  
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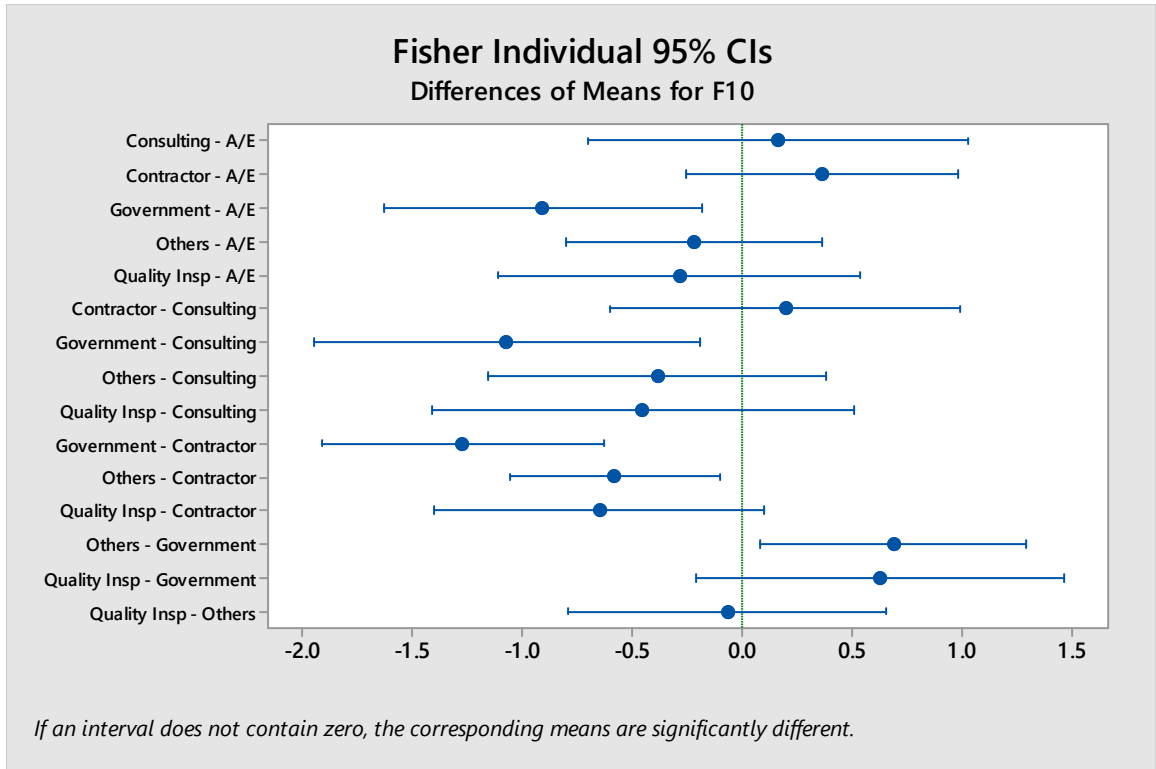
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1022 **Figure 6.** Post-hoc analysis for subgroup analysis of F10 among survey participants from  
 1023 different employer types

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