

# Insights into the Formation and Evolution of Individual Compounds in the Particulate Phase during Aromatic Photo-oxidation

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## 1 **Abstract**

2 Secondary organic aerosol (SOA) is well known to have adverse effects on air quality and  
3 human health. However, the dynamic mechanisms occurring during SOA formation and  
4 evolution are poorly understood. The time resolved SOA composition formed during the  
5 photo-oxidation of three aromatic compounds, methyl chavicol, toluene and 4-methyl  
6 catechol, were investigated at the European Photo-reactor. SOA was collected using a particle  
7 into liquid sampler and analysed offline using state-of-the-art mass spectrometry to produce  
8 temporal profiles of individual photo-oxidation products. In the photo-oxidation of methyl  
9 chavicol, 70 individual compounds were characterised and three distinctive temporal profile  
10 shapes were observed. The calculated mass fraction ( $C_{i,aer}/C_{OA}$ ) of the individual SOA  
11 compounds showed either a linear trend (increasing/decreasing) or exponential decay with  
12 time. Substituted nitrophenols showed an exponential decay, with the nitro-group on the  
13 aromatic ring found to control the formation and loss of these species in the aerosol phase.  
14 Nitrophenols from both methyl chavicol and toluene photo-oxidation experiments showed a  
15 strong relationship with the  $NO_2/NO$  (ppbv/ppbv) ratio and were observed during initial SOA  
16 growth. The location of the nitrophenol aromatic substitutions was found to be critically  
17 important, with the nitrophenol in the photo-oxidation of 4-methyl catechol not partitioning  
18 into the aerosol phase until irradiation had stopped; highlighting the importance of studying  
19 SOA formation and evolution at a molecular level.

20

## 21 **Introduction**

22 Secondary organic aerosol (SOA) constitutes a significant proportion of ambient particulate  
23 matter<sup>1-3</sup> and exhibits substantial chemical complexity. The oxidation of a single volatile  
24 organic compound (VOC) forms a wide variety of multi-functional products of differing  
25 volatilities<sup>4,5</sup>. These compounds may undergo numerous oxidation steps, forming a multitude

26 of oxidation products, only some of which may contribute to new particle formation and/or  
27 SOA growth. Furthermore, once a compound partitions into the condensed phase it can  
28 undergo further oxidation steps<sup>6-9</sup> and reactive transformations (non-oxidative processes, *i.e.*  
29 oligomerisation)<sup>10-15</sup>, resulting in continually changing chemical composition and volatility<sup>4</sup>.  
30 The sheer number of VOCs present in the ambient atmosphere<sup>16</sup> and their continually  
31 evolving gas and particulate phase chemical composition, makes the identification of the  
32 species involved in SOA formation, growth and ageing, a complex and difficult task. Recent  
33 studies have found that extremely low volatility organic compounds (ELVOCs) can  
34 participate in new particle formation and drive nanoparticle growth<sup>17-23</sup>; a topic which has  
35 recently received considerable interest<sup>2, 18, 24, 25</sup>. Although, the detailed structural composition  
36 of these ELVOCs have not been identified<sup>23, 26</sup>.

37

38 Atmospheric simulation chambers can afford mechanistic insight into SOA formation  
39 processes under simplified conditions. The oxidation of a single VOC precursor may be  
40 investigated in a controlled environment, providing significant insight into the mechanisms  
41 occurring during SOA formation and ageing. Bulk particle measurement techniques, such as  
42 aerosol mass spectrometry and derivatives<sup>27</sup>, provide near-real time chemical speciation of  
43 non-refractory aerosol, allowing changes in particle oxidation to be observed<sup>28, 29</sup>. These  
44 techniques have been invaluable to our understanding of the chemical and physical  
45 transformations occurring during particle evolution. However, they cannot currently provide  
46 the detailed chemical composition and structural speciation that offline mass spectrometric  
47 techniques can offer<sup>5, 30, 31</sup>.

48

49 Aromatic VOCs account for ~ 20 - 50 % of the non-methane hydrocarbon emissions in  
50 urban areas<sup>32, 33</sup>, with toluene often observed to be the most abundant species<sup>33-35</sup>. Aromatic

51 hydrocarbons are considered to be one of the most important SOA precursors, contributing  
52 significantly to SOA formation<sup>36-42</sup>. Furthermore, their high reactivity makes them primary  
53 contributors to photo-chemical ozone formation<sup>43, 44</sup>. However, despite their important impact  
54 on urban air quality, aromatic photo-oxidation mechanisms are still poorly understood<sup>45</sup>. For  
55 aromatic compounds such as alkyl-benzenes, typically emitted from gasoline sources, new  
56 particle formation in chamber simulations has previously been shown to occur when the  
57 experiment moves from RO<sub>2</sub> + NO dominated regime to a RO<sub>2</sub> + HO<sub>2</sub> or RO<sub>2</sub> regime<sup>46-49</sup>,  
58 suggesting that the species formed through this pathway are of sufficiently low volatility to  
59 initiate nucleation<sup>4, 47</sup>. This has previously been attributed to the formation of peroxides,  
60 although little compositional evidence has been found in aromatic systems to support this<sup>23, 48</sup>

61  
62 SOA formation during the photo-oxidation of three mono-aromatic compounds, toluene (a  
63 predominantly anthropogenic VOC), 4-methyl catechol (anthropogenic oxygenated-VOC,  
64 OVOC) and methyl chavicol (biogenic OVOC, also known as estragole and 1-allyl-4-  
65 methoxybenzene) were investigated at the European Photoreactor in Valencia, Spain. Sub-  
66 micron aerosol samples were collected every 30 minutes using a particle into liquid sampler  
67 (PILS) and analysed offline using; high performance liquid chromatography ion-trap mass  
68 spectrometry (HPLC-ITMS), high performance liquid chromatography quadrupole time-of-  
69 flight mass spectrometry (HPLC-QTOFMS) and Fourier transform ion cyclotron resonance  
70 mass spectrometry (FTICR-MS). The use of a time resolved aerosol collection method  
71 followed by offline state-of-the-art mass spectrometric analysis, has allowed us to provide  
72 time resolved measurements with detailed chemical composition of the individual photo-  
73 oxidation products formed. From this, we are able to observe the partitioning, formation and  
74 loss of individual compounds in the particulate phase during SOA formation and ageing;

75 allowing us to observe the differences in the condensed phase evolution of individual species  
76 based on their structure and functionality.

77

## 78 **Experimental**

### 79 **Chamber Simulation Experiments**

80 Experiments were performed at the European Photoreactor (EUPHORE) in Valencia,  
81 Spain. Briefly, the EUPHORE facility consists of two  $\sim 200 \text{ m}^3$  hemispheric simulation  
82 chambers made of fluorinated ethylene propylene foil (FEP). Dry scrubbed air is used within  
83 the chamber and two large fans ensure homogenous mixing. Chamber temperature is near  
84 ambient and pressure is maintained at  $\sim 100 \text{ Pa}$  above ambient. Further technical information  
85 can be found in the literature<sup>45, 50-53</sup>. Two sets of experiments were performed, during July  
86 2009 as a part of the Toluene OXIdation in a Chamber (TOXIC) project and during May  
87 2012 as a part of the Atmospheric Chemistry of Methyl Chavicol (ATMECH) project. VOC  
88 precursors investigated, initial VOC/NO<sub>x</sub> mixing ratios and average chamber humidity and  
89 temperature for the experiments discussed, are shown in Table 1.

90

91 The chamber was cleaned before each experiment by flushing with dry scrubbed air. The  
92 VOC precursor was introduced into the chamber through a heated air stream. Photo-oxidation  
93 experiments were performed, where no additional source of  $\cdot\text{OH}$  radicals were added into the  
94 chamber, using wall chemistry to initiate photo-oxidation<sup>54, 55</sup>. A range of instruments were  
95 used to monitor chamber pressure (Barometer, model AIR-DB-VOC), humidity (Hygrometer  
96 Watz, model Walz-TS2), temperature (temperature sensor, model PT100), solar intensity  
97 ( $j(\text{NO}_2)$  Filter radiometer), NO<sub>x</sub> (Teledyne API, model NO<sub>x</sub>\_API-T200UP; photolytic  
98 converter) and O<sub>3</sub> (Monitor Labs, model 9810). During the TOXIC project, precursor  
99 degradation and product formation was monitored using a Fourier transform infra red

100 spectrometer (FTIR, Nicolet Magna, model 550) coupled to a white-type mirror system  
101 (optical path length 616 m) and a chemical ionisation reaction time-of-flight mass  
102 spectrometer (CIR-TOF-MS, Kore Technology). In the ATMECH project, the CIR-TOF-MS  
103 was replaced with proton transfer reaction mass spectrometry (PTR-MS, Ionikon Analytik).  
104 SOA mass, size and number concentrations were measured using a scanning mobility particle  
105 sizer (TSI Incorporated, model 3080) consisting of a differential mobility analyzer (model  
106 3081) and a condensation particle counter (model 3775).

107

### 108 **Aerosol Sampling and Analysis**

109 The analytical procedures are discussed in more detail in Pereira et al. (2014)<sup>56</sup> with a brief  
110 summary given here. Aerosol samples were collected every 30 minutes using a PILS  
111 (Brechtel Manufacturing, model 4002). The PILS inlet was connected to the chamber outlet  
112 using 1.5 metres of 1/3" stainless steel tubing. PM<sub>1</sub> aerosol samples were collected using an  
113 impactor at an average flow rate of 12 L min<sup>-1</sup>. The PILS sample and wash flow was set to  
114 200 μL min<sup>-1</sup> and 240 μL min<sup>-1</sup>, respectively, and consisted of optima LC-MS grade water  
115 (Fisher Scientific, UK). After sample collection, PILS vials were securely sealed, wrapped in  
116 foil to minimise potential degradation from photolysis and stored at – 20 °C until analysis.  
117 Prior to analysis, PILS samples were evaporated to dryness using a V10 vacuum solvent  
118 evaporator (Biotage, USA) and re-suspended in 50:50 methanol:water (optima LC-MS grade,  
119 Fisher Scientific, UK).

120

121 SOA composition was investigated using an Agilent 1100 series HPLC (Berkshire, UK)  
122 coupled to a HTC Plus ion trap mass spectrometer (IT-MS, Bruker Daltonics, Bremen,  
123 Germany). A reversed phase Pinnacle C<sub>18</sub> 150 mm x 4.6 mm, 5 μm particle size column  
124 (Thames Resteck, UK) was used. The mobile phase consisted of water (optima LC-MS

125 grade) with 0.1 % formic acid (Sigma Aldrich, UK) and methanol (optima LC-MS grade,  
126 Fisher Scientific UK). The MS was operated in alternating polarity mode, scanning from  $m/z$   
127 50 to 600. Tandem MS (collision induced dissociation, CID) was achieved through the  
128 automated MS<sup>2</sup> function within the Esquire software (Bruker Daltonics, software version  
129 5.2). In addition to the HPLC-ITMS, the ATMECH PILS samples were further investigated  
130 using a solariX FTICR-MS with a 9.4-T superconducting magnet (Bruker Daltonics,  
131 Coventry, UK) and a Dionex ultimate 3000 HPLC (Thermo Scientific Inc, UK) coupled to an  
132 ultra high resolution QTOFMS (maXis 3G, Bruker Daltonics, Coventry, UK). The HPLC-  
133 QTOFMS used the same reversed phase column and mobile phase composition as described  
134 above for the HPLC-ITMS analysis. Spectral analysis was performed using DataAnalysis 4.0  
135 software (Bruker Daltonics, Bremen, Germany).

136

## 137 **Results and Discussion**

138 Initially, the PILS samples were screened for SOA species using HPLC-ITMS. Any  
139 compounds present before the introduction of the VOC precursor and NO into the chamber  
140 were excluded from further analysis. Only compounds that displayed changes in their  
141 chromatographic peak areas were investigated further. Peak areas of the observed SOA  
142 compounds were measured in each 30 minute PILS sample, allowing the temporal evolution  
143 of individual species in the particulate phase to be observed. The temporal profiles of the  
144 VOC precursor, NO, NO<sub>2</sub>, O<sub>3</sub> and the SOA mass formed, during the experiments can be  
145 found in the SI, Figure S1. The observed first generation gas-phase photo-oxidation products  
146 are shown in the SI, Figure S2.

147

## 148 **Photo-oxidation of Methyl Chavicol**

149 Initially, the SOA composition formed during the photo-oxidation of methyl chavicol,  
150 experiment MC<sub>[high]</sub> (Table 1) was investigated. In this experiment, 460 ppbv of methyl  
151 chavicol and 92 ppbv of NO were added into the chamber and the chamber exposed to  
152 sunlight. The maximum SOA mass formed was 283  $\mu\text{g m}^{-3}$ , resulting in an SOA yield of 31  
153 %<sup>56</sup> (corrected for chamber wall loss and dilution). In total, 79 SOA compounds were  
154 observed in the PILS SOA samples using HPLC-ITMS; including 20 SOA compounds in  
155 addition to those previously reported<sup>56</sup>. Temporal profiles were created for 70 of the 79 SOA  
156 species. The other 9 compounds were excluded owing to the majority of chromatographic  
157 peaks observed being below the limit of detection (defined as S/N = 3). The temporal profiles  
158 of the individual SOA species varied considerably, with different rates of aerosol partitioning,  
159 formation and loss observed. Nevertheless, three main temporal profile shapes could be  
160 distinguished.

161

162 The majority of SOA compounds displayed a relatively slow increase in their particle phase  
163 concentration, following initial aerosol growth, after which their concentration either  
164 plateaued or began to decrease towards the end of the experiment (~ 4 hours). Of the 79 SOA  
165 compounds observed, 47 species displayed this type of temporal profile (hereafter referred to  
166 as TP1) and an example is shown in Figure 1A. The majority of these compounds were first  
167 observed in the aerosol phase between 71 to 101 minutes into the experiment, when the SOA  
168 mass in the chamber was rapidly increasing (63 to 188  $\mu\text{g m}^{-3}$ , Figure 1G). All of these  
169 compounds displayed a gradual increase in their particulate phase concentration, with the  
170 majority reaching maximum concentration at ~ 175 minutes into the experiment, when SOA  
171 formation in the chamber had plateaued. The compound structures of 5 of these species have  
172 been determined<sup>56</sup> and were identified as; (3-hydroxy-4-methoxyphenyl)acetic acid, 3-(3-  
173 hydroxy-4-methoxyphenyl)propane-1,2-diol, 4-methoxybenzoic acid, 3-hydroxy-4-



174 methoxybenzoic acid and 2-hydroxy-3-(3-hydroxy-4-methoxyphenyl)propanal. The  
175 saturation concentrations ( $C^*$  ( $\mu\text{g m}^{-3}$ ))<sup>57</sup> of these compounds were calculated (see SI) and  
176 determined to range from 1.23 to 917  $\mu\text{g m}^{-3}$ , characterising these species as intermediate- to  
177 semi-VOCs (IVOC-SVOC). The compound structures, their most likely mechanistic  
178 generation and the time period these compounds were first observed in the aerosol phase are  
179 shown in SI, Table S1.

180

181 The mass fraction ( $y_i$ ) of a species in the aerosol phase can be calculated by dividing the  
182 measured concentration of species ( $i$ ) in the condensed phase ( $C_{i,\text{aer}}$  ( $\mu\text{g m}^{-3}$ )) by the average  
183 SOA mass formed ( $C_{\text{OA}}$  ( $\mu\text{g m}^{-3}$ )) in each PILS sampling time period ( $y_i = C_{i,\text{aer}}/C_{\text{OA}}$ )<sup>58</sup>. The  
184 measured mass fraction ( $y_i$ ) of 4-methoxybenzoic acid (typical TP1 species) in the condensed  
185 phase displayed a linear increase ( $R^2 = 0.9938$ ) with time, after ~101 minutes into the  
186 experiment (SOA mass > 191  $\mu\text{g m}^{-3}$ ), as shown in Figure 1B. The gas-phase concentration  
187 of 4-methoxybenzoic acid could not be determined due to the extensive fragmentation of this  
188 species in the PTR-MS. However based on the absorptive partitioning theory<sup>58</sup>, a positive  
189 linear relationship between  $y_i$  and time would only be observed if the gas-phase concentration  
190 ( $C_{i,\text{gas}}$  ( $\mu\text{g m}^{-3}$ )) of this species continued to increase linearly throughout the experiment;  
191 where  $C_{i,\text{gas}} = (C_i^* \times C_{i,\text{aer}})/C_{\text{OA}}$ <sup>58</sup>. It is therefore suggested that the positive linear relationship  
192 observed here, is a result of a faster gas-phase formation rate than loss, resulting in  
193 progressive absorptive partitioning into the aerosol phase as  $C_{i,\text{gas}}$  and  $C_{\text{OA}}$  increases<sup>4, 59, 60</sup>.  
194 The majority of the TP1 compounds displayed a linear relationship with the SOA mass,  
195 suggesting the partitioning of these species into the aerosol phase were also driven by  
196 absorptive partitioning, which considering the relatively high volatility of the identified  
197 species, would appear to be a reasonable explanation. There were however, variations in the  
198 timing, duration and rate of increasing/decreasing  $y_i$ , most likely owing to the different rates

199 of formation and loss of these compounds in the gas-phase and their volatility. Reactive  
200 uptake *via* in-particle formation processes<sup>58</sup> is also a possibility, however it is unclear if the  
201 formation of the TP1 species (*i.e.* 59 % of observed compounds) through in-particle phase  
202 reactions would result in a linear relationship with the SOA mass.

203

204 The second type of temporal profile shape observed (TP2) displayed a rapid increase and  
205 then decrease in aerosol phase concentration, as shown in Figure 1C. These species were  
206 short lived, remaining in the aerosol phase for a maximum of 2.5 hours, and could not be  
207 detected in the aerosol samples taken at the end of the experiment. Only 3 compounds  
208 displayed this type of temporal profile and the structure of one was identified as (4-  
209 methoxyphenyl)acetic acid<sup>56</sup>. The two unidentified species consisted of one highly  
210 oxygenated compound, C<sub>8</sub>H<sub>12</sub>O<sub>8</sub> (O:C = 1) and one species, C<sub>11</sub>H<sub>14</sub>O<sub>4</sub>, which contained one  
211 more carbon atom than the original VOC precursor. All of these compounds reached peak  
212 concentration up to 30 minutes after partitioning into the aerosol phase (*i.e.* in the following  
213 PILS sample) and displayed a rapid loss process after maximum concentration was observed.  
214 The rapid decrease in the aerosol phase concentration observed for these compounds could be  
215 due to a variety of loss processes, such as; (i) photolysis or further reaction of the compound  
216 in the gas-phase resulting in re-volatilisation from the particle phase; and/or, (ii) in-particle  
217 phase/heterogeneous reactions.

218

219 For the identified species, (4-methoxyphenyl)acetic acid, photolytic degradation is  
220 considered to be negligible<sup>61</sup>. However, the identification of an oxidation product, with an  
221 additional •OH group on the aromatic ring (3-hydroxy-4-methoxyphenyl)acetic acid<sup>56</sup>  
222 indicates further that gas-phase and/or heterogeneous reactions are occurring. The measured  
223 mass fraction ( $y_i$ ) of (4-methoxyphenyl)acetic acid in the condensed phase decreased linearly

224 with time ( $R^2 = 0.9903$ , Figure 1D). Assuming equilibrium partitioning, the temporal  
225 evolution of (4-methoxyphenyl)acetic acid in the aerosol phase can be predicted by using  
226  $C_{iaer} = (C_{OA} \times C_{igas})/C^*$ <sup>58</sup>. The predicted and measured particulate phase temporal profiles of  
227 (4-methoxyphenyl)acetic acid are shown in the SI, Figure S3. There are clear differences  
228 between the two profiles, with the predicted temporal profile timing and shape more closely  
229 resembling TP1 than a TP2 evolution. This suggests that for these species an additional loss  
230 process is occurring, such as in-particle phase/heterogeneous reactions, leading to a deviation  
231 from gas-particle equilibrium partitioning.

232

233 The third type of temporal profile shape (TP3) was similar to TP2 discussed above, except  
234 these compounds appeared in the aerosol phase earlier in the experiment (between 41 to 71  
235 minutes) and a different rate of decreasing aerosol concentration was observed (Figure 1E).  
236 Interestingly, all 8 species that displayed this type of temporal profile contained nitrogen.  
237 Seven of these organic nitrogen (ON) species were first observed in the aerosol phase when  
238 initial SOA growth was observed in the chamber (41 - 71 minutes into the experiment, Figure  
239 1E) and reached peak concentration within the next 30 minutes. The complex re-  
240 arrangements observed for these compounds during CID in the mass spectrometer made the  
241 structural identification particularly difficult. However, one of these compounds was  
242 identified as 3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol<sup>56</sup> and the structures of  
243 two others have been tentatively assigned as substituted nitrophenols; 5-methoxy-4-nitro-2-  
244 (prop-2-en-1-yl)phenol and 1-hydroxy-3-(2-hydroxy-4-methoxy-5-nitrophenyl)propan-2-one  
245 (see the SI for the discussion of the structural assignment). The compound structures, time  
246 period these compounds were first observed in the aerosol phase and their proposed  
247 mechanistic generations are shown in SI Table S2. The calculated saturation concentrations  
248 and O:C ratios of the structurally identified compounds ranged from  $4.37 \times 10^2$  to  $2.86 \times 10^{-3}$

249  $\mu\text{g m}^{-3}$  and 0.4 to 0.6 respectively, classifying these species as semi- to low volatility organic  
250 compounds (SVOC-LVOC)<sup>39</sup>.

251

252 The decrease in the particulate phase concentration observed for these species may be the  
253 result of a number of competing processes, such as; the low formation rates of the gas-phase  
254 nitro-aromatics as the  $\text{NO}_2$  concentration is depleted in the chamber (as  $\text{NO}_x$  is not  
255 replenished), photolysis/further reaction of the gas-phase species which may lead to re-  
256 volatilisation from the aerosol phase and/or in-particle phase/heterogeneous chemistry.  
257 However, the observation of only one additional nitrogen containing compound later in the  
258 experiment suggests that either; (i) these compounds are entirely removed from the aerosol  
259 phase through re-volatilisation and do not partition back into the aerosol phase, or; (ii) upon  
260 further reaction lose nitrogen. The complete removal of these species from the particulate  
261 phase through re-volatilisation would appear unlikely due to the low volatility of these  
262 compounds. A more likely explanation for the lack of additional nitrogen containing  
263 oxidation products, is that upon further reaction, nitrogen is being lost. A number of recent  
264 studies have found that nitrophenols can lose HONO in the gas phase<sup>62-64</sup>,, potentially a  
265 similar loss mechanism could also occur in the condensed phase, explaining why only one  
266 additional nitrogen containing oxidation product was observed. In contrast to the temporal  
267 profiles discussed above, the measured mass fraction ( $y_i$ ) of all of the TP3 compounds  
268 displayed a decreasing exponential relationship with time ( $R^2 > 0.9432$ ). This relationship  
269 can be observed in Figure 1F for the identified species, 3-(5-hydroxy-4-methoxy-2-  
270 nitrophenyl)propane-1,2-diol. The reason why an exponential relationship is observed is  
271 currently unclear but possible influences are discussed further below.

272

273 **Evolution of Nitrophenols**

274 SOA was first observed in the PILS sample collected between 41 to 71 minutes into the  
275 experiment (SI Figure S4). In this sample, 13 SOA compounds were observed and included  
276 eight ON species ( $C_9H_{11}NO_9$  ( $t_R = 11.8$ ),  $C_9H_{11}NO_9$  ( $t_R = 12.8$ ),  $C_{10}H_9NO_3$ ,  $C_{10}H_{13}NO_6$ ,  
277  $C_{10}H_{11}NO_6$ ,  $C_{10}H_{11}NO_4$ ,  $C_5H_7NO_6$  and  $C_{10}H_{17}NO_3$ ), one oligomer ( $C_{18}H_{20}O_{12}$ ), three oxidised  
278 compounds ( $C_{10}H_{14}O_3$ ,  $C_{11}H_{18}O_5$  and  $C_{10}H_{12}O_5$ ), and one compound at molecular weight  
279 (MW)  $120 \text{ g mol}^{-1}$ ; whose molecular formula could not be assigned using only the elements  
280 C, H, N and O. Of the ON compounds, the structures of three were identified as substituted  
281 nitrophenols (3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol<sup>56</sup>, (SI Table S2,  
282 compound 1), 1-hydroxy-3-(2-hydroxy-4-methoxy-5-nitrophenyl)propan-2-one (SI Table S2,  
283 compound 2) and 5-methoxy-4-nitro-2-(prop-2-en-1-yl)phenol (SI Table S2, compound 3),  
284 see SI for the structural assignment) and a further three displayed characteristic fragmentation  
285 patterns suggesting these compounds contained a resonance stabilised ring structure and a  
286 nitro group ( $C_9H_{11}NO_9$  ( $t_R = 11.8$ ),  $C_9H_{11}NO_9$  ( $t_R = 12.8$ ) and  $C_{10}H_9NO_3$ ), indicating that  
287 these species were also likely to be substituted nitrophenols.

288  
289 The particulate phase temporal profiles of the nitrophenols (both identified and suspected)  
290 and the  $NO_2/NO$  (ppbv/ppbv) ratio were found to be remarkably similar, as shown for  
291 example in Figure 2. All of the structurally identified nitrophenols were observed to have  
292 different degrees of oxidation on the hydrocarbon chain substituent, with a diol, hydroxy-  
293 carbonyl and unreacted alkene (same functionality as the starting precursor, methyl chavicol)  
294 observed. Nevertheless, all of these compounds displayed the same temporal profile shape  
295 and relationship with the  $NO_2/NO$  ratio, suggesting that it is the  $NO_2$  group on the aromatic  
296 ring that controls the partitioning, formation and loss of these species in the particulate phase.  
297 This can be further supported by considering the two remaining ON compounds observed,  
298  $C_5H_7NO_6$  and  $C_{10}H_{17}NO_3$ . Both of these compounds displayed a TP1 temporal profile shape

299 rather than the nitrophenol TP3 shape. Based on the molecular formulae, these species cannot  
300 contain a resonance stabilised ring structure (*i.e.* they are ring opened species) with  $C_5H_7NO_6$   
301 containing too few carbon atoms, and  $C_{10}H_{17}NO_3$  containing too many hydrogen atoms.  
302 Thus, these compounds cannot be substituted nitrophenols, potentially explaining why a TP3  
303 evolution and relationship with the  $NO_2/NO$  ratio was not observed for these species.

304

305 The structurally identified nitrophenols were characterised as SVOCs to LVOCs, with 3-(5-  
306 hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol just fractionally outside the nucleator  
307 (ELVOC) region proposed in Donahue et al. (2013)<sup>26</sup>. Converting the calculated saturation  
308 concentrations of 3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol ( $C^* = 2.86 \times 10^{-3}$   
309  $\mu g\ m^{-3}$ ) and 1-hydroxy-3-(2-hydroxy-4-methoxy-5-nitrophenyl)propan-2-one ( $1.09 \times 10^{-1}$   $\mu g$   
310  $m^{-3}$ ) into gas-phase mixing ratios, concentrations of 0.29 pptv and 11.05 pptv, respectively,  
311 would be required for these compounds to reach their saturation concentrations and partition  
312 into the aerosol phase without any absorptive mass present. Considering the initial mixing  
313 ratio of methyl chavicol (460 ppbv), the saturation concentrations of these suspected third  
314 generation products would appear to be easily obtainable. Furthermore, the molecular  
315 formulae of two of the suspected nitrophenols were found to have one less carbon atom than  
316 these species, but considerably more oxygen atoms ( $O_9$  instead of  $O_6$  ( $C_9H_{11}NO_9$ , O:C =1))  
317 indicating these compounds are also likely to be of similar or lower volatility. The low  
318 saturation concentrations of these compounds coupled with the observation of these species  
319 in the aerosol phase during initial SOA growth, as shown in Figure 3, suggests these species  
320 could potentially be involved new particle formation and/or SOA growth.

321

322 **Toluene and 4-Methyl Catechol: Nitrophenol Evolution**

323 Owing to the clear importance of nitrophenols in methyl chavicol SOA formation, two  
324 other aromatic systems, toluene and 4-methylcatechol were also investigated. Two toluene  
325 photo-oxidation experiments were investigated; (i) Tol<sub>low</sub>, a VOC/NO<sub>x</sub> ratio of ~ 13, where  
326 535 ppbv of toluene and 41 ppbv of NO was added into the chamber, and; (ii) Tol<sub>mod</sub>, a  
327 higher VOC/NO<sub>x</sub> ratio of ~ 5, where 560 ppbv of toluene and 105 ppbv of NO was added  
328 into the chamber (Table 1). The maximum amount of SOA mass formed was 22.3 µg m<sup>-3</sup> in  
329 Tol<sub>low</sub> and 32.8 µg m<sup>-3</sup> in Tol<sub>mod</sub>, with SOA yields of 3.4 % and 5.4 % respectively (corrected  
330 for wall loss and chamber dilution). In both experiments, two nitrogen containing compounds  
331 were observed in the PILS SOA samples and are assigned as 2-methyl-4-nitrophenol  
332 (C<sub>7</sub>H<sub>7</sub>NO<sub>3</sub>, MW 153 g mol<sup>-1</sup>), a second generation product, and methyl nitro-catechol  
333 (C<sub>7</sub>H<sub>7</sub>NO<sub>4</sub>, MW 169 g mol<sup>-1</sup>), a third generation product. Both of these compounds are  
334 known toluene photo-oxidation products<sup>65-69</sup>. The identification of 2-methyl-4-nitrophenol  
335 was confirmed from the chromatographic retention time and fragmentation patterns of the  
336 commercially available standard. The exact locations of the aromatic substitutions of methyl  
337 nitro-catechol are unclear and are discussed in the SI, but based on known gas-phase  
338 mechanisms and the structural elucidation of the mass spectral fragmentation patterns, the  
339 most likely structure is 3-methyl-4-nitrocatechol.

340

341 The temporal profiles of 2-methyl-4-nitrophenol and methyl nitro-catechol were observed  
342 to follow the same TP3 shape observed previously for the methyl chavicol nitrophenols.  
343 Again, both of these compounds displayed a relationship with the temporal profile of the  
344 NO<sub>2</sub>/NO ratio (SI Figure S5 and S6). The saturation concentrations of 2-methyl-4-nitrophenol  
345 and methyl nitro-catechol (calculation based on 3-methyl-4-nitrocatechol) were determined  
346 as  $1.12 \times 10^5$  and  $1.41 \times 10^3$  µg m<sup>-3</sup>, respectively, characterising these species as IVOCs. The  
347 gas-phase concentrations of 2-methyl-4-nitrophenol and methyl nitro-catechol in both toluene

348 experiments are not known. However, in order for 2-methyl-4-nitrophenol and methyl nitro-  
349 catechol to partition into the aerosol phase without any absorptive mass present, gas-phase  
350 concentrations of 17.95 ppmv and 0.20 ppmv, respectively, would be required (based on their  
351 calculated saturation concentrations); well in excess of the amount of toluene reacted prior to  
352 SOA formation in both experiments. Providing some absorptive mass is present, a gaseous  
353 species can partition some of its mass into the aerosol phase below its saturation  
354 concentration<sup>4, 59, 60</sup>. However, even taking this into account, equilibrium partitioning cannot  
355 describe the observation of these relatively volatile species in the aerosol phase during initial  
356 aerosol formation and growth (see SI for the supporting calculations and SI Figures S7 and  
357 S8).

358

359 The reason why these IVOCs are observed in the aerosol phase during initial aerosol  
360 growth is currently unclear. However, a recent study has also observed a similar phenomenon  
361 with nitrophenols formed from the photo-oxidation of benzene<sup>70</sup>. Using high resolution time-  
362 of-flight aerosol mass spectrometry (HR-TOF-AMS), Sato et al. (2012) measured  
363 nitrophenols from the onset of SOA nucleation and observed them to rapidly decrease in  
364 concentration over the first hour<sup>70</sup>. The composition of these compounds was determined  
365 from the collection of SOA onto filter samples, followed by offline HPLC-TOFMS  
366 analysis<sup>70</sup>. Whilst no reference to volatility was made in their study, the two nitrophenols  
367 identified (4-nitrophenol and 4-nitrocatechol) are of even higher volatility (calculated  $C^* =$   
368  $3.19 \times 10^5$  and  $4.70 \times 10^3 \mu\text{g m}^{-3}$ ) than the species identified in this study, owing to the lack of  
369 a methyl group on the aromatic ring. Furthermore, Sato et al. (2012) observed that from the  
370 onset of SOA nucleation to the first 60 minutes of their experiment, nitrophenol formation  
371 was almost independent of the amount of absorptive mass present<sup>70</sup>, which is in agreement



372 with the results shown here. One possible explanation for these observations is the formation  
373 of gas-phase clusters.

374

375 A number of studies have shown phenol-phenol or phenol-water clusters can form in the  
376 gas-phase and produce stable clusters through hydrogen bonding<sup>71-74</sup>. Theoretical simulations  
377 predict the stability of phenol-water clusters to be comparable to that of water clusters,  
378 exhibiting similar hydrogen bonding energies<sup>72, 74</sup>. Whilst no studies have investigated  
379 nitrophenol gas-phase clustering, these compounds are known to form both intra- and inter-  
380 molecular hydrogen bonds; with very strong intra-molecular hydrogen bonding observed  
381 between the nitro and hydroxyl group (C-NO—HO-C)<sup>75-77</sup>. Such interactions could result in  
382 stabilised cluster formation and new particle formation, potentially accounting for the  
383 observations shown in Sato et al. (2012)<sup>70</sup> and in this study; although this remains to be  
384 explained.

385

386 In contrast to the other two aromatic systems investigated, the photo-oxidation of 4-  
387 methylcatechol (Table 1) did not result in the formation of nitrophenols in the aerosol phase  
388 during initial SOA growth. In this experiment, 591 ppbv of 4-methyl catechol and 120 ppbv  
389 of NO were added into the chamber, resulting in the formation of 154  $\mu\text{g m}^{-3}$  of SOA mass,  
390 with an SOA yield of 9.8 % (corrected for wall loss and chamber dilution). Only one  
391 nitrophenol compound (MW 168  $\text{g mol}^{-1}$ , 4-methyl-5-nitrocatechol) was observed in the  
392 PILS SOA samples. The fragmentation patterns and structural assignment of this species is  
393 discussed in the SI, but based on known gas-phase mechanisms and the characteristic mass  
394 spectral fragmentation patterns, the most likely structure is 4-methyl-5-nitrocatechol.  
395 Interestingly, 4-methyl-5-nitrocatechol was not observed in the aerosol phase until the  
396 chamber covers were closed, approximately 2.5 hours after irradiation was initiated (SI

397 Figure S9). The observation of this species in the aerosol phase after irradiation had stopped,  
398 suggests photolytic dissociation is preventing this compound from accumulating in the gas-  
399 phase and partitioning into the aerosol phase. This also indicates that there is a dark formation  
400 source of 4-methyl-5-nitrocatechol which is most likely initiated through the rapid reaction of  
401 4-methylcatechol with  $\text{NO}_3$  ( $13.4 \pm 5.0 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )<sup>78</sup> followed by the addition  
402 of  $\text{NO}_2$  to the aromatic ring.

403

404 The location of aromatic substitutions can affect the rate of reaction<sup>79</sup>, photolytic  
405 dissociation<sup>79, 80</sup> (including HONO formation<sup>63</sup>) and the strength of hydrogen bonds owing to  
406 the change in resonance stability of the aromatic ring (should these species undergo gas-  
407 phase clustering)<sup>75-77</sup>. It is therefore likely that the location of aromatic substitutions in 4-  
408 methyl-5-nitrocatechol makes this compound more susceptible to a loss process such as  
409 photolysis than 3-methyl-4-nitrocatechol (observed from the photo-oxidation of toluene),  
410 accounting for the differences in the timing of partitioning observed; highlighting the  
411 importance of studying SOA formation at molecular level.

412

### 413 **Atmospheric Relevance**

414 In chamber experiments, the  $\text{RO}_2 + \text{RO}_2$  reaction pathway favoured in relatively "low NO  
415 environments" is thought to be key to new particle formation and SOA growth in the photo-  
416 oxidation of aromatic VOC systems<sup>48</sup>. Here we observed that both a low NO environment  
417 and sufficient  $\text{NO}_2$  concentration is required for the formation of ON compounds, which in  
418 the photo-oxidation of methyl chavicol represented 8 of the 13 particulate phase compounds  
419 observed during initial aerosol growth. The ON compounds at their maximum concentration  
420 represented 4.39 % of SOA mass in  $\text{MC}_{[\text{high}]}$ , 1.05 % in  $\text{Tol}_{\text{mod}}$  and 0.18 % in  $\text{Tol}_{\text{low}}$ , based on  
421 the average SOA mass formed during the same PILS sampling time period. In chamber

422 experiments, where  $\text{NO}_x$  is not replenished, the  $\text{NO}_2$  concentration decreases below the  
423 detection limit of the instrument ( $\sim 0.6$  ppbv), which is likely to reduce the formation rate of  
424 the ON species. However, in the atmosphere  $\text{NO}_2$  continuously forms within the VOC- $\text{NO}_x$ -  
425  $\text{O}_3$  cycle, which is likely to result in the continuous formation of these compounds in the  
426 ambient atmosphere. For the toluene (no kinetic data exists for methyl chavicol) in polluted  
427 environments, hydrogen atom abstraction and addition of  $\text{NO}_2$  to the aromatic ring ( $2.5\text{-}3.6 \times$   
428  $10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )<sup>81</sup> is a minor, but still important, channel in competition with the  
429 addition of  $\text{O}_2$  ( $1.8\text{-}20 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )<sup>82</sup>. Close to emission sources,  $\text{NO}_2$  will be  
430 rapidly formed *via* the conversion of  $\text{NO}$ , leading to relatively high  $\text{NO}_2$  concentrations,  
431 increasing the potential to form these species<sup>81, 82</sup>. Peroxyacetyl nitrates (PANs) may also  
432 contribute to the formation of these ON species, providing a source of  $\text{NO}_2$  upon thermal  
433 decomposition<sup>83</sup>. Recent literature has suggested that only pptv concentrations of ELVOCs  
434 would be required to drive new particle formation and subsequent growth<sup>26</sup>.

435

436 The chemistry simulated in these experiments will be representative of VOC emissions  
437 near a pollution source (*i.e.* high  $\text{NO}$  concentrations) and their chemical transformations as  
438 they travel downwind into cleaner environments (*i.e.* conversion of  $\text{NO}$  to  $\text{NO}_2$ , followed by  
439 the photolysis of  $\text{NO}_2$  to form  $\text{O}_3$ ). The initial VOC: $\text{NO}_x$  ratio in experiment MC<sub>[high]</sub> is  
440 representative of an agro-industrialised oil palm plantation in northern Borneo (see Pereira et  
441 al. (2014)<sup>56</sup> for further information). In the case of the toluene photo-oxidation experiments,  
442 the respectively defined “low” and “moderate”  $\text{NO}_x$  experiments (VOC: $\text{NO}_x$  5:1 and 13:1;  
443 Table 1) were performed under  $\text{NO}_x$ -limited ozone formation conditions (as often  
444 experienced in southern Europe<sup>45</sup> and suburban China<sup>84</sup>). The initial VOC: $\text{NO}_x$  ratios in both  
445 the toluene and catechol (VOC: $\text{NO}_x = 5:1$ ) experiments were selected using detailed

446 chemical chamber simulations to construct ozone isopleth plots (see Bloss et al. (2005)<sup>45</sup> for  
447 further information) to give maximum ozone formation<sup>85</sup>.

448

449 The involvement of the ON species in new particle formation and SOA growth was not  
450 directly measured. However, the observation of various nitrophenols of differing volatilities  
451 in the condensed phase during initial aerosol growth in the photo-oxidation of methyl  
452 chavicol and toluene, suggests these compounds may be participating new particle formation  
453 and/or SOA growth. Further study, such as measurements with an atmospheric pressure  
454 ionisation mass spectrometer and quantum calculations of binding energies, is warranted to  
455 investigate this. Using the techniques described, a greater insight and knowledge of the  
456 dynamic processes affecting SOA formation and evolution on a molecular level can be  
457 obtained. To our knowledge, this is the first experimental evidence of variable temporal  
458 profiles of speciated SOA compounds as a function of photochemical ageing. As shown in  
459 this work, the partitioning, formation and loss of individual compounds in the particle phase  
460 can vary considerably with only slight changes in the chemical composition and structure.  
461 Understanding why different compounds display different rates of formation and loss is  
462 critical to understanding SOA formation and evolution in the ambient atmosphere.

463

#### 464 **Acknowledgements**

465 The assistance of scientists at EUPHORE and the York Centre of Excellence in Mass  
466 Spectrometry is gratefully acknowledged. The authors would also like to thank Iustinian  
467 Bejan, Paco Alacreu and the participants of the TOXIC project. This work was supported by  
468 Eurochamp-2 (TA Projects E2-2009-06-24-0001, E2-2011-04-19-0059) and Fundacion  
469 CEAM. ARR acknowledges the support of the National Centre for Atmospheric  
470 Science. The York Centre of Excellence in Mass Spectrometry was created thanks to a major

471 capital investment through Science City York, supported by Yorkshire Forward with funds  
472 from the Northern Way Initiative. Fundación CEAM is partly supported by Generalitat  
473 Valenciana and the DESESTRES- Prometeo II project. EUPHORE instrumentation is partly  
474 funded by MINECO, through INNPLANTA Project: PCT-440000-2010-003 and the projects  
475 FEDER CEAM10-3E-1301 and CEAM10-3E-1302. KEP acknowledges support of a NERC  
476 PhD studentship (NE106026057).

477

### 478 **Supporting Information**

479 SI Tables S1 and S2 show the proposed mechanistic generations of the structurally identified  
480 compounds displaying TP1 or TP3 evolutions. Figure S1 displays the temporal profiles of the  
481 VOC precursors, NO, NO<sub>2</sub>, O<sub>3</sub> and SOA mass formed, and Figure S2 displays the observed  
482 first generation gas-phase oxidation products formed in the experiments discussed. Figure S3  
483 displays the predicted and measured condensed phase temporal profile of (4-  
484 methoxyphenyl)acetic acid. Figures S4 to S9 display the relationship of ON temporal profiles  
485 with the NO<sub>2</sub>/NO ratio in Tol<sub>mod</sub> and Tol<sub>low</sub> and contour plots of particle diameter vs. particle  
486 mass and particle number for experiments Tol<sub>mod</sub>, Tol<sub>low</sub> and 4MCat. Tables S3 to S6 and  
487 Figures S10 to S13, show the mass spectral fragment ions, proposed fragmentation and the  
488 suggested ON compound structures. Finally, the PILS collection efficiency, volatility  
489 calculations, particle wall loss corrections, quantification of the observed compounds and the  
490 supporting calculations for the predicted 2-methyl-4-nitrophenol aerosol phase concentrations  
491 are discussed.

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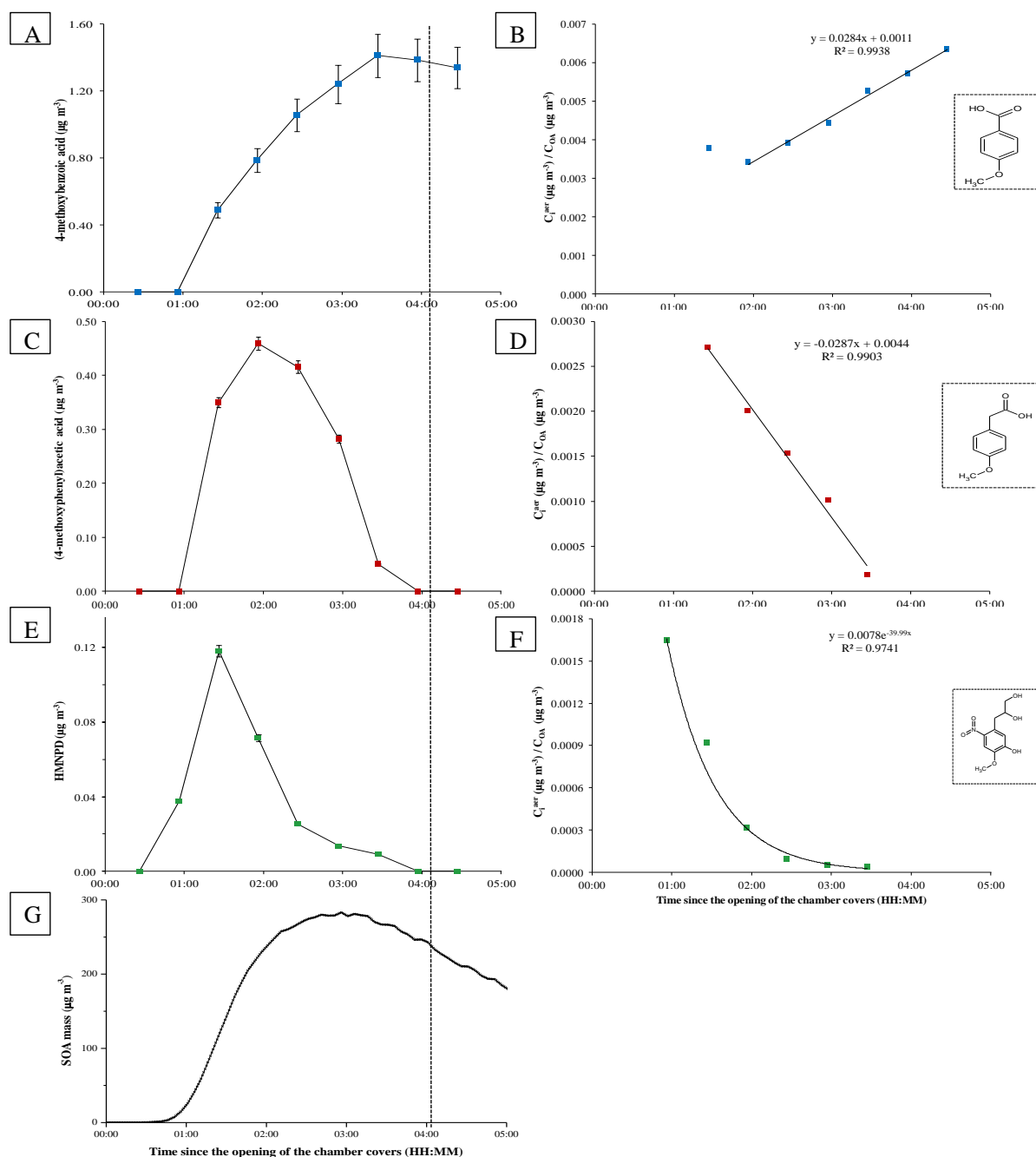
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**Table 1** - Initial mixing ratios of the oxidants and VOC precursors investigated, including chamber humidity and chamber temperature for the experiments performed during the TOXIC and ATMECH project.

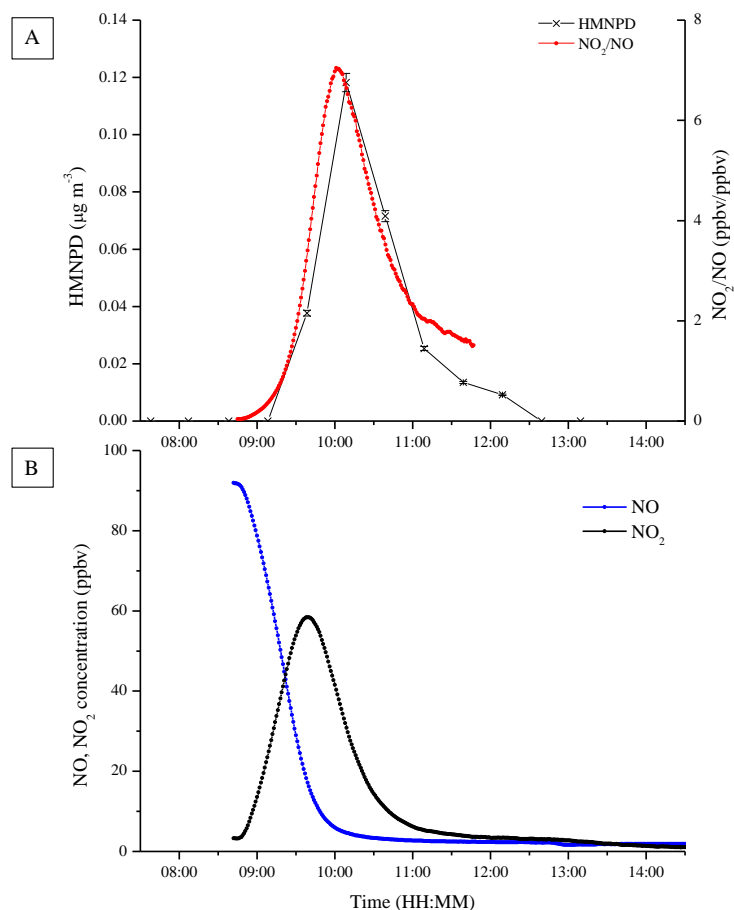
Project	Date	Exp.	Exp. Description	Initial mixing ratio <sup>a</sup>			Oxidant initial mixing ratio <sup>a</sup>				Experimental range <sup>b</sup>	
				Toluene [ppbv]	Methyl chavicol [ppbv]	4-Methyl Catechol [ppbv]	NO [ppbv]	NO <sub>2</sub> [ppbv]	O <sub>3</sub> [ppbv]	VOC:NO <sub>x</sub>	RH [%]	Temperature [K]
TOXIC	20.07.09	Tol <sub>low</sub>	Low NO <sub>x</sub>	535	-	-	41	< LOD	< LOD	~13:1	0.2 – 2.9	297 - 308
	21.07.09	Tol <sub>mod</sub>	Moderate NO <sub>x</sub>	560	-	-	105	< LOD	< LOD	~5:1	1.1 – 2.1	297 - 309
	28.07.09	4-MCat		-	-	591	120	2	< LOD	~5:1	0.2 – 8.8	298 - 305
ATMECH	15.05.12	MC <sub>[high]</sub>		-	460	-	92	3	5	~5:1	2.1 -10.7	297 - 306

<sup>a</sup> = On the opening of the chamber covers. <sup>b</sup> = From the opening to the closing of the chamber covers.

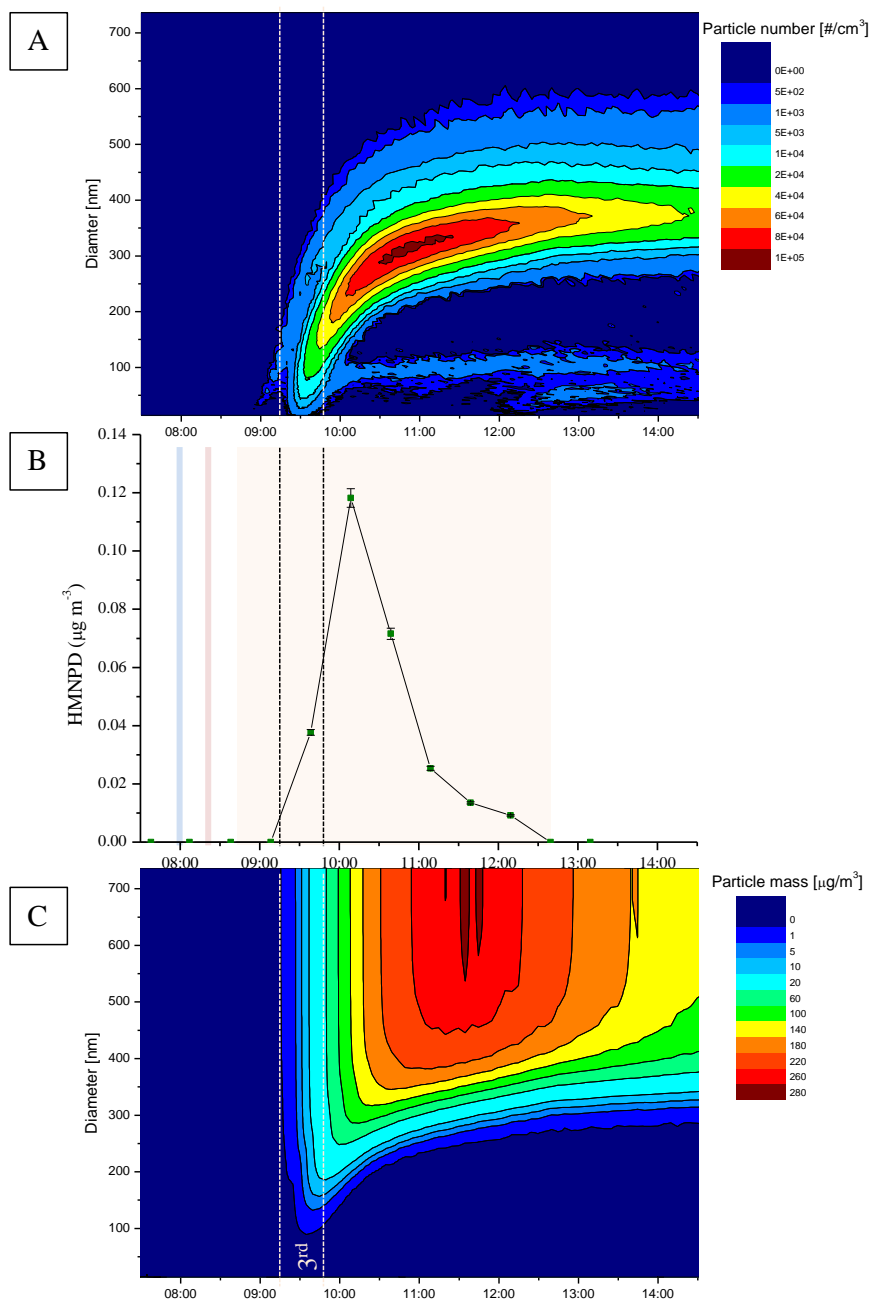


**Figure 1** - Types of characteristic particulate phase temporal profile shapes observed in  $MC_{[high]}$  (*left*) and their measured mass fraction ( $y_i = C_{i,aer}/C_{OA}$ ) over time (*right*). A = TP1, 4-methoxybenzoic acid. B = 4-methoxybenzoic acid ( $\mu\text{g m}^{-3}$ ) / average SOA mass ( $\mu\text{g m}^{-3}$ ). C = TP2, (4-methoxyphenyl)acetic acid. D = (4-methoxyphenyl)acetic acid ( $\mu\text{g m}^{-3}$ ) / average SOA mass ( $\mu\text{g m}^{-3}$ ). E = TP3, HMNPD (3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol). F = HMNPD (3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol) ( $\mu\text{g m}^{-3}$ ) / average SOA mass ( $\mu\text{g m}^{-3}$ ). G = SOA mass. Compound structures are shown in the boxes.

Temporal profiles are plotted using the average PILS sampling time. Error bars display the average %RSD of the calibration graph used to determine the compound concentrations (see SI for further information). Dashed vertical line = closing of the chamber covers.



**Figure 2** – Temporal profile of the NO<sub>2</sub>/NO concentration ratio (ppbv/ppbv) and the particulate phase temporal profile of HMNPD (3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol, SI compound 1, Table S2) in MC<sub>[high]</sub>. (A) Black = particulate phase temporal evolution of 3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol. Red = temporal profile of the NO<sub>2</sub>/NO ratio (ppbv/ppbv). (B) Blue = NO (ppbv). Black = NO<sub>2</sub> (ppbv).



**Figure 3** - Particle diameter vs. time with a coloured contour plot displaying increasing particle number (A) and particle mass (C), compared with the particulate phase temporal profile of HMNPD (3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol) (B) (SI compound 1, Table S1) during MC<sub>[high]</sub>. Shaded areas in (B); Blue = NO addition. Red = methyl chavicol addition. Orange = opening to the closing of the chamber covers. Dashed lines display the first PMLS sampling period where SOA was first observed (3<sup>rd</sup> sample from the opening of the chamber covers).