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5
6 **A Science Mapping Approach Based Review of Construction Safety Research**

- 7 • The study applied science mapping approach in reviewing construction safety
8 research.
9 • Mainstream keywords such as BIM were identified in existing literature.
10 • Influential journals, scholars, and articles in construction safety were evaluated.
11 • An in-depth qualitative discussion identified existing research topics and limitations.
12 • A research framework was proposed by linking mainstream topics into future research
13 directions.

14 **Abstract**

15 This study adopted a three-step holistic review approach consisting of bibliometric review,
16 scientometric analysis, and in-depth discussion to gain a deeper understanding of the research
17 development in construction safety. Focusing on a total of 513 journal articles published in
18 *Scopus*, the influential journals, keywords, scholars, and articles in the domain of construction
19 safety were analyzed. For example, simulation and fall from height related topics, although not
20 with the highest occurrence of being studied, had the highest impact in terms of average citation
21 received per year. It was found that research in the recent 10 years have been extended to the
22 developing countries and regions with a more variety of research topics, such as BIM, and data
23 mining, etc. Articles related to applying BIM in safety management received the highest
24 average normalized citation. A follow-up qualitative discussion targeted three main objectives:
25 summarizing mainstream research topics, identifying existing research gaps, and proposing
26 future research directions. Five main categories were aligned, namely safety climate and safety
27 culture, application of information technologies, worker-oriented safety, safety management
28 program, and hazard recognition and risk assessment. Based on the above, a framework and
29 future research directions were proposed which could serve both the academic community and
30 practical fields in multiple themes within construction safety, including: an adaptable safety

31 climate and safety culture model; prototypes, continuous development, and readiness of
32 applying information technologies in safety management; subgroups factors linked to cognitive
33 models of workers' safety perceptions and behaviors; and artificial intelligence and smart
34 technologies into safety program management.

35 **Keywords:** construction safety; human factor; scientometric review; science mapping;
36 literature review

37 1. Introduction

38 Construction is regarded as one of the most unsafe industries worldwide (Lingard and
39 Rowlinson, 2015). For example, the incident rates, which represent the number of injuries and
40 illnesses in the construction industry, were significantly higher than the national average among
41 all industries in the U.S. (U.S. Bureau of Labor Statistics, 2016). Similar challenges exist in
42 other countries (Fang et al., 2016). Research in improving the safety performance of the
43 construction industry has been continuing in the past decades, covering multiple areas such as
44 safety performance measurement (Liu et al., 2018), safety program (Chen and Jin, 2013), and
45 human factors (Liao et al., 2018). In recent years, due to the growing application of digital
46 technology such as BIM (i.e., Building Information Modeling) in construction, researchers
47 have started applying BIM in enhancing safety planning and site monitoring (Cheung et al.,
48 2018; Choe et al., 2014). Adopting the literature review is an expedient approach to gain an in-
49 depth understanding of a research domain (He et al., 2017). So far there has been limited studies
50 adopting a holistic review of human factor-oriented construction safety literature that covers
51 these aforementioned issues (e.g., safety program, BIM, and human factors, etc.)

52 Several existing review-based studies in construction safety such as [Swuste et al. \(2012\)](#),
53 [Martínez-Aires et al. \(2018\)](#), and [Mohammadi et al. \(2018\)](#) are based on the manual reviews,
54 which could be prone to subjectivity or even biasedness as indicated by [Hosseini et al. \(2018\)](#).
55 Although scientometric analysis approach ([Song et al., 2016](#); [Zhao, 2017](#)) has been adopted in

56 the field of construction engineering and management to address the subjectivity issue, these
57 existing scientometric review-based studies were limited to self-exploratory topics such as the
58 most productive scholar, the most influential journal, and most frequently searched keywords
59 (Jin et al., 2018). The level of details presented in these previous reviews could be enhanced
60 (Jin et al., 2018). This review-based study applied the science mapping approach by reviewing
61 journal articles published in the domain of construction safety. The objectives of this study
62 include: 1) applying science mapping approach to analyze the influential journals, keywords,
63 scholars, and articles in the domain of construction safety; 2) analyzing the existing mainstream
64 research topics in construction safety; 3) discussing the limitations or gaps of existing research
65 in the construction safety domain; and 4) proposing the research framework guiding future
66 scholarly and research work. This review-based study introduces the science mapping approach
67 into the domain of construction safety, and provides recommendations for future-research in
68 sub-themes within construction safety.

69 **2. Methodology**

70 This study adopted a three-step literature review approach summarizing the research domain
71 of construction safety. The science mapping approach which consisted of bibliometric analysis
72 and scientometric analysis, was adopted in the review. The detailed workflow of the review is
73 illustrated in Figure 1.

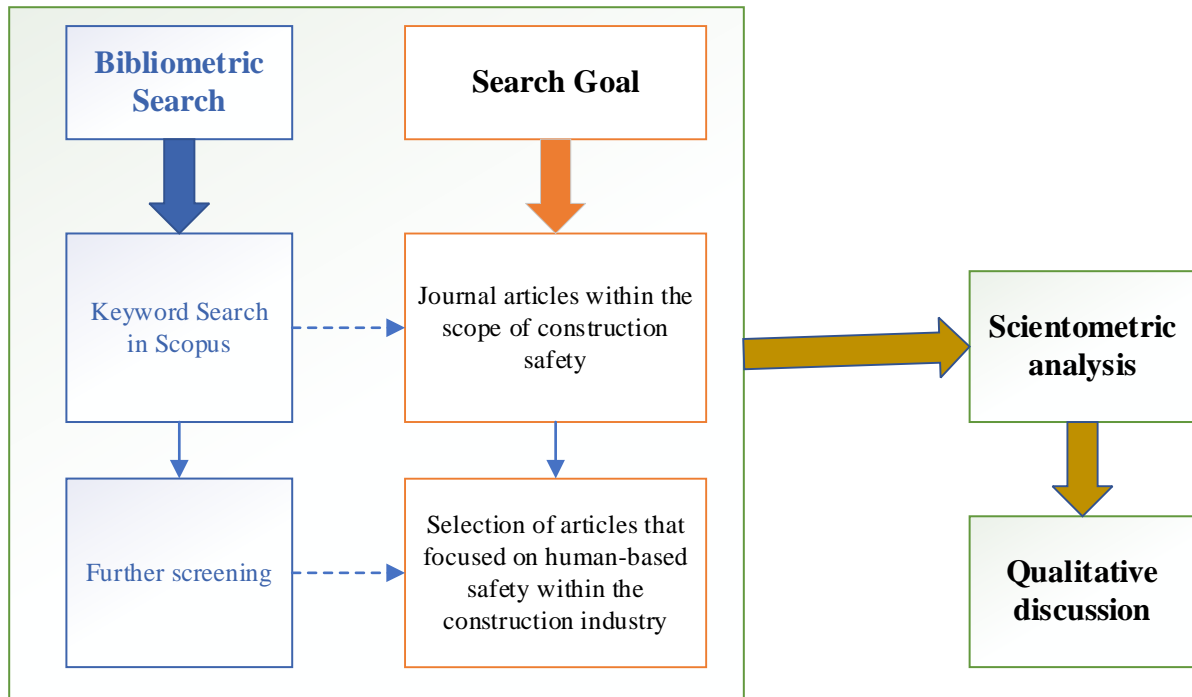


Fig. 1: Description of the three-step literature review process

2.1. Bibliometric search

The first step of the review was the bibliometric search in *Scopus*. The keyword “construction safety” was input to search literature published in *Scopus*. *Scopus* covered more journals and more recent publications compared to any other available digital sources (e.g., *Web of Science*) (Aghaei Chadegani et al., 2013). Initially, 1,738 documents were found. These documents were further screened by including only journal articles published in English. Conference papers were excluded from the sample because they have been released in a large quantity but with less valuable or useful information compared to journal articles (Butler and Visser, 2006). After this initial screening, totally 633 articles remained in the literature sample. According to Fig. 1, further refinements of the remaining 633 articles were studied of their titles, abstract, and keywords in details. Some articles, such as Zhang et al. (2018b), although with the term “construction safety” in its abstract, did not focus on safety in construction. Similar articles that did not focus on safety issues in the construction industry were removed. Another type of articles (e.g., Song et al., 2018), although within the context of construction industry, focused on risk assessment which was wider than the scope of safety risks. Similar articles

91 were also excluded. Further, it should be noticed that the the scope of this review-based study
92 is human-centered safety management, it covered the safety planning and site management,
93 but excluded other safety issues that do not direct focus on construction employees' safety, for
94 example, structural or material safety for buildings (Peng, 2017). After the final round of
95 screening, ultimately a total of 513 journal articles were selected as the literature sample for
96 the follow-up scientometric analysis.

97 **2.2. Scientometric analysis**

98 The second step of the review involved a scientometric analysis method by utilizing the
99 text-mining tool *VOSViewer* (van Eck and Waltman, 2010). More background information of
100 scientometric analysis can be found in Hosseini et al. (2018). *VOSViewer* creates distance-
101 based visualizations of networks where the distances among nodes show the level of closeness
102 among them (Van Eck and Waltman, 2014). It is suitable for visualizing larger networks with
103 special text mining features (Van Eck and Waltman, 2014). Using *VOSViewer*, literature
104 sample obtained from the bibliometric search was transported into *VOSViewer* for
105 scientometric analysis, which further generated results related to the influences of journals,
106 keywords, scholars, and articles in the domain of construction safety.

107 **2.3. Qualitative discussion**

108

109 Based on the keyword analysis as well as articles analyzed in the prior step, the follow-up
110 qualitative discussion aims to provide an in-depth evaluation of the three main research
111 objectives related to the mainstream research topics in the domain of construction safety, the
112 current research gaps or limitations, as well as the future recommended research work. Finally
113 a framework linking the existing research topics to future directions is proposed for scholars
114 within the academic community of construction safety to continue the research work of
115 construction safety.

116

117 **3. Results**

118 The 513 journal articles were firstly summarized according to their publication year. Figure
119 2 display the articles' distribution of publication years.



120

121 *: articles published in 2018 was up to the mid-April, hence the annual article in 2018 is incomplete.

122 Fig. 2. Distribution of journal articles by publication time

123

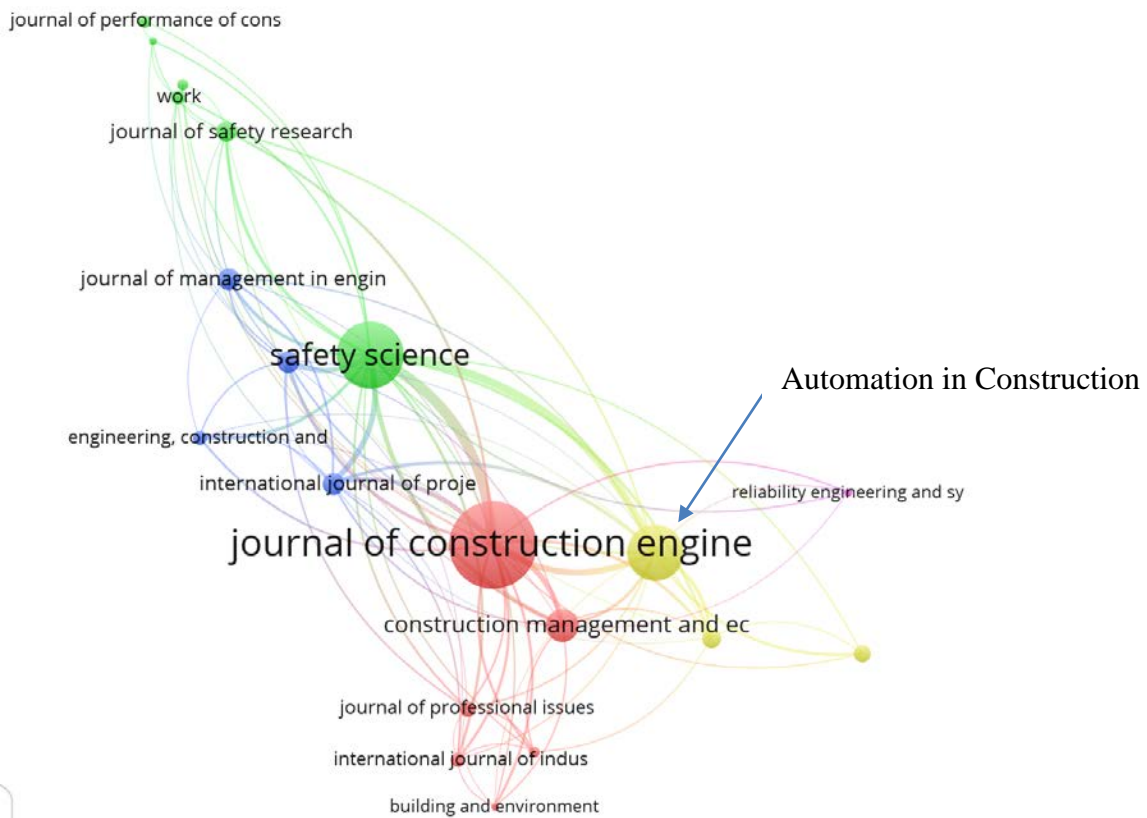
124 Fewer articles were published before 2000, especially before 1990. Therefore, these earlier
125 articles were combined based on a five-year range from before 1990 to 2000. Articles published
126 starting in 2001 are counted on the annual basis. It is seen from Fig. 2 that more articles have
127 been published since 2012. Afterwards, the number of articles has been undergoing significant
128 increase on a yearly basis. It is expected that more research work will be published in
129 construction safety, which is an everlasting research domain in the field of construction
130 engineering and management.

131 **3.1. Journal sources**

132 Besides the annual number of articles published, the journal sources of them were also
133 summarized. Setting the minimum number of articles and minimum citations at 3 and 20
134 respectively in *VOSViewer*, 20 out of totally 136 journals met the thresholds. According to the
135 node and font sizes, it is seen in Fig. 3 that *Journal of Construction Engineering and*
136 *Management (JCEM)* has been the most productive journal in terms of total number of

137 publications, followed by *Safety Science*, and *Automation in Construction*. The colors and
 138 connections lines indicate the inter-relatedness among journals, showing journals that have
 139 cited each other's articles. For example, these journals have been actively citing each other:
 140 *JCEM*, *Safety Science*, *International Journal of Project Management*, and *Construction*
 141 *Management and Economics*.

142



143

144 Note: 1. journal names may not be fully spelled out in *VOSviewer*; 2. *Automation in Construction* is denoted in
 145 Fig. 3 outside the originally generated mapping in order to avoid the over-crowdedness of fonts.

146 Fig. 3. Visualization of journal sources that publish construction safety research
 147

148 A more quantitative measurement of the influence of journals is provided in Table 1.

149

150 Table 1. Quantitative summary of journal impacts in construction safety research

Journal	Number of publications	Total Citation	Norm. Citation	Avg. Pub. Yr. ¹	Avg. Citation	Avg. Norm. Citation ²
<i>Automation in Construction</i>	46	928	101.52	2015	20.17	2.21
<i>Reliability Engineering and System Safety</i>	3	88	6.09	2013	29.33	2.03

<i>International Journal of Project Management</i>	12	518	20.83	2011	43.17	1.74
<i>Safety Science</i>	60	1964	102.21	2014	32.73	1.70
<i>Building and Environment</i>	3	177	4.93	2004	59.00	1.64
<i>Journal of Computing in Civil Engineering</i>	8	109	11.15	2015	13.63	1.39
<i>Accident Analysis and Prevention</i>	12	181	15.83	2013	15.08	1.32
<i>Journal of Architectural Engineering</i>	4	122	5.10	2002	30.50	1.27
<i>Journal of Construction Engineering and Management</i>	90	1855	103.87	2011	20.61	1.15
<i>Journal of Management in Engineering</i>	12	134	13.19	2013	11.17	1.10
<i>Construction Management and Economics</i>	21	391	21.40	2008	18.62	1.02
<i>International Journal of Industrial Ergonomics</i>	6	191	5.83	2009	31.83	0.97
<i>Journal of Professional Issues in Engineering Education and Practice</i>	7	111	6.61	2013	15.86	0.94
<i>Applied Ergonomics</i>	3	25	1.94	2015	8.33	0.65
<i>Journal of Safety Research</i>	11	199	6.92	2011	18.09	0.63
<i>Engineering, Construction and Architectural Management</i>	6	88	3.48	2013	14.67	0.58
<i>Journal of Performance of Constructed Facilities</i>	5	37	2.88	1998	7.40	0.58
<i>Journal of Civil Engineering and Management</i>	9	46	4.65	2016	5.11	0.52
<i>American Journal of Industrial Medicine</i>	4	76	1.78	2005	19.00	0.44
<i>Work</i>	6	50	2.37	2007	8.33	0.39

151 ¹: Ave. Pub. Yr denotes the average publication year of articles published in the given journal.

152 ²: The Ave. Norm. Citation represents the normalized number of citations of a journal, document, author, or an
153 organization. It equals the total number of citations divided by the average number of citations published in the
154 same year. The normalization corrects the misinterpretation that older documents have more time to receive
155 citations than more recent one (van Eck and Waltman, 2017). The Norm. Citation in Table 1 measures the citation
156 of all the articles within the same journal, while the Ave. Norm. Citation represents the normalized citation per
157 article, it is calculated by dividing the Nor. Citation by the number of articles.

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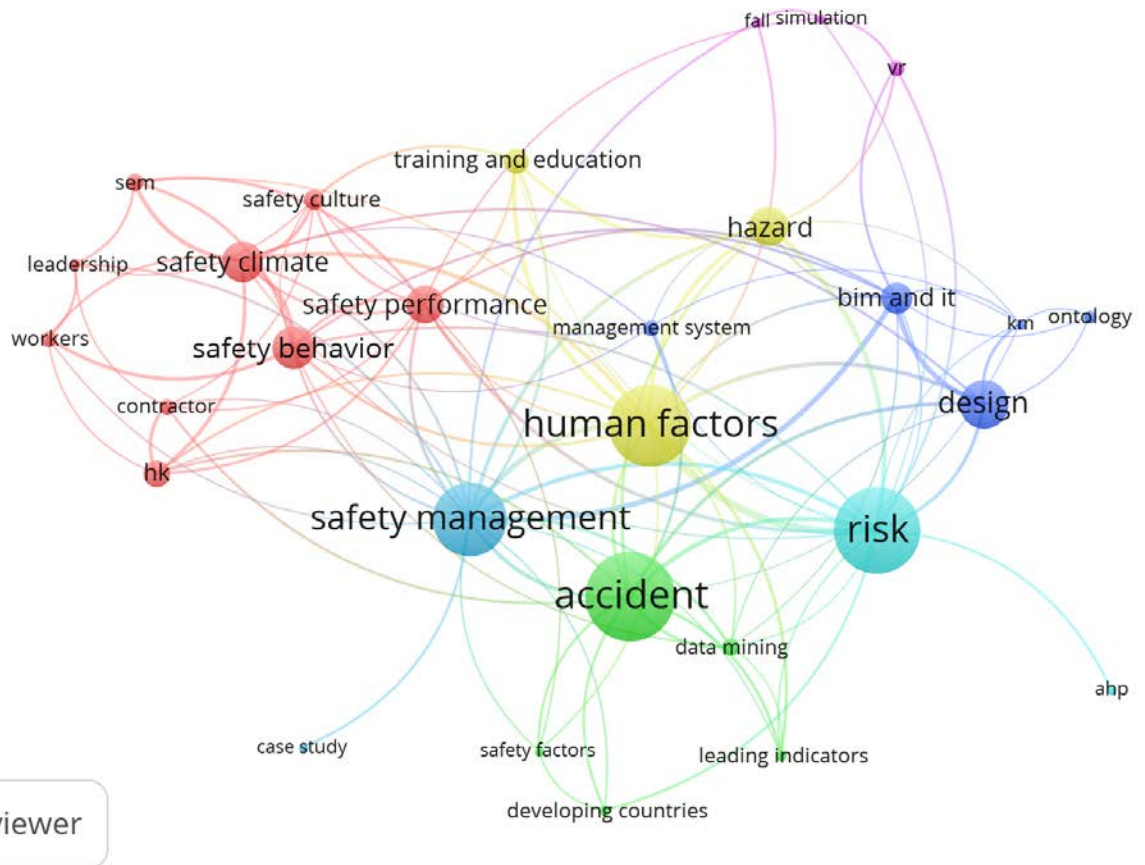
159 Journals in Table 1 are listed according to their Ave. Norm. Citation. It is found that these
160 two journals (i.e., *Automation in Construction*, and *Reliability Engineering and System Safety*)
161 are most influential in construction safety research in terms of the Ave. Norm. Citation. In
162 terms of number of articles and total citations, *Safety Science* and *JCEM* are the two most
163 productive journals publishing research in construction safety. The Avg. Pub. Yr., which

164 measures the average year of publication of all articles published in the same journal, is a
165 measurement of the recentness of published research from the given journal. It can be seen that
166 the recentness of published articles vary somewhat significantly. Journals including
167 *Automation in Construction*, *Applied Ergonomics*, *Safety Science*, and *Journal of Civil Engineering*
168 *and Management* published articles in the construction safety domain actively. In contrast, other
169 journals seem not continuing active in published articles in construction safety, such as *Building and*
170 *Environment*, *Construction Management and Economics*, and *Journal of Performance of*
171 *Constructed Facilities*.

172 **3.2.Keyword analysis**

173 Keywords represent core contents of existing studies and describe research topics within a
174 given domain (Su and Lee, 2010). Co-occurrence of keywords demonstrate the inter-closeness
175 among them. By using “Author Keywords” and “Fractional Counting” in *VOSViewer*
176 recommended by van Eck and Waltman (2014), and by setting the minimum occurrence of
177 keywords at 4 in *VOSViewer*, 64 out of the totally 1,260 keywords were initially selected.
178 Further work was performed to remove general keywords, such as “construction safety”,
179 “safety”, “construction industry”, and “construction site”, etc. Some other keywords with the
180 same semantic meaning, such as “BIM” and “Building Information Modeling”, were
181 combined. The second-round text mining of research keywords was performed to eliminate
182 general keywords and to combine semantically consistent keywords. Finally, 29 keywords
183 were generated from the science mapping shown in Fig. 4. These keywords have been more
184 frequently used in previous research of construction safety, including “accident”, “human
185 factor”, “risk”, and “safety management”. The connection lines in Fig. 4 show the inter-
186 relatedness between a pair of keywords. For example, human factors closely related to
187 employee’ safety behavior, which covers studies focusing on correcting workers’ unsafe
188 behavior through proper safety management (Guo et al., 2017b). The keyword “design”
189 highlights design for safety in construction, and it is found with close links to BIM and IT,

190 ontology, and knowledge management (KM). The keyword “hazard” covers hazard
 191 identification, hazard control, and hazard assessment. Fall is found with close connection with
 192 hazard, as it is one of the most common safety hazards on-site (Al-Kaabi and Hadipriono,
 193 2003).



194
 195 Note: 1. 2. VOSViewer could only display small-cased letters. For example, “bim and it” means BIM and IT, or
 196 Building Information Modeling and Information Technology; 2. SEM in Figure.2 stands for structural equation
 197 modeling; HK is Hong Kong; AHP means analytic hierarchy process.

198 Fig. 4. Visualization of author keywords from the literature sample

199
 200 Keywords in Fig.4 are divided into several clusters. Keywords within the same cluster
 201 generally have closer internal relationships. For example, safety climate is often co-studied
 202 with safety culture in the same article. The distances and connection lines between keywords
 203 in Fig.4 indicate the inter-relatedness among them. For example, BIM and information
 204 technologies are found with close relationship with design for safety, knowledge management,
 205 and ontology; *safety behavior*, *human factors*, and *safety management* are closely related to
 206 each other. The font size indicates the frequency of the keyword that has been studied in the

207 selected literature sample. These most frequently studied keywords include *safety*
 208 *management, accident, human factors, risk, and design*. They are further summarized in Table
 209 2, based on the occurrence of them in the literature sample, average year of publication (i.e.,
 210 Avg. Pub. Yr.), Average citation number (i.e., Avg. Citation), and average normalized citation
 211 (i.e., Avg. Norm. Citation).

212 Table 2. Quantitative summary of journal impacts in construction safety research

Keyword	Occurrence	Avg. Pub. Yr.	Avg. Citation	Avg. Norm. Citation
Simulation	4	2013	63.3	3.22
Fall	4	2015	51.5	2.57
Leading Indicator	5	2015	28.6	2.13
Knowledge Management	4	2015	23.3	1.79
SEM	8	2016	6	1.78
BIM and IT	16	2016	16.3	1.68
Case Study	4	2016	19	1.66
Contractor	7	2009	24.4	1.63
Safety Behavior	22	2016	18	1.48
Training and Education	12	2014	23.6	1.42
Ontology	6	2015	13	1.29
Design	26	2014	26.3	1.27
Safety Management	41	2014	13.7	1.23
Risk	49	2014	19.5	1.21
Management System	7	2011	59	1.2
VR	7	2015	21.4	1.18
Hazard	20	2015	11.6	1.17
Safety Climate	21	2014	31.6	1.15
Human Factor	46	2015	12.2	1.1
Safety Culture	10	2014	28.7	1.03
Developing Country	5	2010	7.4	1
Safety Performance	19	2014	10.8	0.94
Accident	51	2010	17.4	0.84
HK	13	2011	28.2	0.81
Data Mining	8	2015	6.6	0.8
Leadership	5	2015	7	0.73
Safety Factor	4	2013	16	0.54
Worker	7	2017	0.4	0.27
AHP	4	2016	0.5	0.1

214 It is noticed that keywords listed in Table 2 follow the ranking of Avg. Norm. Citation. It is
215 indicated from Table 2 that keywords with the highest occurrence do not necessarily have the
216 highest Ave. Citation or Avg. Norm. Citation. For example, keywords with highest Avg. Norm.
217 Citation include *simulation*, *fall*, and *leading indicators*. It is indicated that studies focusing on
218 applying simulation in safety planning (Goh and Askar Ali, 2016), accidents or risks caused
219 by fall hazard (Cheung and Chan, 2012), or developing indicator system for evaluating safety
220 performance or safety levels (Guo et al., 2017a) are likely to have higher impact in the research
221 community of construction safety. The Avg. Pub. Yr. shows the recentness of keywords being
222 studied and published. It is seen that most keywords listed in Table 2 are being studied in recent
223 years except *contractor*, *developing countries*, and *accident*, which happen to be more
224 traditionally studied keywords. These more emerging keywords include *workers*, *SEM* (i.e.,
225 structural equation modeling), *AHP* (i.e., analytical hierarchy process), *BIM and IT*, *safety*
226 *behavior*, and *case study*. Observations of Fig.4 and Table 2 could lead to the following clusters
227 of keywords that represent the mainstream directions of research in construction safety:

228 1. Safety climate and safety culture, which represent proactive indicators of safety
229 performance, are highly linked to safety behaviors of workers. Leadership from contractors
230 and other stakeholders (e.g., owner) all have significant impacts on safety culture (Wu et
231 al., 2016). These safety site issues have been emphasized from research conducted in Hong
232 Kong such as Wong et al. (2009) and Ju and Rowlinson (2014). SEM (Sunindijo and Zou,
233 2012) has been one of the main research methods in the studies of safety climate and safety
234 culture.

235 2. Information technology (e.g., BIM) has been playing a more significant role in safety
236 management. BIM has been displaying its influence in safety design and knowledge
237 management (Tixier et al., 2016; Zhang et al., 2015a). Ontology is closely related to

238 information technologies in developing knowledge-based safety system (Guo and Goh,
239 2017; Lu et al., 2015).

240 3. Besides BIM, using other latest digital technologies such as VR (i.e., virtual reality), AR
241 (i.e., augmented reality), and game engine in safety management, including training (Sacks
242 et al., 2013) by creating accident scenarios (Park and Kim, 2013). These activity games in
243 VR or AR create the simulation representing site danger scenarios for safety training and
244 education (Le et al., 2015).

245 4. Data analytics such as data mining are being applied in construction safety research,
246 specifically in hazard assessment (Hsueh et al., 2013), accident prediction (Rivas et al.,
247 2011), and managing safety database from accidents (Goh and Ubeynarayana, 2017).
248 Therefore, data mining is widely applied in adopting leading indicator (e.g., safety
249 compliance) for safety performance prediction (Salas and Hallowell, 2016).

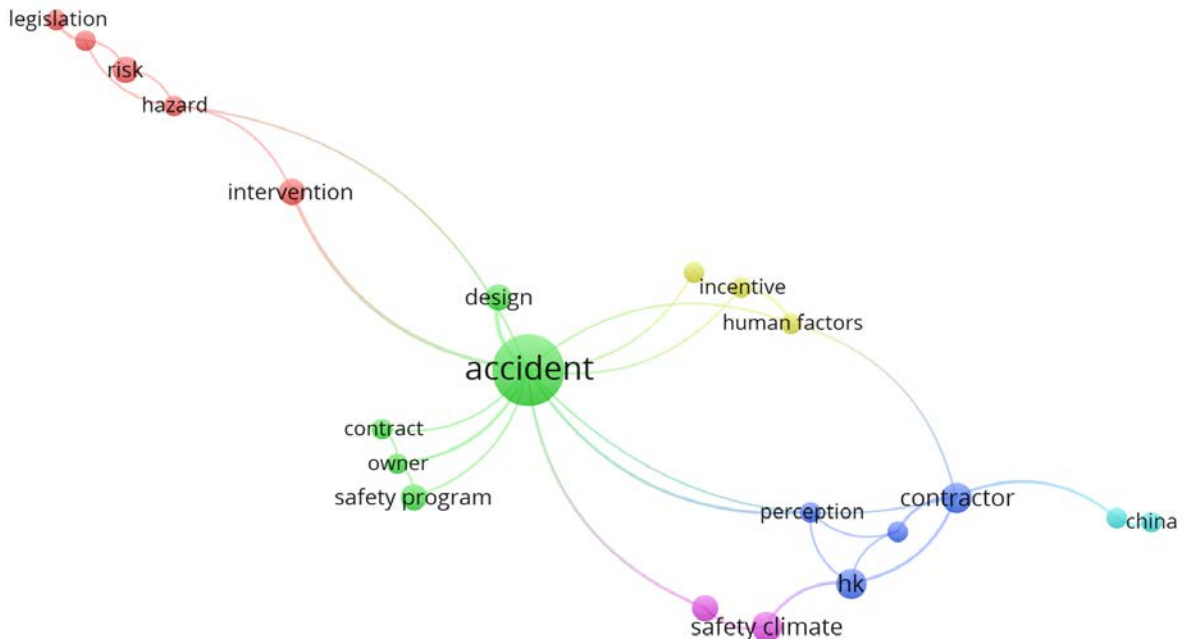
250 5. Analytical hierarchy process (AHP) is being widely applied in safety risk management,
251 including risk analysis (Ardeshir et al., 2016) and safety level assessment (Huang et al.,
252 2018).

253 6. Safety management programs covering training and education form a key part in the
254 management system. Various studies (Chen and Jin, 2012; Li et al., 2018b) can be found
255 introducing effective site safety programs addressing human factors and hazard
256 assessment. Human factors in safety is an issue that has been identified to cause management
257 failure (Kim et al., 2014).

258 7. Case study is another main research methodology to evaluate and test the effectiveness of
259 newly developed management system or process, such as risk assessment model (Ning et
260 al., 2018), worker safety behavior monitoring system (Li et al., 2015a), and decision-
261 making in safety planning (Priemus and Ale, 2010).

262 3.3. Comparison of keywords between subsamples of literature

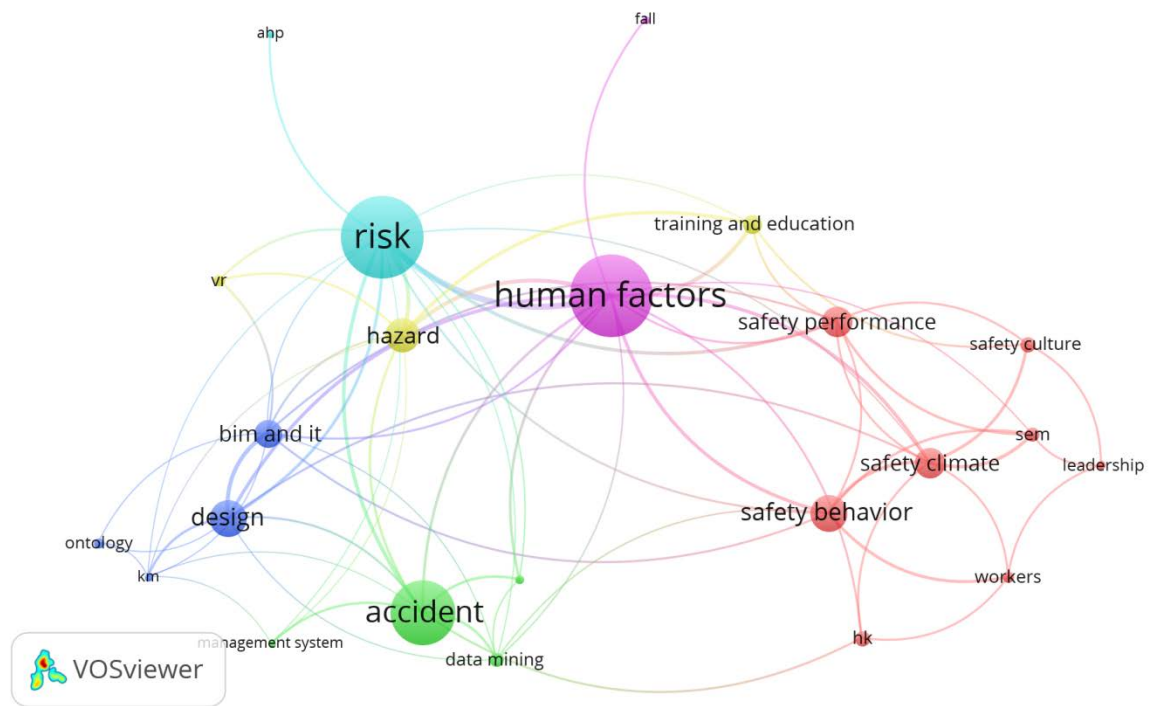
263 Continued from the keyword analysis, the literature can be further divided into two
264 subsamples according to their years of publication. A total of 115 articles were published before
265 2009, with the first one appearing in *Scopus* in 1982. The remaining 398 articles were published
266 during or after 2009. The separate mapping of keywords are demonstrated in Fig. 5 and Fig. 6,
267 which allow the comparison of mainstream research keywords between the two subsamples
268 (i.e., from the recent decade and before 2009). Compared to the keywords in the recent ten
269 years, fewer keywords are found in Fig. 5. Literature before 2009 focused more on accidents,
270 which were used as the main safety performance measurement (Ai Lin Teo and Yean Yng
271 Ling, 2006). The causes of higher accident rates were evaluated, stressing the importance of
272 safety training (Enshassi et al., 2007) and safety climate (Strahan et al., 2008). Compared to
273 these earlier research topics in construction safety, more recent keywords emerging in the
274 recent decade include “BIM and IT”, “VR” (i.e., Virtual Reality), “SEM” (i.e., structural
275 equation modeling), “data mining”, and “AHP” (i.e., analytic hierarchy process). It is indicated
276 that BIM and more advanced decision making tools (e.g., AHP) have been gaining wider
277 applications in construction safety management in recent years. BIM, as the recently emerging
278 digital technology, is gaining more application and research in assisting design for safety. Other
279 digital technologies, such as VR, are also gaining its popularity in safety training, as discussed
280 by Li et al. (2018a).



281

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Fig.5. Visualization of author keywords from the literature sample published before 2009



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Note: VR stands for virtual reality

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Fig. 6. Visualization of author keywords from the literature sample published following 2009

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287 3.4.Scholars in construction safety

288

Citation analysis was conducted using *VOSViewer*. In this study, a minimum number of

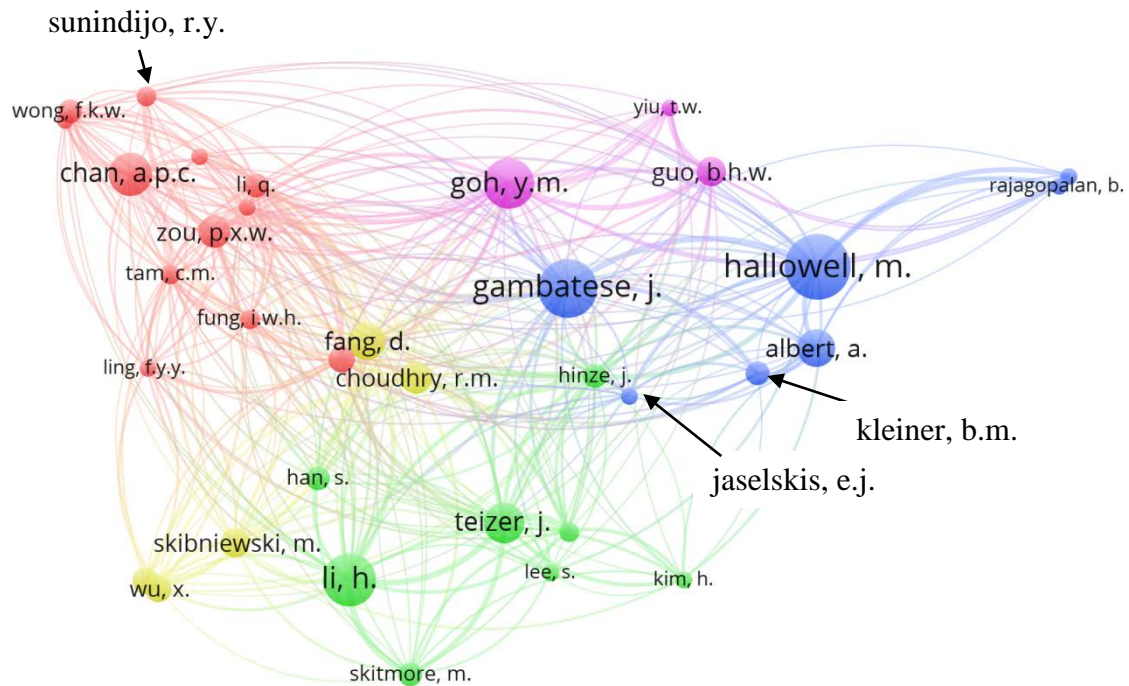
289

documents and minimum citation number of a scholar were set at 5 and 30 respectively. As a

290

result, a total of 35 scholars met the thresholds and visualized in Fig.7.

291



292

293 Fig.7. Visualization of scholars in the research community of construction safety

294 The font and circle size for each scholar indicate their number of publications in construction
 295 safety. Scholars displayed in Fig.7 were divided into different clusters according to their own
 296 citation networks. For example, Zou, P.X.W., Chan, A.P.C., Li,Q., Wong, F.K.W., Tam, C.M.,
 297 Sunindijo, R.Y., Ling, F.Y.Y., and Fung, I.W.H occurred to be in the same cluster, indicating
 298 their mutual influence by citing each other’s work. The influence among scholars can be further
 299 measured by the distance and connection lines shown in Fig.7. For example, Fang, D. and
 300 Choudhry, R.M. can be found with strong linkage in construction safety research. The
 301 quantitative analysis of scholars’ academic influence is provided in Table 3.

302 Table 3. Quantitative summary of impacts of scholars in the academic community of
 303 construction safety

Scholar name	Number of articles	Total citation	Norm. Citation	Ave. Pub. Yr.	Average citation	Ave. Norm. Citation
Zhang, S.	6	334	22.56	2015	55.67	3.76
Teizer, J.	13	712	37.83	2013	54.77	2.91
Li, H.	17	148	38.40	2015	8.71	2.26
Lee, S.	5	100	11.06	2015	20.00	2.21

Yiu, T.W.	5	52	10.34	2016	10.40	2.07
Tam, C.M.	6	345	11.92	2004	57.50	1.99
Hinze, J.	7	449	13.84	2009	64.14	1.98
Tixier, A.J.-P.	5	53	9.87	2016	10.60	1.97
Fung, I.W.H.	6	204	11.42	2007	34.00	1.90
Rajagopalan, B.	5	44	9.43	2016	8.80	1.89
Jaselskis, E.J.	5	184	9.25	2003	36.80	1.85
Skitmore, M.	7	49	12.16	2016	7.00	1.74
Wu, X.	8	105	13.80	2016	13.13	1.73
Skibniewski, M.	9	128	15.05	2016	14.22	1.67
Zhou, Z.	5	98	8.32	2014	19.60	1.66
Zhang, L.	8	104	13.17	2016	13.00	1.65
Fang, D.	12	658	17.89	2012	54.83	1.49
Choudhry, R.M.	10	571	14.62	2011	57.10	1.46
Li, Q.	7	101	10.23	2015	14.43	1.46
Mohamed, S.	8	399	11.42	2010	49.88	1.43
Kim, H.	5	60	7.12	2015	12.00	1.42
Hallowell, M.	22	380	29.22	2015	17.27	1.33
Gambatese, J.	19	559	23.55	2012	29.42	1.24
Guo, B.H.W.	9	53	10.98	2017	5.89	1.22
Kleiner, B.M.	7	89	8.13	2015	12.71	1.16
Albert, A.	12	113	13.86	2016	9.42	1.16
Ling, F.Y.Y.	5	188	5.68	2010	37.60	1.14
Han, S.	7	89	7.16	2015	12.71	1.02
Goh, Y.M.	16	160	13.17	2015	10.00	0.82
Zou, P.X.W.	10	115	7.61	2014	11.50	0.76
Sunindijo, R.Y.	6	74	4.55	2013	12.33	0.76
Hon, C.K.H.	5	37	3.75	2016	7.40	0.75
Chan, A.P.C.	14	113	8.64	2013	8.07	0.62
Wong, F.K.W.	7	44	4.04	2013	6.29	0.58
Irizarry, J.	5	42	2.83	2014	8.40	0.57

304

305 Scholars listed in Table 3 are based on their Ave. Norm. Citation. It is seen in Table 3 that
306 Zhang, S, although with only six articles in total from the literature sample, has the highest
307 influence measured by the Ave. Norm. Citation. In terms of Norm. Citation representing the
308 average citation per year of all personal publications, Teizer, J., Li, H., and Hallowell, M. top
309 the table. In terms of total citation and average citation, Fang, D., Gambatese, J., Choudhry,
310 R.M., and Hinze, J. can be considered scholars with the highest contribution to the academic
311 community of construction safety. Hallowell, M., Gambatese, J., Li, H., Goh, Y.M., and Chan,

312 A.P.C. can be considered as most productive scholars measured by their number of publications
 313 in this literature sample. The Ave. Pub. Yr. infers the recentness of publications of the scholar.
 314 As seen in Table 3, most scholars remain active in the research domain of construction safety,
 315 except Tam, C.M., Fung, I.W.H., Jaselskis, E.J., and Hinze, J., whose values of Ave. Pub. Yr.
 316 are all before 2010.

317 3.5.Document analysis

318
 319 Setting the minimum citation number at 50 in filtering the literature sample, a total of 49
 320 articles met the requirements. These most influential articles in terms of normalized citation
 321 are listed in Table 4.

322 Table 4. Summary of highly cited journal articles in construction safety

Article	Title	Total citation	Normalized citation
Zhang et al., (2013)	Building Information Modeling (BIM) and Safety: Automatic Safety Checking of Construction Models and Schedules	201	9.82
Zhang et al. (2015b)	BIM-based fall hazard identification and prevention in construction safety planning	51	4.89
Teizer et al. (2010)	Autonomous pro-active real-time construction worker and equipment operator proximity safety alert system	164	4.73
Hinze et al. (2013)	Leading indicators of construction safety performance	86	4.20
Mohamed (1999)	Empirical investigation of construction safety management activities and performance in Australia	53	4.08
Lee et al. (2012)	RFID-Based Real-Time Locating System for Construction Safety Management	55	4.07
Cheng and Teizer (2013)	Real-time resource location data collection and visualization technology for construction safety and activity monitoring applications	82	4.01
Choudhry et al. (2007)	The nature of safety culture: A survey of the state-of-the-art	222	3.85
Tam et al. (2002)	Non-structural fuzzy decision support system for evaluation of construction safety management system	63	3.53
Hallowell and Gambatese (2009)	Construction safety risk mitigation	80	3.33
Gürçanlı and Müngen (2009)	An occupational safety risk analysis method at construction sites using fuzzy sets	79	3.29
Tam et al. (2004)	Identifying elements of poor construction safety management in China	167	3.27
(Carter and Smith, 2006)	Safety hazard identification on construction projects	144	2.97

(Behm, 2005)	Linking construction fatalities to the design for construction safety concept	172	2.94
Choudhry and Fang (2008)	Why operatives engage in unsafe work behavior: Investigating factors on construction sites	188	2.87
Pinto et al. (2011)	Occupational risk assessment in construction industry - Overview and reflection	82	2.66
Jaselskis et al. (1996)	Strategies for achieving excellence in construction safety performance	141	2.53
Gambatese et al. (1997)	Tool to design for construction worker safety	82	2.43
Garrett and Teizer (2009)	Human factors analysis classification system relating to human error awareness taxonomy in construction safety	54	2.25
Kartam (1997)	Integrating safety and health performance into construction CPM	76	2.25
Aksorn and Hadikusumo (2008)	Critical success factors influencing safety program performance in Thai construction projects	133	2.03

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336 **4. Qualitative discussions**

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338 Following up the bibliometric analysis and science mapping of the selected literature

339 sample, the in-depth qualitative discussion now focused on summarizing the main research

340 topics within the theme of construction safety, identifying the existing research gaps, as well

341 as proposing a framework by linking existing research topics into future recommended
342 directions.

343 344 **4.1.Summary of main research topics in construction safety**

345 The seven main clusters of keywords identified from Fig.2 are not separated. Instead,
346 keywords from different clusters could have strong links. For example, BIM and VR are both
347 digital technology and are linked to each other to be applied in safety management, although
348 VR is more commonly applied in safety education and training, while BIM can be more widely
349 applied in various safety management activities such as design for safety and site planning
350 (Choi et al., 2017; Malekitabar et al., 2016). Continued from the clustering of keywords in
351 Section 3.2, the mainstream research topics in construction safety can be summarized below.

352 *4.1.1. Safety climate and safety culture*

353 There have been multiple studies focusing on developing, testing, and evaluating the
354 framework of safety climate or safety culture (Ardeshir and Mohajeri, 2018; Chen et al., 2018),
355 as well as linking them to safety performance measurement (Alruqi et al., 2018). Key indicators
356 within safety climate (Alruqi et al., 2018; Newaz et al., 2018) is being kept updated and
357 validated using statistical methods such as SEM (Zhang et al., 2018a). Commonly defined
358 elements within safety climate include but are not limited to management commitment (Zahoor
359 et al., 2017), co-workers caring and communication (Gao et al., 2016), and safety behavior
360 (Zhang et al., 2017). A high attention within safety climate has been paid on workers, such as
361 worker behavior (Jiang et al., 2018), safety compliance (Xia et al., 2018), and perceptions
362 (Stiehl and Forst, 2018).

363 Multiple studies (Guldenmund, 2000; Marquardt et al., 2012) indicate that safety climate
364 forms a core part of safety culture (Zou and Sunindijo 2015). Safety culture studies have been
365 much focusing on the organization level (Goncalves Filho and Waterson, 2018), not just within
366 contractors (Karakhan et al., 2018) but also other stakeholders(Wu et al., 2015a). Modeling

367 safety culture can be found in various existing studies (Koch, 2013; Trinh et al., 2018). Much
368 work (e.g., Choudhry et al., 2009; Wen Lim et al., 2018) has addressed the relationships among
369 safety climate, safety culture, and safety performance. For example, Teo and Feng (2009) found
370 that safety climate impacted safety culture in terms of psychological, situational/environmental
371 and behavioral aspects, and the assessment of safety climate could predict safety culture, which
372 could further influences safety performance (Choudhry et al., 2009).

373 *4.1.2. Information and communication technology in safety management*

374 Information and communication technologies (ICT) have been emerging in the global
375 construction industry. BIM has displayed its role in safety management as showcased in
376 existing studies (Chen and Liu, 2015; Zhang et al., 2015b), such as training for workers in off-
377 site construction by increasing workers' hazard awareness (Li et al., 2015b), visualization of
378 scaffolding and safety facilities for accident prevention (Liu et al., 2017), and developing
379 databases of near-miss events on-site (Shen and Marks, 2016). Besides BIM, VR has shown its
380 influence in safety training and education (Pedro et al., 2016), specifically in hazard recognition
381 and risk identification in the virtual environment (Perlman et al., 2014) and improving the
382 communication between designer and builder (Sacks et al., 2015). Multiple other digital tools
383 or media such as sensor-based technology, 3S (GIS/GPS/RS) technology, radio frequency
384 identification (RFID) as mentioned by Zhou et al. (2013) could be integrated to assist
385 construction safety management. For example, Aguilar and Hewage (2013) developed a real-
386 time safety indicator from a centralized safety database with ICT to assist site managers' site
387 decision making; Cheng and Teizer (2013) applied real-time data collection, processing, and
388 visualization through remote sensing and VR to monitor site safety condition; Zou et al.
389 (2017a) developed a cloud-based safety information and communication system to improve
390 road construction safety.

391 *4.1.3. Workers' safety perception and behavior*

392 Workers health and safety on construction sites have been largely focused when applying
393 ICTs. For instance, Park et al. (2016) created and evaluated a proximity detection and alert
394 system using Bluetooth sensing technology aiming to protect the health and safety of pavement
395 workers; Yi and Wang (2017) applied a mixed-integer linear programming approach to
396 optimize workers' site work schedule under hot outdoor weather condition; Workers' site
397 condition exposed to unpleasant weather (e.g., hot temperature) has caught the attention of
398 multiple researchers (Han et al., 2018; Yi and Chan, 2017). More studies have focused on
399 workers' demographic or subgroup features, **such as minority or immigration workers** (Chan
400 et al., 2017; Lyu et al., 2018; Suo and Zhang, 2017; **Wasilkiewicz et al., 2016**), workers from
401 difference experience levels (Han et al., 2018), and workers from different building trades
402 (Chen and Jin, 2015). The causes of workers' variation in their safety perception, attitudes,
403 behavior, and performance have been linked to dimensions within safety climate such as safety
404 awareness and co-workers attitudes (Choudhry and Fang, 2008), safety program (Bigelow et
405 al., 1998), company size (Guo et al., 2018b), and management methods (Guo et al., 2018c).
406 **The causal connection analysis conducted by Winge et al. (2019) showed that supervision**
407 **strongly affected workers' safety actions.**

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409 *4.1.4. Safety management system*

410 There is a significant correlation between management commitment and safety performance
411 (Abudayyeh et al., 2006). An effective safety program incorporating the management
412 commitment as well as training and education could ultimately lead to improved safety
413 performance (Chen and Jin, 2012). Participation in safety management should not be limited
414 to contractors, but other stakeholders such as the owner (Huang and Hinze, 2006). Researchers
415 have been working on developing a safety management plan that a typical contractor can adopt
416 (Shahbodaghlou and Haven, 2000). Key factors within safety management program have been

417 studied to explore their inter-relationships. For instance, Bavafa et al. (2018) found that safety
418 commitment and responsibilities, subcontractors and personnel's selection, safety supervisor
419 and professionals, plan for safety, and employee involvement were key critical factors to have
420 an improved safety program. Similarly, a total of 16 critical factors influencing the success of
421 a construction safety program was identified and tested by Aksorn and Hadikusumo (2008).
422 Similar studies investigating key factors for effective implementation of safety management
423 system can be found in Pereira et al. (2018) and Yiu et al. (2018). The subcontracting nature
424 of the construction industry indicates that an effective safety program should gain the joint-
425 effort among multiple organizations to maintain consistent safety compliance, which could be
426 evaluated based on behavior-based safety rules (Guo et al., 2018a). **The conflicting objective**
427 **between site productivity and safety as indicated by Sandberg and Albrechtsen (2018) needs to**
428 **be properly handled in the safety reporting process, which should be part of an effective**
429 **management program.**

430 *4.1.5. Hazard identification, accident causation, and risk management in safety*

431 (Pereira et al., 2018) found that the highest-priority accident precursors are workers' failure
432 to identify hazards and negligence of hazards. Hazard identification, accident investigation,
433 and risk assessment have been a long-standing research topics within construction safety
434 management. Earlier studies have been focusing on proper protective equipment (Lette et al.,
435 2018), **accident types and physical barrier elements (Winge and Albrechtsen, 2018), causes of**
436 **accidents or incidents (Ale et al., 2008; Haslam et al., 2005; Manu et al., 2010),** safety training
437 (Jeelani et al., 2018), and effective management program (Li et al., 2018b). More recent studies
438 (Choe and Leite, 2017; Malekitabar et al., 2016; Park et al., 2017; Yi et al., 2015; Zou et al.,
439 2017b) have been emphasizing on ICT (e.g., BIM) as the digital approach in mitigating safety
440 risks. Safety should be considered in the design stage (Teo et al., 2016), when hazards can be
441 identified in the ontology-based semantic modeling (Zhang et al., 2015a).

442 **4.2. Research gaps in construction safety**

443 *4.2.1. An adaptable safety climate and safety culture framework*

444 The scale of safety climate research cross different levels, including industry, organization,
445 site, and group levels (Chen et al., 2018). An adaptable or robust safety climate indicator system
446 that can be applied in different sites or groups could be further developed, due to the
447 heterogeneous features and complexity of construction projects. Similarly, Trinh et al. (2018)
448 indicated that a resilient safety culture model should lead to high safety performance regardless
449 of the changing complexity levels or conditions of construction projects. There is a gap of how
450 such an indicator system in safety climate and the safety culture model can be more widely
451 applicable in inter-organizational context and also in different project sites and subgroups of
452 site employees. The indicators within safety climate and culture are not completely consistent
453 among studies (Li et al., 2017; McCabe et al., 2017; Wu et al., 2015b), possibly due to the
454 general safety culture in the industry level within a country or region's context. Most existing
455 studies (Chen et al., 2013; Zahoor et al., 2017) on safety climate and safety culture have been
456 set on the context of a specific country, with limited extending the framework for cross-country
457 validation or international comparison. Nevertheless, it is an important issue of implementing
458 safety practice in international construction projects (Gao et al., 2018) **and sharing the safety**
459 **experience crossing countries (Gibb et al., 2014).**

460 *4.2.2. ICT application in construction safety*

461 A review of these studies applying ICT in site safety indicates that most studies have focused
462 on fall hazard identification (Melzner et al., 2013; Qi et al., 2014; Wang et al., 2015; Zhang et
463 al., 2015b). As there are multiple common hazards on construction sites, such as fall, struck-
464 by, caught-in-between, and electrocution identified as Focus4Hazard by (OSHA, 2011). More
465 ICT-based platforms, prototypes, or user interfaces could be extended to incorporate these main
466 hazards on-site. A step forward of the existing research in applying BIM for safety hazard

467 identification could be to create a prototype that automatically updates hazard assessment the
468 as-planned BIM as project progresses. Hazard assessment is defined as the occurrence,
469 severity, and risk level according to existing safety database. More importantly, the established
470 ICT-based prototype or frameworks (Teo et al., 2016) could be further tested with more real-
471 world cases, including its user friendliness, users' readiness, acceptance, and easiness for safety
472 communication. From the technical perspective, the interoperability among multiple ICT tools
473 (e.g., BIM and wireless sensing) to allow information exchange during real-time data collection
474 and processing needs to be further studied.

475 *4.2.3. Workers' safety issues*

476 Subgroup factors of workers (e.g., age and site experience level) could cause different safety
477 perceptions (Han et al., 2018), further leading to varied safety behavior (Li et al., 2015c), and
478 ultimately safety performance (Chen et al., 2018). Besides these internal influence factors,
479 there have been limited studies of how the external conditions of jobsites (e.g., lighting,
480 temperature, spatial crowdedness) affect workers safety perception, behavior, and
481 performance. Workers' safety perceptions towards site hazards and risks could be further
482 linked to their safety cognition pattern (Liu, 2018; Marquardt et al., 2012). There have been
483 limited studies linking their cognition patterns (e.g., safety knowledge-based, prior scenario-
484 based, and basic assumption-based) into safety perceptions. Furthermore, the cognition
485 psychology or cognitive model, although having been studied in other industries or fields such
486 as traffic (Aksan et al., 2017; Lyu et al., 2017), have not been sufficiently applied in
487 construction safety, especially in workers' safety perception or behavior (Fang et al., 2016).

488 *4.2.4. Adaptability of safety management system*

489 Similar to the studies in safety climate and safety culture, the effectiveness of existing safety
490 programs should also be tested in different organization sizes, different project conditions, and
491 different country contexts as indicated by Bavafa et al. (2018) and Oswald et al. (2018). More

492 recent studies (Kim et al., 2019) have proposed ICT (e.g., Internet-of-Things) in safety
493 management. However, many of these studies stay in the framework stage, more solid work
494 needs to be conducted in the future to establish, test, validate, and finally apply the proposed
495 ICT-based safety management programs. **The longer-term effects of specific safety programs
496 on safety climate and safety performance could be further investigated (Jeschke et al., 2017).**
497 The latest research by Niu et al. (2018) proposed the artificial intelligence that harnesses the
498 power of smart features within the newly developed system, which was named as the “third
499 wave” construction safety management, following “first wave” of safety management focusing
500 on “hard” equipment and the “second wave” highlighting the “soft” managerial safety (e.g.,
501 safety culture).

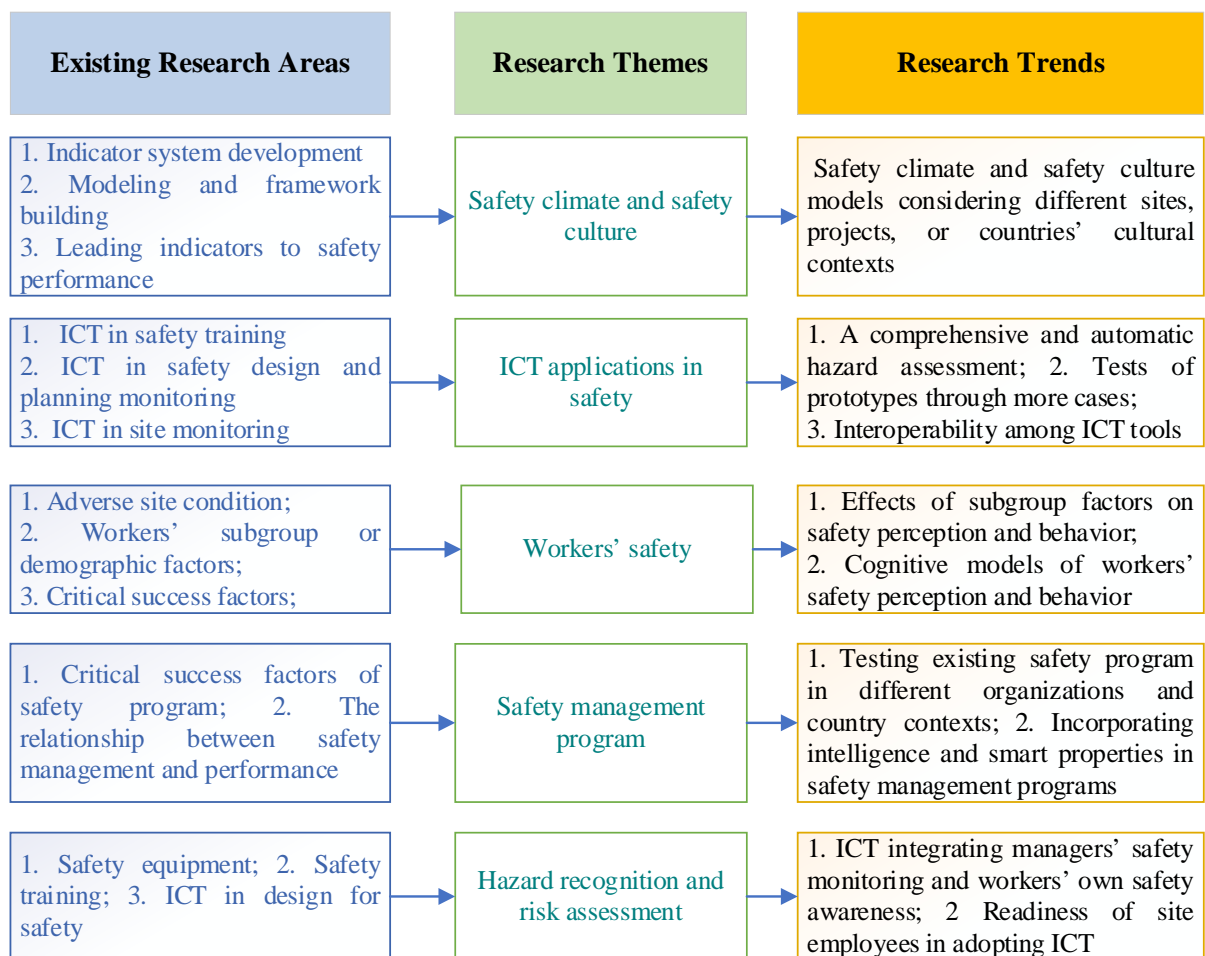
502 *4.2.5. Hazard recognition and risk mitigation*

503 Generally speaking, safety research in hazard recognition and risk mitigation could be
504 categorized as managerial studies which focus on human factors (e.g., workers’ characteristics)
505 and information technologies (e.g., BIM). There have been more emerging studies by applying
506 ICT tools in safety hazard identification and risk assessment such as (Qi et al., 2014) and (Yi
507 et al., 2015). Digital technologies such as BIM and VR are being applied in the pre-construction
508 stage to enhance workers’ skills in identifying and assessing hazards. However, there have been
509 insufficient studies in integrating ICT to monitor workers’ safety behavior and update it for
510 safety managers. It is known that ICT could assist design for safety in pre-construction stages.
511 As the construction project progresses, there could be updated BIM model by incorporating
512 workers’ latest activities through site data collected by wireless sensing technologies.
513 Therefore, safety managers are kept updated with workers’ physical status and work progress.
514 Safety managers can also be informed of potential hazards that might hold workers at risk as
515 workers’ tasks change or the project progresses. More future work can be performed in bridging
516 the manager monitoring of workers’ safety and workers’ own self-awareness of safety hazards

517 through ICT tools. Besides the technological innovation, the readiness of workers and
 518 management personnel to adopt newly developed ICT platforms should also be considered.

519 **4.3. Research trends in construction safety**

520 Based on the keyword analysis, qualitative discussions of mainstream research areas within
 521 construction, as well as gap analysis, a research framework suggesting future directions is
 522 proposed in Fig.8.



523

524 Fig.8. Research framework linking existing studies areas in construction safety to future
 525 directions

526 It should be noticed that these research themes shown in Fig.8 are not separated, but closely
 527 inter-connected. For example, ICT has gaining its momentum in being applied in safety
 528 management program and risk management; workers' safety forms a core part of safety
 529 climate; and workers' safety perceptions are driven by their hazard recognition and risk

530 assessments. A few directions in the future study of construction safety domain can be foreseen,
531 including:

- 532 1. Updated safety climate indicator systems and safety culture models that are either cross-
533 cultural, inter-organizational, or incorporating different levels of project complexity or site
534 conditions;
- 535 2. Integration of artificial intelligence, data analytics, and multiple ICT tools (e.g., BIM and
536 VR) in safety planning, site monitoring, and decision making;
- 537 3. Interdisciplinary approach addressing workers health and safety especially unpleasant
538 physical condition(Yi and Wang, 2017);
- 539 4. Tests of cognition models of workers' safety perception and behaviors incorporating their
540 subgroup or demographic factors;
- 541 5. Smart construction site safety management enabling an effective coordination and
542 communication between workers of different trades and management personnel;
- 543 6. User-friendly and site-ready risk management tools applying ICT.

544 Besides these above-mentioned emerging research directions in construction safety
545 research, safety compliance and rule-checking could be studied in a different context such as
546 off-site construction which is an emerging alternative construction technique that shortening
547 the in-site construction period (Jin et al., 2018).

548 **5. Conclusions**

550 This study adopted a science mapping approach consisting of bibliometric search and
551 scientometric analysis followed by an in-depth qualitative discussion to review over 500
552 journal articles in the domain of construction safety. It was found that over the past decade,
553 there had been significant increasing publications in construction safety, especially since 2012.
554 It could be further indicated that construction safety is a traditional and everlasting research
555 domain that is being kept updated with new elements (e.g., IT, BIM, VR). Journals that have

556 been productive in publishing construction safety were identified to be *Journal of Construction*
557 *Engineering and Management, Safety Science, and Automation in Construction*. Keyword
558 analysis revealed the mainstream topics within this domain, including accident prevention,
559 human factors, risk assessment, safety climate, safety behaviour, BIM and information
560 technology, and hazard identification. By further dividing the literature sample into two
561 subsamples according to their year of publications, it was found that studies within the recent
562 decade had paid more attention on applying digital information technologies especially BIM
563 in safety management. Other relevant topics had also become popular, including virtual reality,
564 ontology, data mining, and analytic hierarchy process. Several keywords have a longer history
565 but remain ongoing research themes, including human factors (e.g., safety behaviour), safety
566 performance, safety climate, training and safety program, risk assessment, and perceptions.
567 The scientometric analysis provided insights for the future research directions, such as applying
568 digital information technologies and data analytics in safety management, as well as further
569 studying how BIM affects design for safety, site monitoring, workers' safety behaviour, and
570 ultimately the safety performance.

571 Applying the same quantitative measurements (e.g., normalized citation), the influence of
572 journals, keywords, scholars, and articles were clustered and analysed, and it is found that
573 simulation and fall from height were the top keywords that received the highest normalized
574 citation, indicating that the academic community had paid more attention on applying
575 simulation techniques in safety planning, as well as commonly encountered hazards such as
576 fall. Leading indicator is another influential keyword, meaning that proactive measurement
577 such as safety climate is an ongoing highly studied topic. It is also found that most productive
578 scholars in construction safety were identified according to the selected literature sample,
579 including Hallowell, M., Gambatese, J., Li, H., Goh, Y.M., and Chan, A.P.C. Other than that
580 Zhang, S, although not with the highest number of publication, was found with highest

581 influence in construction safety by applying BIM in safety management; In addition, the most
582 influential articles in construction safety were found related to BIM or other information
583 technologies (e.g., real-time data capturing), although traditional topics within construction
584 safety such as safety program and safety behaviour remained popular in the academic
585 community.

- 586 1. The in-depth qualitative discussion revealed that future research directions could be in the
587 following six areas: an adaptable safety climate and safety culture model by incorporating
588 contexts in different sites, project complexity levels, or countries;
- 589 2. extending established prototypes of applying information technologies to a wider
590 construction community through more tests and case studies;
- 591 3. continuing studies of subgroups factors linked to cognitive models of workers' safety
592 perception and behaviour;
- 593 4. incorporating artificial intelligence and smart properties into safety program management;
- 594 5. developing and applying information technologies that could enhance the safety
595 communication and coordination between management personnel and workers;
- 596 6. evaluating the user acceptance and industry readiness of applying various information
597 technologies in construction safety management.

598 These proposed directions for future work could benefit both academic community and
599 industry practitioners in enhancing safety performance and improving site employees' health
600 and wellbeing. It should be pointed out that the current review is limited to the selected
601 literature sample published in *Scopus* and only English journal articles were included. It might
602 have potentially excluded some latest studies published in other languages or other types of
603 documents such as trade magazines.

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