

1 APPLICATION OF BACTERIOPHAGES



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6 7 8 Abstract

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10 This article is compiled by the participants of the *Expert Round Table* conference “Bacteriophages as tools
11 for therapy, prophylaxis and diagnostics” (19-21 October 2015) at the Eliava Institute of Bacteriophage,
12 Microbiology & Virology, Tbilisi, Georgia. The first paper from the *Round Table* “Silk route to the
13 acceptance and re-implementation of bacteriophage therapy” was published in the *Biotechnology Journal*
14 (2016), 11: 595-600 (DOI 10.1002/biot.201600023). This *In Focus* article expands from the first one and
15 includes recent developments reported since then by the *Expert Round Table* participants including the
16 implementation of the Nagoya Protocol for the applications of bacteriophages.

17 18 Introduction

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20 Antimicrobials are one of the most successful forms of therapy but their broad and often indiscriminate use
21 resulted in a widespread antimicrobial resistance (Aminov, 2010). The annual death toll due to multidrug-
22 resistant bacterial infections is estimated at 23,000 in the US and 25,000 in Europe (CDC, 2013; EMA, 2015).
23 Complementary strategies are urgently needed, and bacteriophage therapy offers:

- 24
- 25 • Specificity, target directed removal of pathogens via narrow spectrum and do not affect beneficial
- 26 commensals,
- 27 • Multiplication at infection sites, thus amplifying the local antimicrobial effects,
- 28 • Minimum, if any, side effects,
- 29 • Resistance can be dealt by introduction of new bacteriophages, which is faster and cheaper
- 30 compared to new antibiotics,
- 31 • Bacteriophages are active against multidrug-resistant and biofilm-forming bacteria,
- 32 • Lytic bacteriophages may limit the evolution and spread of antimicrobial resistance (Zhang and
- 33 Buckling, 2012),
- 34 • Bacteriophages act in synergy with antimicrobials,
- 35 • Phage CRISPR-Cas systems provide a new way to target antibiotic-resistant pathogens (Yosef et
- 36 al., 2015).

37 Bacteriophage therapy was pioneered at the Eliava Institute in Tbilisi, Georgia (Fig. 1), and the reader is
38 referred to the excellent *Historical Review* article by Chanishvili and Sharp (2008) published in *Microbiology*
39 *Australia*.
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43 **Fig. 1:** Bacteriophage medicine sold to patients at the Eliava Institute’s Pharmacy
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45 **1. Therapeutic application of bacteriophages and resistance**

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47 Large burn wounds lead to immunosuppression, making burn patients susceptible to infections. Although
48 medical advances have resulted in increased survival of burn victims, most deaths are due to the wound
49 sepsis or sepsis secondary to pneumonia. Animal studies showed that bacteriophages could rescue burnt mice
50 and guinea pigs with wound infection or bacteraemia. Presently, bacteriophage therapy aficionados eagerly
51 await the results of the “PhagoBurn” study (www.phagoburn.eu), the first trial conducted per the occidental
52 standards of good practices. This phase I/II multicentric, randomized and single-blind clinical trial involves 15
53 burn units in France, Switzerland and Belgium and targets burn wounds infected by *Escherichia coli* or
54 *Pseudomonas aeruginosa*. Manufacturing the investigational products took 20 months and bacteriophage
55 specificity issues hampered the recruitment of patients (Servick, 2016). Regardless of the clinical outcome of
56 the trial, dedicated and realistic production requirements are urgently needed.

57 Antagonistic bacterium-phage co-evolution is a dynamic process, where phage-resistant bacteria and
58 infective bacteriophages are selected in turn. While emergence of bacteria resistant against challenging
59 bacteriophages is a part of a dynamic coevolution, it could be problematic for the therapy. Thus, preventing
60 selection of phage-resistant variants that could result in treatment failure is crucial. Interestingly, while
61 phage-resistant *P. aeruginosa* can be readily selected in a test tube when challenged by the anti-*P. aeruginosa*
62 cocktail used in Phagoburn, these were not observed in a rat model of *P. aeruginosa*-induced experimental
63 endocarditis (Oechslin, 2016). Accordingly, two resistant variants recovered *in vitro* showed >70% and >40%
64 decrease in infectivity of rats, explaining the failure to recover them from *in vivo* biopsies. These variants were
65 respectively lacking lipopolysaccharide (LPS) and having the pili impaired, both structures being known as
66 phage-receptors (Bertozzi-Silva, 2016). This study illustrated that phage-resistance can emerge at a very high
67 cost in terms of virulence - and possibly *in vivo* survival - for the bacterium. This observation, which is not new
68 (Leon, 2015), is reassuring but the clinical relevance of phage-resistance should be carefully evaluated for
69 future clinical trials.

70 71 **2. Bacteriophages for food hygiene and safety and environmental applications**

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73 Bacteriophages are used since the 1980s to control and eliminate bacterial contaminants from food
74 surfaces, food-borne spoilage bacteria and bacteria causing gastrointestinal diseases (Garcia et al. 2008) as

75 well as to decontaminate raw food. Due to their specificity, bacteriophages are attractive for sanitization of
76 ready-to-eat foods (RTE) such as milk, vegetables and meat products (Endersen et al., 2014). In 2007, the US
77 Department of Agriculture (USDA) approved bacteriophage products targeting *Salmonella* species and *E. coli*
78 O157:H7. They are designed as spray sanitizers to disinfect cattle hides prior to slaughter to reduce pathogen
79 contamination of meat (Goodridge and Abedon, 2008). In parallel, the commercial product Agriphage™ was
80 developed to control black spot disease on tomato and pepper plants caused by *Xanthomonas campestris* and
81 *Pseudomonas syringae* (Monk et al. 2010).

82 Similarly, bacteriophages are also potentially useful as surface and environment decontaminants.
83 *Listeria* phages (3.5×10^8 PFU/ml), for instance, were as effective as a 20-ppm solution of a quaternary
84 ammonium compound (QAC) disinfectant for stainless steel decontamination. Interestingly, synergism
85 between different bacteriophages and phages-QAC was reported with bacteriophages being unaffected by
86 QAC at 50 ppm and up to 4 hours of contact time (Roy et al. 1993).

87 88 **3. Agricultural applications of bacteriophages**

89 Bacteriophage effects on target pathogens depend on the ecological and environmental context such as
90 abiotic environmental factors or surrounding microbial community. For example, phage-mediated killing of
91 pathogenic bacteria can be amplified in the presence of non-pathogenic bacteria that impose strong resource
92 competition with the pathogen. More recently, it was shown that the presence of antimicrobial producing
93 *Bacillus amyloliquefaciens* bacterium could shape the effect of bacteriophage selection on the plant pathogen
94 *Ralstonia solanacearum* (Wang et al. 2017). In this case, the effect was driven by evolutionary trade-off where
95 evolving resistance to a phage led to increased susceptibility to antimicrobials produced by *B.*
96 *amyloliquefaciens*. Similar evolutionary trade-offs can also lead to lowered expression of multiple important
97 *R. solanacearum* virulence factors and reduced virulence in tomato *in vivo* (Addy et al. 2012). Identifying
98 bacteriophages that impair pathogen virulence by binding to various surface structures (flagella, pili and LPS),
99 could be important for selecting therapeutic bacteriophages (Buttimer et al. 2017).

100 When applied topically or orally to animals, bacteriophages will eventually become associated with
101 the skin and wool/hair of animals. Thus, bacteriophages specific for animal pathogens could be isolated from
102 wool (Patten et al., 1995). These bacteriophages can reduce the number of bacteria associated with
103 'clumping', and thus represent an option for agricultural practices as opposed to antibiotics. Similarly,
104 bacteriophages have been recovered from the skin of healthy humans (Foulongne et al., 2012), or when they
105 were successfully incorporated into fibers used for human clothing (Mao, 2009).

106 107 108 **4. Current hurdles and regulatory status of bacteriophages**

109 Bacteriophages are not currently classified in medicinal legislation, since they are neither living nor chemical
110 agents. Therefore, it is complicated to regulate and perform clinical trials and commercialization (Fauconnier
111 2017). To ensure the efficiency of phage preparations, their effectiveness and host range towards currently
112 circulating pathogenic strains must be monitored. This might explain why the phage preparations approved in
113 the Russian Federation and Georgia are not static but are continuously updated to target newly emerging
114 pathogenic strains (Kutter et al. 2010). Legislation to allow these updates is necessary to circumvent repeated
115 registration procedures.

116 On July 5 2016, the Belgian Minister of Social Affairs and Public Health has formally acknowledged that it
117 is difficult to define the status of therapeutic phage preparations: should they be considered as industrially-
118 prepared medicinal products (subjected to constraints related to marketing authorization) or as magistral
119 preparations (prepared in pharmacies' officina) (Commission de la santé publique, de l'environnement et du
120 renouveau de la société, 2016). Magistral preparations (compounded prescription drug products in the US)
121 are made by a pharmacist from the constituent ingredients to meet specific patient needs. On October 26th,
122 2016, it was formally agreed that natural bacteriophages and their products, which are not fully compliant
123 with the European Directive requirements for medicinal products for human use and for which there is no
124 monograph in an official pharmacopoeia, can be processed by a pharmacist as raw materials (active
125 ingredients) in magistral preparations, providing compliance to several logical provisions.

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131 **5. Bacteriophage application in the Access and Benefit Sharing (ABS) context: The Nagoya Protocol**
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133 To combat antibiotic resistances, there is urgent need to build up large phage collections against the
134 pathogens like ESKAPE (*Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter*
135 *baumannii*, *P. aeruginosa* and *Enterobacteriaceae*). However, culture collections holding and offering quality-
136 checked authenticated bacteriophages in the sense of phage banks are confronted with two constraints. First,
137 there are no requirements for authors by journals to deposit bacteriophages with public repositories before
138 publishing, which differs from agreed procedures for their bacterial hosts (Murray, 1996). The second issue
139 that should be considered is the current development of rules for legal handling of bioresources that of
140 course includes the bacteriophages. On October 12th, 2014, the Nagoya Protocol <https://www.cbd.int/abs/>
141 has entered force in several countries that ratified the Convention on Biological Diversity (CBD)
142 <https://www.cbd.int/>. These laws deal with sampling, the accession and distribution of all genetic resources
143 including microorganisms regarding the ABS. One of the reasons of the ratification of the protocol is
144 protecting biodiversity under national sovereignty to prevent “biopiracy” and to restrict access. All
145 microbiologists who are sampling or distributing bioresources must be aware of these restrictions and should
146 refer to their respective national regulations. National regulations might differ in each country and failure to
147 comply with might result in legal consequences. For further information please see the DSMZ website at
148 <https://www.dsmz.de/deposit/nagoya-protocol.html>.
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150 **6. Conclusions and Future Perspectives**
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152 As already stated by Skurnik and Strauch (2006) a decade ago, the therapeutic use of
153 bacteriophages, possibly combined with antibiotics, is a promising therapy option. Safe and
154 controlled use of bacteriophage therapy will however, require as detailed information as possible on the
155 properties and behaviour of specific phage-bacterium systems, *in vitro* and especially *in vivo*. Susceptibility of
156 bacterial pathogens *in vivo* to bacteriophages is still not completely understood and requires dedicated (pre-
157)clinical research on more phage-bacterium systems. The requirements for quality and safety in
158 bacteriophage production and application have been defined and communicated (Pirnay et al., 2015;
159 Verbeken et al., 2014, Fauconnier, 2017).

160 Natural resources will naturally be utilized further to isolate many more bacteriophages to build-up
161 large phage collections to fight the antibiotic crisis. These efforts will then be translated into cooperation
162 across borders and continents which will be regulated by The Nagoya Protocol to some extent. Therefore,
163 facilitative regulations governing therapeutic use of bacteriophages should be implemented to counter
164 antibiotic resistance on a global scale. Bacteriophage application obviously have significant potential to
165 bridge human and veterinary medicine and bring effective solutions to antibiotic resistant problem as pointed
166 out in this article.
167

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