

1 **Organic Rankine cycle thermal architecture - from concept to demonstration**

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6

7 **Keywords**

8 Internal combustion engine

9 Organic Rankine cycle

10 Working fluid blend

11 Heat recovery arrangement

12 Steady state testing

13

14 **Highlights**

- 15 • A versatile heat recovery and working fluid test-rig is presented
- 16 • The specific exergy cost consideration supported the direct exhaust gas utilisation
- 17 • The water blends offered a noticeable improvement in overall conversion efficiency
- 18 • A holistic approach in NO_x reduction, downsizing and heat recovery is proposed
- 19 • Experimental process parameters corresponded to the near-optimal simulation values

20

21 **Abstract**

22 Waste heat to power conversion is expected to play a key role in reducing CO₂ emissions in the
23 mid-to-large scale internal combustion engines. The realisation of cost-effective deployment of
24 Organic Rankine Cycles (ORC) is shown to be hindered by several key factors, including, disconnect
25 between parameters considered in simulation studies to those demonstrated experimentally,
26 utilisation of low-grade ORC practice for high-grade applications, challenges in integrating multiple
27 heat recovery sources etc. To address such challenges, a programme of
28 'concept-to-demonstration' is in progress at the University of Brighton, with the presented focus
29 here being on the thermal architecture.

30

31 This paper describes some of the important features of a new experimental ORC test-rig that may
32 contribute towards increased overall conversion efficiencies. These features include, firstly, a
33 variable heat source setup, allowing the potential to replicate a wide range of realistic gaseous
34 sources. Secondly, the direct utilisation of the High-Temperature (HT) exhaust gases, which is
35 expected to lower the specific evaporator exergy cost by 22%. Thirdly, deployment of HT water
36 blends, this is estimated to increase the potential of overall conversion efficiency by 2.4 times.
37 Fourthly, a flexible thermal platform, offering multiple and efficient heat utilisation, with a holistic
38 approach to NO_x reduction, downsizing and exhaust heat recovery. Finally, advanced process
39 conditions (e.g. 29.3 bar, 270.9°C), which corresponds to the near-optimal region, and offers the
40 possibility of a 12.5% conversion rate of heat recovered to expansion power. The potential benefits
41 are quantified using a combination of published literature, procurement findings, simulation results
42 (Aspen HYSYS) and preliminary experimental measurements (NI LabVIEW). The paper concludes
43 with the rationale for the next intended research effort, i.e. high-pressure ratio and two-phase
44 expansion machines.

45

Nomenclature

c_p	specific heat (kJ/kg°C)
\dot{E}	exergy (kW)
LMTD	log mean temperature difference (°C)
\dot{m}	mass flow rate (kg/s)
\dot{Q}	energy (kW)
T	temperature (°C)

Greek symbols

η	efficiency
Δ	difference

Abbreviations

CAC	charge air cooler
EGR	exhaust gas recirculation
GWP	global warming potential
HEX	heat exchanger
HT	high temperature

ICE	internal combustion engine	46
IHE	internal heat exchanger	47
LT	low temperature	48
MT	medium temperature	49
ORC	organic Rankine cycle	50
PRV	pressure reducing valve	51
UA	product of heat transfer coefficient and area (W/°C)	52
VFR	volume flow ratio	53
<i>Subscripts</i>		54
<i>avg</i>	average	55
<i>evap</i>	evaporator	56
<i>exh</i>	exhaust	57
<i>out</i>	outlet	58
<i>recover</i>	recoverable	59
<i>pp</i>	pinch point (minimum temperature difference between two thermal curves)	60 61

62

63 **1 Introduction**

64 Internal Combustion Engines (ICE) are the dominant prime movers for road, marine and rail freight
65 transportation, and decentralised power generation. Due to their high absolute fuel consumption,
66 they represent a significant challenge in terms of CO₂ emissions reduction. A key global imperative
67 is therefore the substantial improvement of ICE thermal efficiency. To target the portion of the lost
68 fuel chemical energy, technology road maps and reviews support the specific need for waste heat
69 recovery [1, 2]. The heat to power conversion technologies are additionally of particular interest to
70 the process industry and the renewable sector [3, 4]. Heat to power conversion on ICEs has been
71 demonstrated using various methods. However, amongst these, Organic Rankine Cycle (ORC) is
72 stated as one of the preferred technology options when comparing the overall conversion
73 efficiency and its technology readiness level [5, 6].

74

75 Research and development activities have recently become even more relevant due to the
76 automotive sector, where the projected improvements in ICE efficiency are stated to be insufficient
77 to comply with an aggressive CO₂ legislation [7, 8]. The recent developments in key ORC

78 components are aiming to address this. Prototypes of fin-tube and spiral-tube evaporators have
79 been demonstrated by Yang et al. and Zhang et al., respectively [9, 10]. Numerical and
80 experimental results with isentropic efficiencies of 65% for a two-stage turbine and piston expander
81 have been presented by Seher et al. [11].

82

83 The case to implement hydrocarbons and the fourth generation refrigerants is additionally
84 becoming more robust. Using ethanol as the working fluid for a truck application, a fuel
85 consumption improvement of 4.3% has been shown by Edwards et al. [8]. Due to the increased
86 emphasis on Global Warming Potential (GWP), Yang et al. indicated an ultra-low GWP refrigerant
87 (R1234yf) optimal from a thermo-economic point of view for a marine engine [12].

88

89 Application specific heat recovery arrangements for ICEs have also been presented.

90 Arunachalam et al. theoretically evaluated five different arrangements, even combining Charge Air
91 Cooler (CAC) heat from a truck engine [13]. Due to the considered engine platform, the power
92 produced from Exhaust Gas Recirculation (EGR) only was 72% compared to the dual-loop
93 arrangement recovering all the three gaseous sources (i.e. exhaust, EGR and CAC). To recover
94 High-Temperature (HT) exhaust and Low-Temperature (LT) coolant heat, Wang et al. proposed a
95 cascade system using R245fa and R134a as the working fluids for the HT and the LT interconnected
96 cycles [14].

97

98 Adaptability of ORCs have also led to the proposition of ORCs combined with other technologies.
99 Simulation results by Yılmaz showed that the air conditioning needs of an intercity bus can be
100 realised using the exhaust energy in combination with R134a and R245fa as the working fluids [15].
101 Shu et al. proposed the use of thermoelectric generator for HT heat recovery, followed by ORC for
102 LT heat recovery in a theoretical study [16].

103

104 Despite the advancements, there still exist key challenges hindering the cost-effective deployment
105 of ORCs. Reasons amongst these include, disconnect between parametric values considered in
106 simulation studies to those demonstrated experimentally, utilisation of low-grade ORC practice for
107 high-grade applications, challenges in integrating multiple heat recovery sources in the evolving
108 engine platforms, development of versatile high-pressure ratio expanders (i.e. vapour and
109 two-phase) etc. Extending upon the findings of the recent simulation studies [17, 18], this paper

110 summarises the year-long effort in ‘concept-to-demonstration’ of a new experimental ORC test-rig.
111 The scope of the work presented here is limited to the important thermal architecture features that
112 may contribute towards investigating increased overall conversion efficiencies. The paper consists
113 of two main sections, the methodology phase and the demonstration phase, which utilise a
114 combination of published literature, procurement findings, simulation results (Aspen HYSYS V8
115 [19]) and preliminary experimental measurements (NI LabVIEW 2014 [20]). In the methodology
116 section, focus is given to the rationale behind the heat source setup, utilisation of exhaust gases,
117 the chosen working fluids, process operating conditions and the flexible thermal platform. In the
118 demonstration section, the current capability of the experimental ORC test-rig and the preliminary
119 thermal platform results are presented and discussed. Finally, the paper concludes with the
120 intended research efforts with expansion machines.

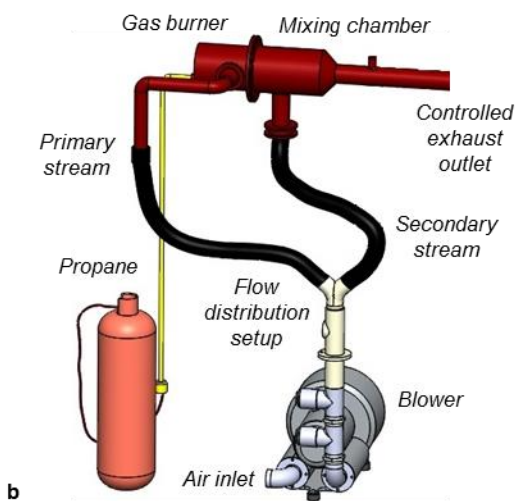
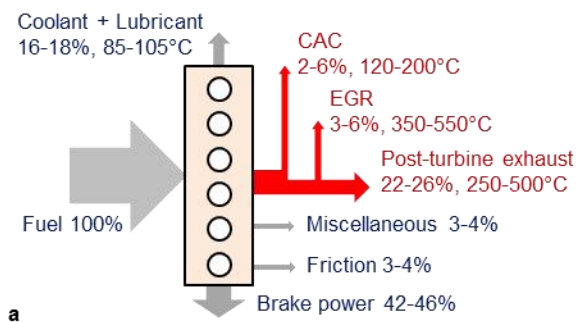
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122 **2 Methodology phase**

123 **2.1 Heat source setup**

124 Figure 1a presents the range of heat quality and the proportion of fuel chemical energy wasted by
125 typical mid-to-large scale ICE (0.2-2 MW) utilising a range of fuels (e.g. bio-diesel, natural gas) and
126 regulated emissions standards (e.g. Euro 6, Tier III). In freight transportation, such engines operate
127 at near-steady state conditions, typically between the mid-speed mid-load point and the engine
128 rated condition. Heat recovery from the post-turbine exhaust remains a primary choice across
129 various engine platforms. Figure 1a also includes the EGR stream, which is a potential secondary
130 choice for heat source. Additionally, the continued trend of cooler engine intake temperatures and
131 higher engine intake pressures now means that, from an exergy perspective, the CAC is also a
132 potential secondary choice in engines operated at relatively high speeds and loads. Figure 1a then
133 illustrates that a suitable heat source setup for testing ORCs must offer a wide range of gaseous
134 heat quality and quantity levels.

135



136

137 *Figure 1 (a) Fuel chemical energy distribution with various regulated emissions standards in a range*
 138 *of mid-to-large scale ICEs at mid-to-high speeds and loads (b) Test-rig section representation of the*
 139 *variable heat source setup*

140

141 To offer this flexibility, while avoiding the challenge of integrating and operating engine test-beds in
 142 parallel, the waste heat was experimentally simulated using a blower (Elmo Rietschle 2BH1 side
 143 channel, 0.35 kg/s) and gas burner (Maxon 3" TUBE-O-THERM, 120 kW) combination, as shown by
 144 the test-rig section representation in Fig. 1b. The compressed air flow exiting the blower was
 145 distributed into two streams, the primary compressed air stream acted as the main air supply to the
 146 gas burner, the secondary compressed air stream was used for dilution purposes. This was since,
 147 firstly, the burner exhaust gas temperature is typically well above the maximum engine exhaust gas
 148 target temperature of 500°C. By utilising the secondary compressed air stream and controlling the
 149 quantity of flow using the flow distribution setup (which includes 2 butterfly valves), the net
 150 exhaust gas temperature can be controlled. Secondly, the gas burner must be accompanied with a
 151 higher pressure air stream since, ambient gas burners either cannot operate when faced with the
 152 backpressures that will be introduced by the exhaust heat exchanger (HEX), or produce emissions
 153 higher than those required to certify their operational use. As a result, depending on the fuel supply

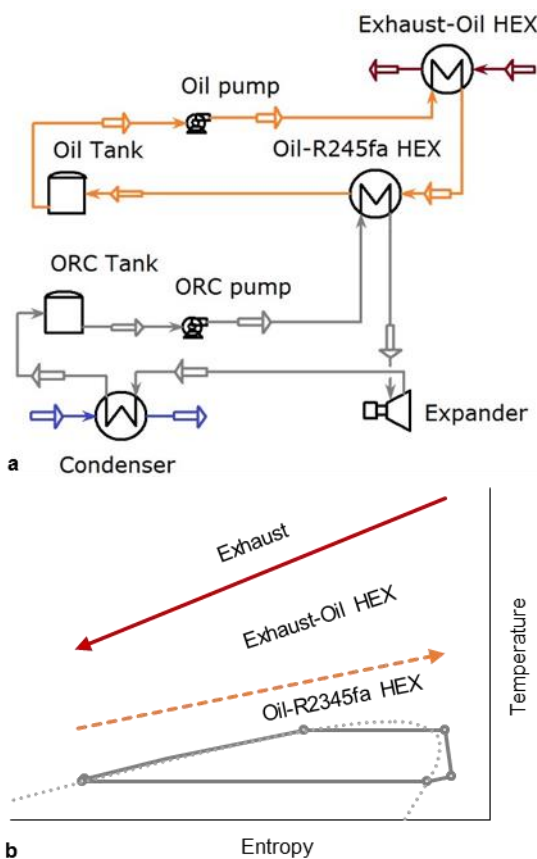
154 to the gas burner, quantities of primary and secondary compressed air flows, and the backpressure
 155 created by the HEX, this flexible heat source setup has the potential to deliver gaseous heat
 156 qualities between 200-500°C and heat quantities between 12-120 kW, at a pressure of
 157 1.05-1.25 bar.

158

159 **2.2 Utilisation of exhaust gases**

160 To recover the relatively HT exhaust gas heat of ICEs, the approach of using an intermediate
 161 thermal-oil loop is often proposed and implemented. As shown in Fig. 2a, the diathermic-oil
 162 recovers the HT exhaust gas heat, and transfers it to the ORC working fluid. A similar process
 163 integration was also proposed by Shu et al. for automotive heat recovery using conventional
 164 refrigerants like R245fa and isopentane [21].

165



166

167 *Figure 2 Thermal-oil loop coupled with a conventional LT ORC (a) System schematic (b) T-S sketch*

168

169 Due to the reduced heat source temperature entering the ORC, the thermal-oil loop approach has
 170 two key advantages. Firstly, a reduced risk of thermal degradation in the organic fluid, and

171 secondly, utilisation of conventional refrigerants and off-the-shelf components for the ORC. If the
172 pressure and temperature limits of the ORC do not change with the addition of the thermal-oil
173 loop, and the maximum available waste heat is transferred to the thermal-oil, then the system
174 power of the ORC will not reduce noticeably. This is since, the total heat transfer losses in the heat
175 recovery process are divided between the two separate HEXs, as illustrated in the
176 temperature-entropy sketch in Fig. 2b.

177

178 Unfortunately, the thermal-oil loop approach also introduces a number of disadvantages. The
179 additional sub-system components include the exhaust-oil HEX, the oil tank and the oil pump.
180 Therefore, for roughly equal net power, the system complexity, size, weight and failure points may
181 potentially increase. Furthermore, published literature focused on development activities has
182 indicated that the specific cost of a LT ORC coupled with a thermal-oil loop is expected to be 15%
183 higher when compared to HT exhaust gases being recovered directly in a HT ORC [22].

184

185 Operational capability and purchase cost data of numerous HT and LT evaporators in the range of
186 38-80 kW was compiled during the decision and procurement phase. Table 1 summarises the data
187 for evaporator duty scaled to 50 kW (using £/kW), where Case 1 and 2 represents the LT and the HT
188 ORC approaches, respectively. The comparison was done for an equal quality and quantity of
189 exhaust heat recovered in both cases. When considering the specific energy cost for the ORC
190 evaporator, the HT ORC results in a value 2.8 times higher (42 vs. 116 £/kW). However, such
191 parameters ignore the heat quality available to the working fluid and the additional oil-loop costs,
192 hence, their use should be limited in justifying the LT ORC approach.

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202 *Table 1 Data collected to quantify specific energy and exergy costs of the evaporator during the*
 203 *decision and procurement stage*

	Case1	Case 2
	Thermal oil HT + LT ORC	ORC
Max. oil temp. in ORC evap. (K)	498	-
Min. oil temp. in ORC evap. (K)	393	-
Avg. oil temp. in ORC evap. (K)	443	-
Max. exhaust temp. in ORC evap. (K)	-	673
Min. exhaust temp. in ORC evap. (K)	-	393
Avg. exhaust temp. in ORC evap. (K)	-	521
Cost of the thermal-oil loop system (£)	2350	-
Cost of the ORC evap. (£)	2100	5800
Specific energy cost of the ORC evap. (£/kW)	42	116
Exergy of the heat source in the ORC evap. (kW)	9.1	15.1
Specific exergy cost of the ORC evap. (£/kW)	231.6	383.3
Specific exergy cost of the ORC evap. including thermal-oil loop cost (£/kW)	490.7	383.3

Courtesy of, HTT energy GmbH, ICS Cool Energy, Fulton Ltd., Babcock Wanson UK Ltd., Beverley Environmental Ltd., Shanghai Accessen Group Co., FUNKE GmbH, Graham Corporation, Xylem Inc., Tranter International AB, Vahterus UK Ltd., Baode Heat Exchanger Co., Danfoss Ltd., and Dover Intercompany Services UK Ltd.

204

205

206 As a result, the specific exergy cost for the ORC evaporator (including the thermal-oil loop cost)
 207 was considered. The recoverable exergy ($\dot{E}_{recover}$) of the heat in the evaporator (\dot{Q}_{evap}) was
 208 calculated using the exhaust average temperature ($T_{exh\ avg}$).

209
$$\dot{E}_{recover} = \dot{Q}_{evap} [1 - (T_{radiator} / T_{exh\ avg})]$$

210 Where, $T_{exh\ avg} = (T_{max} - T_{min}) / \ln(T_{max} / T_{min})$. Furthermore, $\dot{E}_{recover}$ utilises $T_{radiator}$ (90°C) rather than
 211 T_{amb} (20°C), since this represents the thermal limit when considering a realistic engine cooling
 212 module. Similarly, the considered T_{max} (400°C) represents the design point limit, rather than the
 213 higher engine rated condition (500°C). Despite the higher ORC evaporator cost (£5800 vs. £2100)
 214 for the HT ORC approach, the higher exergy available in the ORC evaporator (15.1 kW vs. 9.1 kW)
 215 and no additional thermal-oil loop cost (£0 vs. £2350) resulted in a 22% lower specific exergy cost
 216 for the ORC evaporator (490.7 vs. 383.3 £/kW).

217

218 In addition to the above economic approach, the choice of direct exhaust heat recovery is also
 219 supported by the thermodynamic approach. This is since, the thermal-oil loop fails to provide
 220 opportunity to reduce the overall system irreversibility. Fundamentally, such systems cannot take
 221 full advantage of the HT heat, and mimic LT heat recovery systems. Hence, efforts were focused in

222 engaging the process industry supply chain in co-designing a HEX for direct exhaust gas and working
223 fluid use.

224

225 As a result, a multi-pass welded shell-and-plate HEX, with a heat transfer area and liquid volume of
226 11.9 m^2 and 0.0126 m^3 , was procured from Vahterus UK. The design point parameters include: low
227 fluid and exhaust side pressure drop of 0.19 bar and 0.03 bar; capability to operate under high
228 temperature (380°C) and pressure (40 bar); and average working fluid temperature of 210°C and
229 Log Mean Temperature Difference (LMTD) of 58°C . This HEX is suitable for superheating
230 hydrocarbons and water-hydrocarbon blends.

231

232 **2.3 Working fluid**

233 Waste heat recovery below 200°C can be considered relatively mature using ORCs with
234 hydrofluorocarbons like R245fa [1, 4]. As a result, these fluids have also been suggested for HT
235 exhaust gas heat recovery, either directly or with a thermal-oil loop. However, they result in lower
236 overall conversion efficiencies due to the lower work potential. To investigate and address this
237 issue, a two-part simulation study was undertaken, firstly to identify suitable working fluids, and
238 secondly to propose a flexible thermal platform.

239

240 Recent simulation studies have shown that, alcohols and water-alcohol blends that are not included
241 in widely used fluid data bases like REFPROP 9 can provide an improved case for $250\text{-}450^\circ\text{C}$ heat
242 recovery [17]. Amongst the numerous blends, water-propanol blend with water mass fraction
243 between 25-50% can offer a suitable trade-off in view of thermodynamic, thermophysical,
244 chemical, environmental, safety, cost, availability, compatibility, miscibility and decomposition
245 properties.

246

247 Utilising the parameters, boundary conditions and assumptions presented in Table 2 (column 1 and
248 2), which are representative of a truck exhaust heat recovery, Fig. 3 presents the potential of net
249 ORC power against the primary process and sizing parameters for R245fa and water-propanol blend
250 (50/50 by mass). The two process parameters include, firstly, maximum fluid temperature, and
251 secondly, maximum fluid pressure. The two sizing parameters include, firstly, the product of heat
252 transfer coefficient and area per unit of net ORC power (i.e. $\text{UA}/\text{kW}_{\text{net}}$), and secondly, expansion

253 volume flow ratio per unit of net ORC power (i.e. VFR/kW_{net}). The utilised ORC energy modelling
 254 equations have extensively been published and their repetition is avoided [23-26].

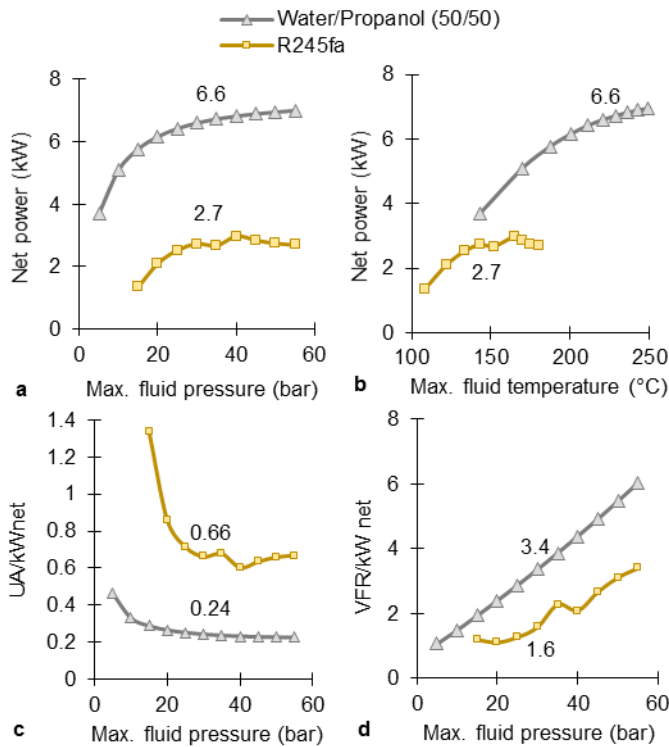
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256 *Table 2 Parameters, boundary conditions and assumptions representative of long-haul Euro 6 truck*
 257 *waste heat recovery*

Parameters	Values	Parameters	Values
(For Fig. 3 and Table 3)		(Additional for Table 3)	
T_{exh}	400°C	T_{EGR}	500°C
\dot{m}_{exh}	0.2 kg/s	\dot{m}_{EGR}	0.03 kg/s
$c_{p\ exh}$	1.15 kJ/kg°C	$c_{p\ EGR}$	1.15 kJ/kg°C
$T_{cooling\ air}$	35°C inlet, 55°C exit	$T_{pp\ EGR}$	30°C
$T_{pp\ exh}$	30°C	T_{CAC}	140°C
$T_{condensing}$	90°C	\dot{m}_{CAC}	0.19 kg/s
$T_{sub-cooling}$	5°C	$c_{p\ EGR}$	1.01 kJ/kg°C
$T_{superheat}$	5°C	$T_{pp\ CAC}$	10°C
η_{pump}	50%	$T_{pp\ IHE}$	10°C
$\eta_{expander}$	65%		
$\Delta P_{fluid\ side\ all\ HEXs}$	0.1 bar		

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259



260

261 *Figure 3 Performance potential comparison between R245fa and water-propanol (50/50).*

262 *Primary process parameter (a) Maximum fluid pressure (b) Maximum fluid temperature, Primary*

263 *sizing parameter (c) Indicative overall heat transfer footprint per unit of ORC net power (d)*

264 *Indicative expansion machine size per unit of ORC net power*

265 Considering the subcritical R245fa operation (Fig. 3a), the 30 bar value was considered
266 near-optimal, offering 2.7 kW of net power for 57 kW of heat recovery ($\eta_{thermal} = 4.7\%$). At the
267 same maximum cycle pressure, the water-propanol blend offered 2.4 times higher net power for a
268 reduced 48 kW of heat recovery ($\eta_{thermal} = 13.8\%$). However, compared to R245fa (Fig. 3b),
269 which required a maximum temperature of around 145°C, the water-propanol blend required a
270 much higher value of 225°C. Both the above primary process parameter results are linked to the
271 relatively higher normal boiling point of the water-propanol blend compared to R245fa (89°C vs.
272 15°C). When the near-optimal operation of pure propanol and water-propanol blend (50/50) was
273 considered, the temperature/pressure limit of 240°C/25 bar and 245°C/35 bar was established,
274 respectively. The maximum temperature limit was based on a 20°C superheat, such higher
275 superheating margins are essential in transient conditions when using conventional expansion
276 machines.

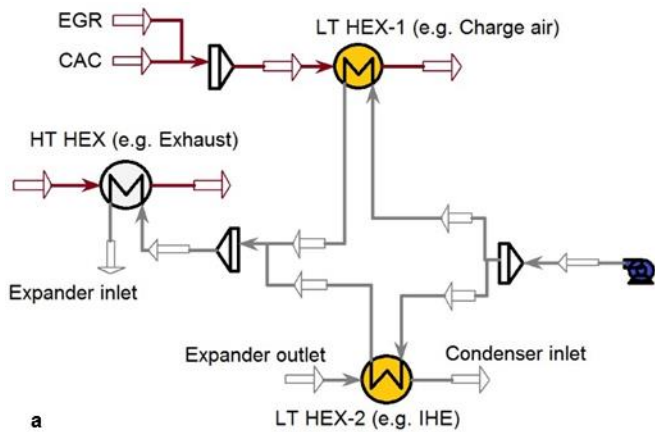
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278 As a first indicator for the relative heat transfer footprint (Fig. 3c) and expansion machine size
279 (Fig. 3d), UA/kW_{net} and VFR/kW_{net} were considered [27, 28]. With the reduction in the heat transfer
280 footprint being a prime consideration, the water-propanol blend showed a potential of 60%
281 reduction in the overall heat transfer footprint (Fig. 3c). The above parameters then guided the
282 co-design and procurement stage of the desired HT HEX described in the section 2.2. Note that,
283 although Fig. 3d indicates a relative expansion machine size requirement for water-propanol blend
284 being 2.1 times that of R245fa, nonetheless, the absolute VFR requirement was within the reach of
285 piston expanders. Hence, the above results aided the continued examination of water-propanol
286 blend as an alternative. Pure water was not considered for comparison due to its large latent heat
287 and freezing point drawbacks in the current application.

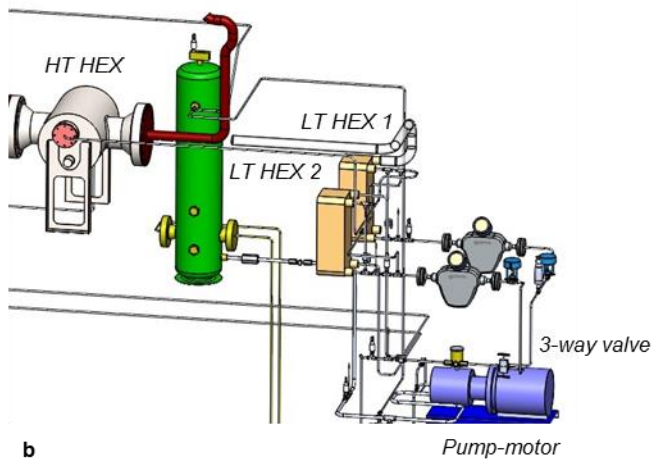
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289 **2.4 Thermal architecture**

290 The thermal architecture of ORCs are expected to differ amongst the variety of ICE applications [1,
291 5]. Hence, a flexible thermal platform with the potential to be modified for either multiple heat
292 recovery and/or efficient heat utilisation is essential for research activities. Figure 4a presents the
293 HYSYS model section of the proposed thermal platform. The red and grey coloured streams
294 correspond to external heat and working fluid, respectively. The heat recovery platform comprises
295 of two LT HEXs in a parallel branched flow followed by one HT HEX. Figure 4b represents the
296 corresponding test-rig section.



a



b

297

298 *Figure 4 Proposed heat recovery platform with two HEXs in a parallel flow followed by one HEX in*
 299 *series flow (a) HYSYS model (b) Test-rig representation*

300

301 Using pure propanol as the working fluid, Table 3 summarises the results for comparing the
 302 possible heat recovery options. The parameters are normalised to the net heat recovered in the
 303 exhaust heat recovery only option. Furthermore, the heat recovery options were targeted for the
 304 same net power and with an equal maximum pressure of 25 bar, as stated in section 2.3. For this
 305 case study, the earlier used truck exhaust heat recovery parameters (Table 2, column 1 and 2),
 306 together with the EGR and CAC parameters (i.e. column 3 and 4) were utilised.

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314 *Table 3 Normalised thermal and power values for comparing the different heat recovery options*

Heat recovery option	HT HEX	LT HEX-1	LT HEX-2	Fluid	Net power (kW)	Exhaust HEX (kW)	CAC or Combined HEX (kW)	Internal heat exchange (kW)	Condenser (kW)
1	Exhaust	-	-	Propanol	12	100	0	0	88
2A	Exhaust	CAC	-	Propanol	12	86	14	0	88
2B	Exhaust	Combined	-	Propanol	12	66	33	0	87
3	Exhaust	-	IHE	Propanol	12	87	0	12	75

315

316

317 Option 1 from Table 3, i.e. exhaust gas heat recovery only, can be considered as a potential solution
 318 where EGR and charge air heat is expected to be minimal. Option 2, i.e. exhaust gas and lower
 319 temperature heat recovery, can be deployed in two different cases. Case 2A being where exhaust
 320 and charge air heat is recovered in series. Case 2B being where both charge air and EGR heat is
 321 mixed and recovered in a combined larger LT HEX located post-compressor followed by exhaust
 322 heat in series.

323

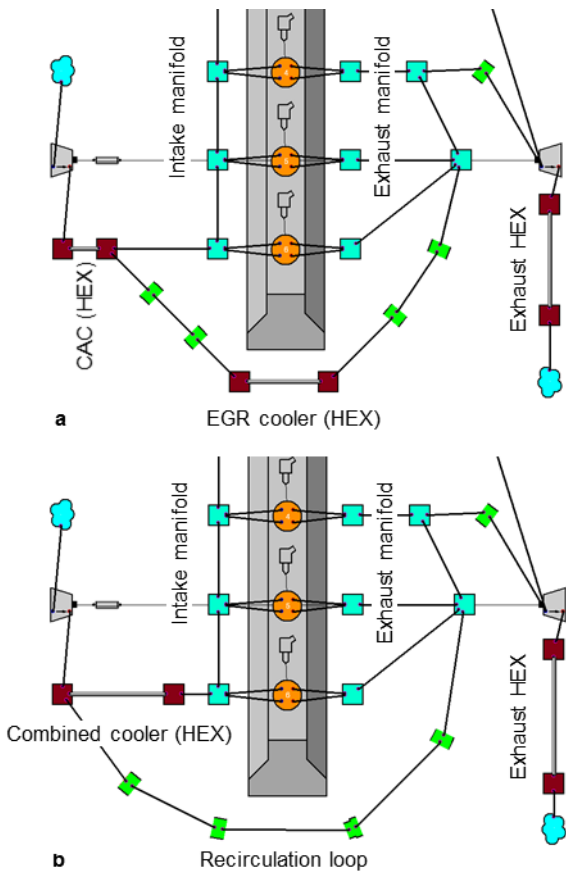
324 The Ricardo WAVE 2015 [29] model section of the baseline 6-cylinder engine utilised for Case 1
 325 and 2a is presented in Fig. 5a. For brevity, excluded is the after-treatment system, which is used in
 326 conjunction with the EGR to meet Euro 6 emissions. The modified engine platform (Fig. 5b) was
 327 simulated under the same recirculation rate (15%), engine intake pressure (2.5 bar), temperature
 328 (65°C) and fuelling parameters to that of the baseline platform (Fig. 5a). Hence, the regulated
 329 emissions are expected to be similar.

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334

335 *Figure 5 Ricardo WAVE model section of the truck engine platform (a) Typical of current production*

336 *(b) Proposed holistic NO_x reduction, downsizing and heat recovery option*

337

338 The rationale for the case 2B engine architecture is due to the requirement of examining holistic
 339 approaches in NO_x reduction, downsizing and exhaust heat recovery. The current production of
 340 truck engines utilises relatively low rates of EGR. Furthermore, over a wide engine operating map,
 341 due to variable rates of EGR and boost pressure, the proportion of EGR and charge air heat are
 342 intermittent. As a result, firstly, to recover EGR and exhaust heat in parallel branched flow ORC,
 343 relatively low and precise flow rates of working fluid are required in the EGR HEX. This is also a
 344 challenge for the three-way flow distribution valve located at the pump exit. Secondly, EGR and
 345 charge air heat is available at two different quality levels, creating a further challenge in process
 346 integration. From the ORCs perspective, mixing and cooling both the charge air heat and the EGR
 347 heat in a common LT HEX may offer three potential advantages. Firstly, reduced number of thermal
 348 components, secondly, increased quantity of heat in the LT HEX, and finally, medium quality of heat
 349 which is suitable for series heat recovery prior to the exhaust heat. In the simulated case, the heat

350 source temperature entering the combined HEX was 188°C as a result of mixing the charge air
351 (140°C) and the EGR stream (500°C).

352

353 It can be noted that in option 2A (Table 3), which corresponds to series charge air and exhaust heat
354 recovery, the exhaust HEX thermal duty was lowered by 14% for the same level of power output.
355 Furthermore, option 2B, which corresponds to the series combined HEX and exhaust heat recovery,
356 the exhaust HEX thermal duty was lowered by 34% for the same level of power output. The
357 reduced exhaust HEX thermal duty for equal ORC net power in options 2A and 2B was since, the
358 lower temperature exhaust heat recovery was replaced with heat sources that are already a load
359 on the engine cooling module.

360

361 Finally, option 3 may be considered for exhaust heat recovery only, but in demanding condenser
362 packaging applications, like the European trucks. In this option, the working fluid was slightly
363 superheated compared to options 1 and 2 (from 215 to 250°C). The level of superheating paired
364 with the drying nature of the working fluid allowed the use of an Internal Heat Exchanger (IHE) to
365 partially recover the exergy that may be lost in the condenser. Due to the internal heat
366 recuperation, the exhaust heat recovery was reduced by 13%, but more importantly, the condenser
367 heat rejection was lowered by 15%. Note that, the presented work has been limited to utilising a
368 maximum of two HEXs during heat recovery. Although more complex arrangements are possible
369 using the three HEXs, it's currently unclear if the added complexity offers any techno-economical
370 benefits.

371

372 **3 Demonstration phase**

373 **3.1 ORC test-rig**

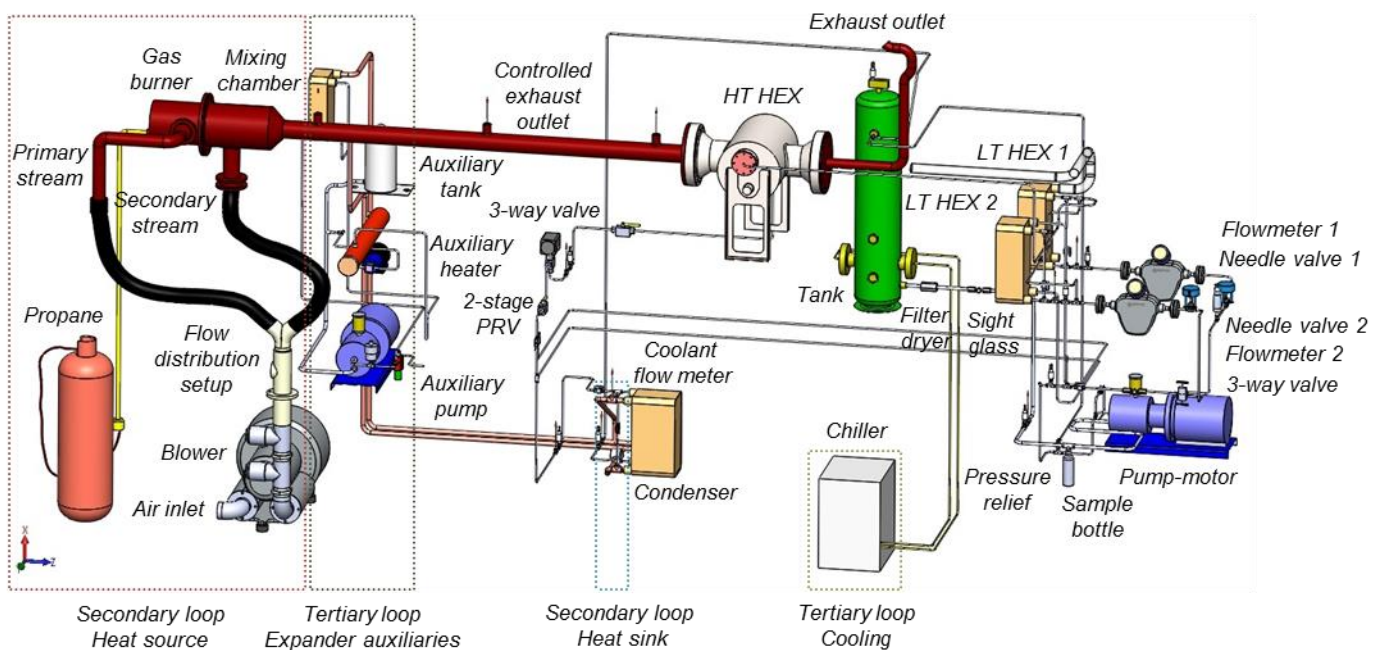
374 Following the two-part simulation study on working fluid (Fig. 3) and flexible thermal platform
375 (Table 3), a range of parametric studies using pure water, water-alcohol blends and pure alcohols
376 were conducted. The overall range of the simulation results were then translated into: system
377 specification and layout, process and instrumentation diagram, and the procurement plan, which
378 acted as the design reference for the experimental ORC test-rig. Due to the prototype nature of the
379 work, control and instrumentation, hazard and operability, and risk assessment studies were
380 undertaken. Noteworthy items included: temperature and pressure limits of gaskets and O-rings;
381 burner emissions under different fuelling and backpressure conditions; independent mechanical

382 pressure relief valve and pulsation damper; and forced alcohol vapour extractions from enclosed
383 prototype components.

384

385 Figure 6 presents the complete test-rig representation at its current status. The test-rig is not aimed
386 at demonstrating the power-density ratio of a commercial system, but is aimed at conducting
387 working fluid research, demonstrating HT ORC operation, guiding development by evaluating
388 components etc. The relatively large foot-print of the test-rig is due to the fact that the balance of
389 the plant was sourced from the process industry to achieve the target variable thermal and
390 pressure rating with high safety margins (e.g. test pressure of 84 bar in the case of the HT HEX).
391 Additionally, the test-rig is built with the aim to characterise components of varying capacities from
392 different industrial sectors, alongside testing different thermal platforms.

393



394

395 *Figure 6 In-house experimental ORC test-rig with three HEXs in mixed series and parallel flow for*
396 *alcohols and water-alcohol blends utilised in the ‘concept-to-demonstration’ of the thermal*
397 *architecture phase of the work*

398

399 As shown in Fig. 6, the test-rig comprises of five flow loops: ORC fluid, heat source, heat sink, chiller
400 and auxiliaries. The key ORC fluid loop components, following the flow from the pump inlet include:
401 HydraCell pump-motor; Johnson pressure relief valve; HydraCell pulsation damper, HiP 3-way valve;
402 Swagelok needle valve; SWEP brazed plate HEX; Vahterus welded plate and shell HEX; HiP 3-way

403 valve; Swagelok Pressure Reducing Valve (PRV); SWEP brazed plate condenser and Bitzer liquid
 404 receiver tank. In addition, the pump inlet includes, HVAC sight glass and Swagelok particulate filter
 405 and Swagelok sample cylinder. This sample line will be utilised in future works to quantify thermal
 406 degradation in pure alcohols and water-alcohol blends as a function of time, pressure and
 407 temperature exposures.

408
 409 Considering the pump, the HT HEX, the PRV and the condenser forming the four
 410 temperature-pressure quadrants, Table 4 lists further component information, and additionally,
 411 summarises the section limits of the test-rig at its current status. The tank pressure limit paired
 412 with the sight glass temperature limit provided the capability of up to 9 bar and 85°C at the LP LT
 413 section. Whereas, the HT HEX pressure and temperature limits provided the capability of up to
 414 40 bar and 380°C at the HT HP section.

415
 416 *Table 4 ORC fluid loop component information and section pressure-temperature capability limits*

Component	Comment/Section limit
ORC pipework	Φ 10 mm (branched parallel flow) to Φ 18 mm (full flow). Continuous orbit welded, pressure tested with 12 bar (LP section) to 45 bar (HP section)
<i>LT HP section</i>	
HydraCell G10 diaphragm pump-motor	-
Johnson 1216HP pressure relief valve	48 bar
HydraCell RD pulsation damper	100°C
HiP 76NFC 3-way manual ball valve	-
Swagelok n-series air-actuated needle valve	-
<i>HT HP section</i>	
SWEP V120T brazed plate HEX	225°C
Vahterus 3HH welded plate and shell HEX	40 bar, 380°C
HiP 76NFC 3-way air-actuated ball valve	-
Swagelok KCY pressure reducing regulator	-
<i>MT LP section</i>	
SWEP B200 brazed plate condenser	-
Bitzer FS liquid receiver tank	9 bar, 120°C
<i>LT LP section</i>	
HVAC JJ sight glass	42 bar, 85°C
Swagelok SS particulate filter	-
Swagelok 304L sample cylinder	-
Aermec Venice20 water cooled chiller	-

417
 418
 419 The ORC loop pipework, which varied between 10-18 mm in diameter, was continuous orbit
 420 welded. A brazed plate liquid cooled condenser was utilised due to its ability to withstand a wider

421 range of condensation pressures and temperatures. As a result, condensing characteristics relevant
 422 to both stationary and mobile ICE applications can be simulated. Furthermore, the tank was
 423 modified to receive the chiller loop as a safety measure and as a means to draw down lower boiling
 424 point fluids from the system.

425

426 **3.2 Preliminary experimental results and discussion**

427 Data was captured and logged using CompactRIO and five AI, AO, MIO modules. The Emerson
 428 micro-motion Coriolis/density and Flowstat Pelton wheel flowmeters were used for the ORC fluid
 429 and coolant loop, respectively. A total of 12 Omega K-type thermocouples and 7 Omega pressure
 430 transducers were utilised to derive the thermodynamic conditions at key state points to create the
 431 T-S diagram. Table 5 lists further instrumentation information, and additionally, summarises the
 432 measurement accuracy and range.

433

434 *Table 5 ORC test-rig instrumentation, accuracy and range*

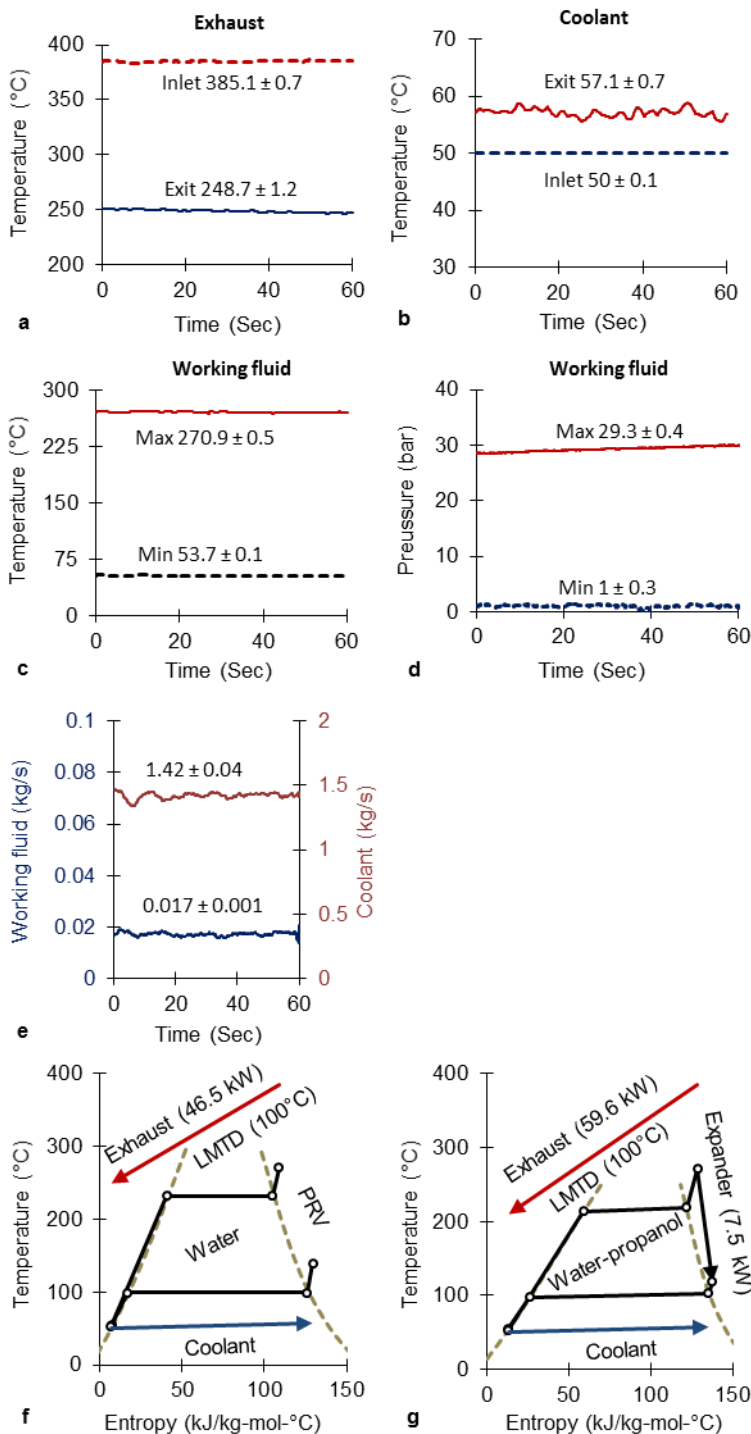
Instrumentation	Comment
National Instruments LabVIEW 2014	CompactRIO (9074) at a logging rate of 0.01 sec; AI modules (9201, 9203, 9237); AO module (9265); MIO module (9381)
Emerson CMFS050M micro-motion Coriolis flow and density meter	Accuracy of $\pm 0.25\%$ in the range of 0.018-1.8 kg/s
Omega K-type (KMTXL) thermocouples	6 for working fluid; 4 for heat source; 2 for heat sink
Omega PX1009L0 and PXM35MD pressure transducers	7 for working fluid; Accuracy $\pm 0.25\%$ (Max. 149°C, 100 bar); Accuracy $\pm 0.25\%$ (Max. 343°C, 68.9 bar); Pre-calibrated and verified against Druck PV multi-function pump
Flowstat CSD Pelton wheel coolant flowmeter	Accuracy of $\pm 2\%$ in the range of 0.25-2.5 kg/s

435

436

437 Figure 7a-e presents key process parameters over a 1 minute duration from a steady-state test with
 438 the thermal architecture configured as option 1 (Table 3). Steady-state was defined as a 3 minute
 439 duration where the change in fluid at the HT HEX exit was limited below 2.5°C and 0.75 bar from a
 440 set target value. The exhaust temperature at the inlet and exit of the HT HEX was 385.1 and
 441 248.7 °C (Fig. 7a), while the water-glycol coolant temperature at the inlet and exit of the condenser
 442 was 50 and 57.1 °C (Fig. 7b). In the initial demonstrations presented here, deionised water (97% by
 443 mass) was used as the working fluid to demonstrate the high temperature and pressure operational
 444 capability. The reason to utilise water was to facilitate commissioning and system development

445 prior to introducing alcohols in the system. Note that, the test-rig is fully compatible with a wide
 446 range of alcohols and their water blends.
 447

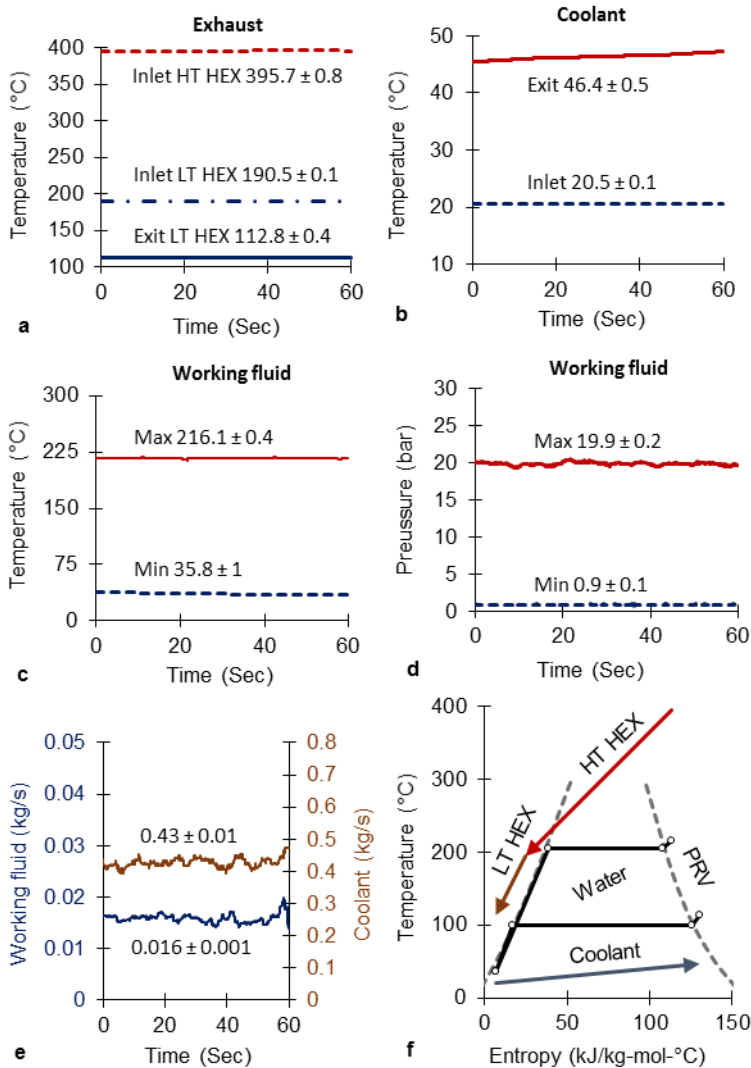


448
 449 *Figure 7 Off-design steady-state demonstration test results as option 1 arrangement (a) Source*
 450 *quality (b) Sink quality (c) Fluid temperature limits (d) Fluid pressure limits (e) ORC fluid and coolant*
 451 *flow rates (f) T-S diagram of the process using a PRV (g) Potential T-S diagram when utilising*
 452 *water-propanol blend paired with a high-pressure ratio expansion process*

453 The HT HEX exit temperature (270.9 °C, Fig. 7c) and pressure (29.3 bar, Fig. 7d) was targeted to be
454 comparable to that required by the water-propanol blend in Fig. 3. To simulate the inlet and exit
455 pressures of the high-pressure ratio expander, which will be required under HT differential ORCs, a
456 PRV was utilised. Furthermore, the successful demonstration of throttling via the PRV is critical. This
457 is since, under start-up and transient conditions, which may correspond to two-phase at the HT HEX
458 exit, the flow needs to be by-passed when using conventional expanders and turbines. With a fluid
459 flow rate of 0.017 kg/s (Fig. 7e), an average of 46.5 kW of heat was recovered in the HT HEX
460 (Fig. 7f). Compared to the thermal design point of the test-rig, the preliminary tests were simulated
461 at a relatively benign heat source condition, since the aim was to demonstrate stable operation. A
462 result of the off-design condition can be seen in Fig. 7f, where high level of working fluid reserve
463 was available in the tank, contributing to the high sub-cooling.

464

465 Figure 8a-e presents key process parameters from another 1 minute duration from a steady-state
466 test, but with the thermal architecture being configured as option 2B (Table 3), i.e. combined cooler
467 heat recovery by the LT HEX. The exhaust temperature at the inlet of the HT HEX was 395.7 °C,
468 whereas, the temperature at the inlet and exit of the LT HEX was 190.5 and 112.8 °C (Fig. 8a). These
469 values were targeted to be comparable to the 188°C and 115°C simulation values from section 2.4.
470 The coolant inlet and exit temperature was 20.5 and 46.4 °C (Fig. 8b). The fluid maximum/minimum
471 temperature and pressure of 216.1/35.8 °C, 19.9/0.9 bar was achieved at a flow rate of 0.016 kg/s
472 (Fig. 8c-e). The resulting T-S diagram of this process is presented in Fig. 8f. Note that, the parallel
473 branched flow (Fig. 6) is fully instrumented, hence a further option is possible in which both the LT
474 HEXs are utilised by controlling the flow via the 3-way valve at the pump exit.



475

476 *Figure 8 Off-design steady-state demonstration test results as option 2B arrangement (a) Source*
 477 *quality (b) Sink quality (c) Fluid temperature limits (d) Fluid pressure limits (e) ORC fluid and coolant*
 478 *flow rates (f) T-S diagram of the process using a PRV*

479

480 3.3 Power generation potential

481 To estimate the recoverable power for the steady-state demonstration test condition, the
 482 experimental measurements of option 1 were utilised in the ORC model. The PRV was replaced
 483 with a 65% efficient two-stage expander with a pressure ratio of 4.6:1 each. Additionally, the
 484 working fluid was also replaced to the water-propanol blend. Figure 7g shows the resulting T-S
 485 diagram of the ORC, which offered a potential expander power of 7.5 kW for 59.6 kW of heat
 486 recovery. The generated expander power using water-propanol blend was 12% higher when
 487 compared against pure water. This was since, assuming a similar LMTD value in the HT HEX, the
 488 blend recovered higher quantities of exhaust heat (59.6 vs. 46.5 kW). This was principally due to the

489 tailored, and rather reduced, latent heat of vaporisation of the water-propanol blend compared to
490 pure water. This also supports in explaining why water has been suggested as a working fluid for
491 heat source qualities above 500°C [1, 5], and will in fact offer lower overall conversion efficiencies
492 for typical exhaust heat recovery. Finally, assuming similar heat to expansion power conversion rate
493 as that of Fig. 7g, the ORC test-rig can be tailored for efficient expansion machines up to 15 kW.

494

495 The ‘concept-to-demonstration’ of the thermal architecture, as summarised in this paper,
496 concludes the first phase of the activity. Figure 3d and 7g also highlight the next key phase of the
497 activity, i.e. investigation of high overall expansion ratio machines. To this effort, it can be noted
498 that the test-rig (Fig. 6) includes four expander auxiliary components (pump, heater, tank, HEX)
499 which can be adapted for lubrication and cooling of expansion machines during development. With
500 the added potential of tolerating two-phase expansion, the next key phase of the activity is
501 expected on reciprocating expanders. The ability of an expansion machine to operate under
502 high-pressure differentials and with varying fluid phases results in, increased average power in
503 transient conditions and increased heat recovery in reduced heat quality conditions [17], boding
504 the case for ORC in challenging applications.

505

506 **4 Conclusion**

507 A programme of ‘concept-to-demonstration’ is underway at the University of Brighton to
508 investigate some of the challenges hindering the widespread adoption of ORCs. With a focus on,
509 heat source setup, utilisation of exhaust gases, working fluid, process operating conditions and heat
510 recovery platform, this paper has summarised the methodology and demonstration phase of
511 activity relating to the thermal architecture. The current key features of the experimental ORC
512 test-rig which may contribute towards investigating reduced system costs and increased overall
513 conversion efficiency can be summarised as:

514 Variable heat source setup: Offering the potential of research and development for a wide capacity
515 range of components under realistic ICE conditions. This is since, the blower-burner setup can be
516 tailored for heat qualities and quantities between 200-500°C and 12-120 kW, respectively.

517 Direct exhaust heat utilisation: Projected to reduce the specific evaporator exergy cost by 22%
518 when compared to a LT ORC coupled with a thermal-oil loop. Furthermore, the reduction in
519 components and complexity, for equal net power, is vital in mobile applications.

520 Water-alcohol blends: Estimated to increase the potential of overall conversion efficiency by 2.4
521 times when compared to a system utilising R245fa. This additionally guides the rationale for the
522 need of new ORC practice in HT applications.

523 Flexible thermal platform: Utilisation of two LT-HEXs in the parallel branch flow followed by the one
524 HT-HEX that can be configured for multiple and/or efficient heat utilisation for a variety of ICE
525 applications. Additionally, a holistic approach (by using combined HEX) to NO_x reduction (by
526 recovering EGR heat), downsizing (by recovering charge air heat) and exhaust heat recovery is
527 proposed in the case of truck engines. The combined cooler and exhaust heat recovery can then
528 reduce the additional heat rejected by the engine cooling module by 34% for an equal net power
529 when compared to exhaust heat recovery only option.

530 Advanced process conditions: Demonstrated stable working fluid pressure (29.3 bar) and
531 temperature (270.9°C) capability corresponding to the near-optimal region identified by the
532 simulation studies. When considering water-propanol blend, this offers the heat recovered to
533 expansion power potential of 12.5%.

534 The 'concept-to-demonstration' of the thermal architecture concludes the first phase of the
535 activity. Investigations using reciprocating expanders operating under high-pressure differentials
536 and with varying fluid phases will be the focus of future works.

537

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542

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