

1                   **Whole blood and peripheral mononuclear cell**  
2                   **transcriptional response to prolonged altitude exposure in**  
3                   **well-trained runners**

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25 **Abstract**

26 Recombinant human erythropoietin (rHuEpo) abuse by athletes threatens the integrity of  
27 sport. Due to the overlap in physiological response to rHuEpo and altitude exposure, it  
28 remains difficult to differentiate changes in haematological variables caused by rHuEpo or  
29 altitude and therefore, other molecular methods to enhance anti-doping should be explored.

30 **Objective:** To identify the haematological and transcriptomic response to prolonged altitude  
31 exposure typical of practices used by elite athletes.

32 **Design:** Longitudinal study

33 **Setting:** University of Cape Town and Altitude Training Centre in Ethiopia

34 **Participants and Intervention:** Fourteen well-trained athletes sojourned to an altitude  
35 training camp in Sulutla, Ethiopia (~2400 – 2500 m above sea level) for 27 days. Blood  
36 samples were taken prior, 24 hr, 9, 16 and 24 days after arrival at altitude in addition to 24 hr,  
37 6, 13 and 27 days upon return to sea level.

38 **Main Outcome Measures:** Blood samples were analysed for haemoglobin concentration,  
39 haematocrit and reticulocyte percentage. The transcriptomic response in whole blood and  
40 peripheral blood mononuclear cells (PBMC) were analysed using gene expression  
41 microarrays.

42 **Results:** A unique set of 29 and 10 genes were identified to be commonly expressed at every  
43 altitude timepoint in whole blood and PBMC, respectively. There were no genes identified  
44 upon return to sea level in whole blood and only one gene within PBMC.

45 **Conclusions:** The current study has identified a series of unique genes that can now be  
46 integrated with genes previously validated for rHuEpo abuse, thereby enabling the  
47 differentiation of rHuEpo from the altitude confounder.

48

## 49 Introduction

50 Following the dominance of East African athletes in endurance sports in the 1968 Mexico  
51 Olympic Games (~2300 m above sea level), the effects of altitude on endurance performance  
52 became an area of intense research. Sojourning to altitude has become common practice with  
53 the expectation of gaining the same advantage as the East African athletes. When travelling  
54 to altitude, decreased pressure of inspired oxygen ( $P_{iO_2}$ ) reduces arterial blood saturation  
55 ( $SaO_2$ ) thereby challenging oxidative metabolism (1). A number of physiological adaptations  
56 take place in response to altitude exposure to minimise the disruption caused by the  
57 decreased  $P_{iO_2}$ . Short term adaptations to altitude include a decreased plasma volume,  
58 increased heart rate and an increased ventilation rate (2). Longer-term adaptations follow that  
59 include an increase in endogenous erythropoietin (Epo) production that stimulates red blood  
60 cell production (3). It is this primary adaptation that is believed to enhance exercise  
61 performance at sea level (4). However, the ergogenic effects of altitude training in elite  
62 athletes has recently been challenged (5).

63

64 Initial research into altitude training aimed to replicate the training habits of the East African  
65 athletes who predominately live at an altitude in excess of ~2000 m above sea level and often  
66 train at, or higher altitudes (6). Early investigations into the performance benefits of living  
67 and training at high altitude (LHTH) generated conflicting results. Some studies  
68 demonstrated an 8-10% increase in sea level maximal oxygen uptake ( $\dot{V}O_{2max}$ ) and  
69 improved time trial performance (7), while others reported no difference (8). In response,  
70 Levine and Stray-Gundersen introduced the novel concept of living at moderate altitude  
71 (2500 m above sea level) while training at low altitude (<1500 m above sea level, LHTL) in  
72 order to attenuate the decrease in training intensity seen in previous LHTH studies (9). It was  
73 found that both training paradigms (LHTL and LHTH) led to an improvement in  $\dot{V}O_{2max}$  but  
74 only LHTL led to a significant increase in sea level 5000 m time trial performance. The  
75 LHTL paradigm has remained the most popular method of altitude training, with minor  
76 modifications on the design such as performing all but high intensity training sessions at  
77 “high” altitude to maximise physiological adaptation. Despite a plethora of studies on altitude  
78 training, the scientific literature has been deemed not strong enough to make firm  
79 recommendations regarding altitude training’s effectiveness (10). Nonetheless, altitude  
80 training has continued to be an extremely popular training method used by a large number of  
81 elite athletes.

82

83 The drug recombinant human erythropoietin (rHuEpo) increases red blood cell production  
84 and therefore oxygen carrying capacity of blood is increased, a process similar to the  
85 response to altitude exposure. The use of rHuEpo has been banned by the World Anti-Doping  
86 Agency due to its well-known performance enhancing effects (11–13). The efficacy of  
87 rHuEpo to increase red blood cell production has been shown to be superior compared with  
88 simulated altitude exposure (14) and also expected to be greater compared to real altitude  
89 exposure. Current blood doping anti-doping detection methods are inadequate in detecting  
90 small, or “microdoses” of rHuEpo (15, 16). In recent years, there has been a rapid  
91 development of Omics technologies which involve the use of the transcriptional, translational  
92 or epigenomic response to a given stimulus or environment. We have previously  
93 demonstrated the potential for a transcriptomic approach to enhance the detection of blood  
94 doping by identifying 34 robust transcripts in response to high dose rHuEpo administration  
95 (17). We have also validated this transcriptomic signature using microdoses of rHuEpo (18).  
96 In this study (18), an initial investigation was also performed to identify the effects of altitude  
97 exposure on transcriptomic markers. Twenty-one elite runners sojourned to Sierra Nevada,  
98 Spain (2320 m above sea level) for 2-3 weeks. After 10 days at 2320 m above sea level, 13  
99 genes tended to be significantly up-regulated, and a trend towards down-regulation of 20  
100 genes one week after return from altitude was observed in the 21 elite runners. In the same  
101 study, 4 elite rowers also sojourned to Santa Caterina, Italy (1850 m above sea level) for 2  
102 weeks but there were no differentially expressed transcripts following altitude exposure.  
103 Insufficient altitude dose (i.e., height and duration) in addition to the low number of athletes  
104 may account for the lack of significant changes seen in these two groups of athletes. Further  
105 investigations of the transcriptomic response to altitude in modes commonly used by athletes  
106 is required, which may aid in the development of biomarkers of altitude exposure and allow a  
107 quantitative method of differentiating adaptations gained through altitude exposure and those  
108 gained through rHuEpo. Therefore, the aim of this study was to identify the haematological  
109 and transcriptomic response to prolonged altitude exposure and in particular, altitude dose  
110 typical of practices used by elite athletes. At this early discovery stage, no specific hypothesis  
111 can be formulated as the use of microarray analysis is untargeted and considered a  
112 “hypothesis free” or an “agnostic” methodological approach. Rather than focusing on  
113 biological candidates, the transcriptome is screened without any prior selection for specific  
114 genes or variants. This interrogation of the entire transcriptome has numerous advantages  
115 such as overcoming the challenges imposed by the incomplete understanding of physiology,  
116 in this case altitude physiology.

## 117 **Methods**

118 *Participants:* Fifteen endurance trained athletes, regularly competing at local and national  
119 level and training at least six times per week were recruited from Cape Town, South Africa  
120 (13 males, 2 females, age: 25±4 yrs; height: 169±8 cm; weight: 56±8 kg;  $\dot{V}O_{2max}$ : 72.8±5.9  
121 mL·kg<sup>-1</sup>·min<sup>-1</sup>). None of the participants had been to altitude above 1700 m in the previous 6  
122 months prior the commencement of this study. All participants provided written informed  
123 consent prior to testing in accordance with the Declaration of Helsinki and approved protocol  
124 by the University of Cape Town Ethics Committee (Cape Town, South Africa).

125

### 126 *Study Design*

127 *Preliminary testing:* Prior to data collection, serum ferritin levels were assessed 3 weeks  
128 before the planned departure. If blood ferritin levels were below 40 µg·L<sup>-1</sup>, 200 mg of  
129 elemental iron (ferrous sulphate) was recommended to be consumed twice daily for 3 weeks,  
130 if after the administration blood ferritin remained below 40 µ·L<sup>-1</sup>, the participant was  
131 excluded from the study due to the importance of adequate blood ferritin levels to facilitate  
132 red blood cell production and subsequent adaptation to altitude. Three participants required  
133 iron supplementation, one of which did not meet the cut off after iron supplementation and  
134 was excluded from the study (n=14 participants were included in the study, 12 males, 2  
135 females).

136

137 Participants provided blood samples four days and one day before departure, blood was taken  
138 from an antecubital vein and collected into 6 mL K<sub>3</sub>EDTA (four days prior to departure only,  
139 Greiner Bio-One Ltd, Stonehouse, UK) and 3 mL Tempus<sup>TM</sup> Blood RNA tubes (Life  
140 Technologies, Carlsbad, CA, USA) after remaining in the supine position for a minimum of  
141 10 min. Blood samples were then analysed for haemoglobin concentration, (HGB),  
142 haematocrit (HCT) and reticulocyte percentage (RET%) using Beckman Coulter DXH800  
143 (Beckam Coulter, Inc, Cape Town, South Africa). The Tempus tubes were mixed vigorously  
144 for 15 s immediately after collection, incubated at room temperature for 3 hours and then  
145 stored at -80°C before RNA extraction. PBMCs were collected in CPT Vacutainer, inverted  
146 10 times and spun at room temperature (RT-18-25°C) for 20 minutes at 1600 RCF within 1  
147 hr of collection. Tubes were spun for an additional 5 min, if needed, for adequate cell layer  
148 separation. The cell layer containing PBMCs was collected using a sterile Pasteur pipette,  
149 washed twice in a 1 X Phosphate Buffer Solution and spun at 300 RCF for 15 min at RT. The  
150 cells were resuspended in 1 mL of pre-aliquoted RNALater solution (Thermo Fisher

151 Scientific, Wilmington, DE, USA) according to manufacturers' instructions and placed on ice  
152 for 10 minutes before being frozen and stored at -80°C, until further analysis.

153

154 *Altitude exposure:* Once preliminary testing was completed, participants flew to Addis  
155 Ababa, Ethiopia (~2400 m above sea level). Participants remained here for 24 hrs and then  
156 sojourned to a training camp in Sulutla, Ethiopia (~2500 m above sea level). At 24 hrs (D1),  
157 9 (D2), 16 (D3) and 24 days (D4) after arrival at altitude, participants were transported to a  
158 laboratory in Addis Ababa to provide blood samples. Blood was collected into 4 mL  
159 K<sub>2</sub>EDTA (Greiner Bio-One Ltd, Stonehouse, UK) and 3 mL Tempus Blood RNA tubes  
160 which were stored at -20°C for subsequent analysis. Blood samples were taken after  
161 remaining in the supine position for at least 10 min prior to blood sampling which was  
162 performed while seated. Blood samples were analysed for HGB, HCT and RET% using  
163 ABBOT Cell DYE Ruby (ABBOT, Illinois, USA), due to practical difficulties, RET% could  
164 not be assessed 24 hrs after arrival and blood samples were analysed using Sysmex XS-500i  
165 (Sysmex cooperation, Kobe, Japan). After blood analysis, participants were transported back  
166 to the training camp where they would remain until the next laboratory visit. On the final  
167 laboratory visit, participants remained in Addis Ababa for three days and subsequently flew  
168 to Cape Town, South Africa after spending 27 days at altitude (~2400-2500 m above sea  
169 level).

170

171 *Sea level testing:* Participants reported to the laboratories 24 hrs (Post1), 6 (Post ), 13 (Post3)  
172 and 27 days (Post4) after return from altitude. On these days, participants provided blood  
173 samples. The sampling protocol and analysis equipment used was identical to the preliminary  
174 testing. An incremental running test was also performed 3, 8, 15 and 26 days after return  
175 from altitude. One participant withdrew from the study after Post1 for reasons unrelated to  
176 the study.

177

178 *RNA extraction and gene expression analysis:* Whole blood RNA from the Tempus tubes was  
179 isolated from Tempus tubes following the manufacturers' instructions (Tempus<sup>TM</sup> Spin RNA  
180 Isolation Kit, Life Technologies, Carlsbad, CA, USA). PBMC RNA was isolated using  
181 RNeasy Mini kit, following the manufacturers' instructions (RNeasy Mini Kit, Qiagen,  
182 Hilden, Germany). Purified RNA was eluted in 90 µL elution buffer and stored in three  
183 aliquots at -80°C until subsequent analysis. RNA quality was assessed using the Nanodrop®  
184 ND-2000 Spectrophotometer (Thermo Fisher Scientific, Wilmington, DE, USA). RNA

185 integrity was assessed using the Agilent 2100 Bioanalyzer (Agilent Technologies, Santa  
186 Clara, CA, USA). One hundred nanogram of total RNA was used to process the Affymetrix  
187 GeneChip® Human Transcriptome 2.0 Array using the GeneChip® WT Plus Reagent Kit  
188 according to the manufacturer's instructions (Affymetrix, Thermo Fisher Scientific, Santa  
189 Clara, CA, USA). Single-stranded cDNA (ss-cDNA) was synthesized by the reverse  
190 transcription of complimentary RNA (cRNA). 200µL hybridization cocktail (containing  
191 approximately 5.2µg fragmented and labelled single-stranded complimentary DNA targets)  
192 was loaded to the GeneChip® Human Transcriptome Array 2.0 (Affymetrix, Thermo Fisher  
193 Scientific, Santa Clara, CA, USA). The GeneChip arrays were incubated in the GeneChip  
194 Hybridization Oven 645 for 16 hrs, washed and stained on the GeneChip Fluidics Station  
195 450. Subsequently, arrays were scanned using the GeneChip® Scanner 3000 7G. Expression  
196 Console (Affymetrix, Thermo Fisher Scientific, Santa Clara, CA, USA) was used to perform  
197 initial data quality control and visualisation of the data generated following array scanning.  
198 Due to technical issues, PBMCs could not be collected at D1 (24 hrs after arrival at altitude).  
199 Following the analysis of the whole blood transcriptomic response to altitude, the sample  
200 collected four days prior to the altitude sojourn differed significantly from the sample  
201 collected 24 hrs prior to the sojourn. Upon further investigation, this trend was not observed  
202 within the PBMC samples; for consistent comparisons across tissue types and to maximise  
203 baseline data reliability, this timepoint was excluded from the analysis and "baseline" will  
204 refer to samples collected 24 hrs prior to departure for all transcriptomic analysis and four  
205 days prior to departure for haematological parameters.

206

#### 207 *Statistical analysis:*

208 Following Shapiro-Wilk test for normality,  $\dot{V}O_2\text{max}$  and haematological parameters were  
209 analysed via a one-way ANOVA. If significant, a Bonferroni multiple comparison test was  
210 performed to determine which timepoints significantly differed from baseline with  
211 significance set at  $p \leq 0.05$ . Statistical analysis of these variables was performed using  
212 GraphPad (Version 9.0.0; GraphPad Software Inc, La Jolla, CA, USA). The Bioconductor  
213 package "oligo" within the program R (RStudio, Version 1.2.5042, R Foundation for  
214 Statistical Computing, Vienna, Austria) was employed to read in the intensity CEL files, and  
215 the RMA function was used for background correction, normalisation, calculating expression  
216 and creating the expression dataset for further analysis. Following background correction and  
217 normalisation, using R, the Limma package was employed to perform differential expression

218 analysis (19, 20). Those differentially expressed transcripts exceeding a fold change of 1.2  
219 were reported at 5% FDR.

220

221 Gene set enrichment analysis was performed using GSEA (version 4.1.0, Broad Institute Inc,  
222 CA, USA, (21)), following recommended procedures where appropriate (22). The gene set  
223 enrichment analysis was performed by examining the Molecular Signatures Database's  
224 Hallmark (50 gene sets, (23)) and Gene Ontology Biological Process (7573 gene sets, (24,  
225 25)) collections of gene sets. Significant gene pathways of interested were determined using a  
226 nominal  $p \leq 0.05$  and an FDR of 10%. If multiple significant pathways were discovered, a  
227 biological network map was created using pathways exceeding  $p \leq 0.05$ , FDR of 10%, a  
228 Jaccard overlap coefficient of  $> 0.375$  and a combined constant  $k = 0.5$  using EnrichmentMap  
229 (26) and AutoAnnotate (27) within the Cytoscape software (28).

230

## 231 **Results**

232

### 233 *Physiological markers*

234 HGB was significantly increased 9 days after ascent to altitude compared with baseline  
235 ( $15.4 \pm 0.8$  vs  $14.0 \pm 0.7$  vs  $\text{g dL}^{-1}$ ,  $p < 0.01$ , respectively). HGB remained significantly elevated  
236 throughout the altitude exposure and 24 hrs after returning from altitude ( $15.1 \pm 0.5$   $\text{g dL}^{-1}$ ,  
237  $p < 0.01$ ) but did not differ from baseline thereafter (Figure 1A). After ascent to altitude, HCT  
238 increased significantly 24 hrs after arrival and increased continually until reaching peak  
239 values 24 days after arrival at altitude ( $41.4 \pm 2.1\%$  vs  $47.0 \pm 2.8\%$ ,  $p < 0.01$ , respectively)  
240 (Figure 1B). Upon return to sea level, HCT declined but remained significantly higher than  
241 baseline throughout the duration of the study (Figure 1B). While a one-way ANOVA  
242 indicated RET% significantly differed between time points ( $p = 0.02$ ), multiple comparisons  
243 failed to reveal any significant differences compared with baseline ( $p > 0.05$ , Figure 1C).  
244  $\dot{V}O_2\text{max}$  did not change throughout the duration of altitude exposure or return to sea level.

245

### 246 *Whole blood*

247 A total of 135 samples were collected over the duration of the study (96% of planned sample  
248 collection). Compared with baseline, a unique series of genes was discovered throughout the  
249 altitude exposure (Supplementary table 1). Specifically, compared with baseline there were  
250 138 transcripts, representing 117 genes (24 up, 93 down) differentially expressed at D1, 263  
251 transcripts representing 229 genes (179 up, 50 down) at D2, 372 transcripts representing 316



252 genes (222 up, 94 down) at D3 and 554 transcripts representing 478 genes (129 up, 349  
253 down) at D4. There were 29 genes commonly expressed across each timepoint during altitude  
254 exposure (Table 1). Upon return to sea level, there were no genes that were differentially  
255 expressed when compared with baseline at any time.

256

257 To explore the biological processes related to the identified gene expression in response to  
258 altitude exposure, gene set enrichment analysis was performed, firstly using the Hallmark  
259 database (Supplementary data 1). Within this database, it was found that at D2, Haem  
260 metabolism was significantly upregulated compared with baseline ( $p=0.016$ , FDR=1.3%).  
261 Haem metabolism continued to be significantly upregulated at D3 ( $p=0.002$ , FDR=0.8%), D4  
262 ( $p=0.034$ , FDR=2.4%) and 24 hrs (Post 1) after return to sea level ( $p=0.026$ , FDR=4%) but  
263 did not differ from baseline thereafter. Compared with D1, 20 pathways were significantly  
264 upregulated in baseline (Supplementary data 1). Following this, when compared with D2 and  
265 D3, only pathways related to beta catenin signalling and glycolysis remained significantly  
266 upregulated in baseline. Both these pathways in addition to pathways related to androgen  
267 response and fatty acid metabolism were significantly upregulated in baseline when  
268 compared with D4 (Supplementary data 1). There were no pathways that were significantly  
269 upregulated in baseline when compared to any timepoint after return to sea level. There were  
270 no pathways identified using the Gene ontology database that were significantly up regulated  
271 during the altitude sojourn, however, at Post1, regulation of hippo signalling was  
272 significantly upregulated compared with baseline (Supplementary data 2). Thereafter, there  
273 were no other pathways significantly upregulated following the return to sea level. There  
274 were 884 pathways that were upregulated at baseline when compared with D1  
275 (Supplementary data 2, Figure 2) but no other pathways were significantly upregulated with  
276 the exception of Post 2, where there were six pathways upregulated at baseline.

277

#### 278 *PBMC*

279 Compared with baseline, there were 200 transcripts representing 163 genes (129 up, 34  
280 down) differentially expressed at D2, 51 transcripts representing 46 genes (18 up, 28 down)  
281 at D3, 21 transcripts representing 17 genes (9 up, 8 down) at D4, 2 transcripts representing 1  
282 gene (downregulated) at Post 1 and 2 transcripts representing 1 gene (downregulated) at Post  
283 2 (Supplementary table 2). Of these expressed genes, there were 10 genes commonly  
284 expressed throughout the duration of the altitude exposure (Table 2).

285

286 When investigating the biological processes related to the identified gene expression within  
287 PBMC, 18 pathways from the Hallmark database were significantly upregulated, and  
288 exceeded both the desired  $p$ -value and FDR at D2 when compared with baseline  
289 (Supplementary data 3). No pathways were identified in D3, however, in D4 a pathway  
290 related to hypoxia was significantly upregulated when compared with baseline ( $p < 0.01$ ,  
291 FDR=3%) however no pathways were significantly upregulated upon return to sea level.  
292 There were no time points through the altitude sojourn that resulted in a significantly  
293 upregulated pathways in baseline, however, three pathways were significantly upregulated in  
294 baseline when compared with Post3.

295

296 Notably, when using the gene ontology data base, 1250 pathways were identified to be  
297 significantly upregulated at D2 when compared with baseline. When visualised within  
298 cytoscope, the most closely linked pathways are involved with fatty acid/lipid processes  
299 (Figure 3, supplementary data 4). Subsequent to D2, there were no pathways identified  
300 during altitude exposure or upon return to sea level when compared with baseline. Similarly,  
301 there were no pathways that were significantly upregulated in baseline when compared with  
302 the altitude or upon return to sea level.

303

#### 304 *Discussion*

305

306 This is the first study to investigate and quantify the transcriptomic response of well-trained  
307 athletes to a prolonged (27 day) sojourn to moderate altitude (~2400-2500 m above sea  
308 level). The main finding of this study was the identification of a 29-gene signature of altitude  
309 exposure in whole blood and a 10-gene signature of altitude in PBMC. A surprising result of  
310 this study was the lack of transcriptomic response in whole blood and minimal response in  
311 PBMC (one gene) in the days following altitude exposure, suggesting that the return to  
312 homeostatic conditions is achieved rapidly. This overall response mirrors many of the  
313 haematological findings, in that despite significant increases in the haematological markers  
314 during altitude exposure, there were no significant changes 24 hrs after return to sea level,  
315 aside from HCT, which may in part, explain the lack of a significant transcriptomic response  
316 on return to sea level.

317

318 The most important finding from this study is that a series of genes could be identified in  
319 whole blood and may have a superior diagnostic ability when creating a test to distinguish

320 athletes who have visited altitude and those using rHuEpo than the current athlete biological  
321 passport. For example, genes TCF7 (transcription factor 7) and ABCG2 (ATP binding  
322 cassette subfamily G member 2) are both protein coding genes involved with cellular process  
323 of haemopoietic stem cells (29, 30). Specifically, TF7 has been shown to control the rate at  
324 which haemopoietic stem cells either self-renew or differentiate primarily by binding to  
325 genes within stem cells containing CD34+, promoting self-renewal while repressing genes  
326 related differentiation (29). A downregulation in this gene, such as was identified in this  
327 study, suggests a promotion of haemopoietic stem cell differentiation into mature blood cells,  
328 a physiological process expected during altitude exposure (3). ABCG2 is highly conserved in  
329 all species of vertebrates sequenced (30). ABCG2 has been identified to play a key role  
330 within the protection of haemopoietic stem cells under hypoxic stress (31). The changes in  
331 the expression of these genes observed (i.e., downregulation of TCF7 and upregulation of  
332 ABCG2) may relate to the unique physiological response to hypoxia experienced by the  
333 participants within the present study.

334

335 There are a variety of other physiological mechanisms related to the 29 genes significantly  
336 altered throughout altitude exposure compared with baseline in whole blood (Table 1). For  
337 example, the gene HACD3 (3-hydroxyacyl-CoA dehydratase 3) is protein coding  
338 (PTPLAD1), involved in the elongation process of fatty acids (FA) (32). PTPLAD1 is one of  
339 four 3-OH acyl-CoA dehydratases and catalyses the final reaction of FA elongation, although  
340 expression has only a have small effect on the elongation of saturated and monosaturated FA  
341 and a few effects on polyunsaturated FAs (32). Other genes have a clearer function in  
342 response to altitude exposure, such as YME1L1 (YME1 like 1 ATPase) which is an  
343 mitochondrial protein, ensuring cell prefiltration, maintaining cristae morphology among  
344 other important roles (35). It has been shown that YME1L1 is stress-sensitive and is rapidly  
345 degraded in response to oxidative stress (36). YME1L1 has been identified as a marker of  
346 high-altitude pulmonary oedema (HAPE, (37)). None of the participants of the present study  
347 were diagnosed with HAPE and were in good health through the altitude sojourn and upon  
348 return to sea level, suggesting that YME1L1 may not reflect HAPE specifically, but a  
349 “normal” response to altitude exposure.

350

351 Not all genes identified within the current investigation have a clear link with altitude  
352 exposure *per se*, such as MT1F (metallothionein 1F). MT1F codes for the protein  
353 metallothionein, which has been implicated in a range of physiological processes such as

354 toxic metal detoxification, metal ion homeostasis and oxidative stress (38). MT1F has been  
355 shown to effectively control the homeostasis of zinc (39) and copper (40) and other toxic  
356 metals (38). MT1F has been shown to scavenge reactive oxygen species and bind metals in  
357 response to particulate matter (42). Both zinc and copper have been well established as  
358 pollutants delivered from motor vehicles (43), which are likely to be present in the air masses  
359 of Cape Town (44). In contrast, the levels of air pollution in the rural village used as the  
360 training camp within this study are likely to be significantly lower (no data available).  
361 Therefore, downregulation of MT1F may reflect a change in the constitution of inhaled air,  
362 not in response to altitude exposure. Findings such as this illustrate the careful analysis  
363 required of each identified gene prior to adoption within an anti-doping test.

364

365 In this study, not only was whole blood collected but also PBMC, which has not previously  
366 investigated as a potential tissue of interest to detect blood doping (45). While PBMC are  
367 relatively easy to extract and provide a unique insight into the immune response to a  
368 stimulus, they only contain lymphocytes, monocytes, natural killer cells and dendritic cells  
369 (46). The majority of the body's immune cells are therefore excluded from analysis (i.e.,  
370 neutrophils, basophils and eosinophils). Nevertheless, PBMCs have shown to provide a  
371 valuable insight into exposure to altitude. Several genes related to hypoxia have been  
372 identified within the PBMC, such as two members of CXC chemokine gene family. For  
373 example, CXCL8, a major mediator of the inflammatory response, secreted by both the  
374 leucocytes and non-leucocyte cell population and is associated with several cancers and their  
375 response to hypoxia (47, 48) and CXCR4 (C-X-C motif chemokine receptor 4), a receptor  
376 specific for stromal cell-derived factor-1, located on the cell surface and can be induced  
377 through the activation of the HIF1- $\alpha$  pathway (49). The diagnostic potential of PBMC as a  
378 sample type to be used for anti-doping is growing. For example, a study has investigated the  
379 effect of rHuEpo on cytokine gene expression in tumour necrosis factor (TNF)-treated human  
380 brain microvascular endothelial cells and demonstrated a unique 96 gene signature (51). It  
381 was found that interleukin-6, interleukin-1 and CXCR4 genes were all downregulated when  
382 treated with rHuEPO. This is notable considering that in response to altitude, CXCR4 was  
383 upregulated but downregulated in the presence of rHuEpo, suggesting it may be a suitable  
384 "candidate gene" to detect rHuEpo use, considering the different responses.

385

386 A unique aspect of the present investigation is the use of both whole blood and PBMC to  
387 identify unique signatures of altitude exposure. As anticipated, the gene expression varied

388 greatly between both sample types. Specifically, whole blood demonstrated an increase in the  
389 number of differentially expressed transcripts throughout the altitude exposure whereas the  
390 number of differentially expressed transcripts decreased throughout the altitude sojourn in  
391 PBMC (Supplementary table 1 and 2). There was only one gene that was common across  
392 sample types at D2 (TENT5C), seven at D3 (H3C10, CAVIN2, GPR183, ITGB3,  
393 SH3BGRL2, PPBP and PRKAR2B) and none at D4 (Supplementary table 1 and 2). The  
394 initial high number of transcripts in PBMC followed by a decline could be indicative of an  
395 immune response, as has been suggested by others (52). This is further highlighted by the  
396 large number of pathways identified at D2 within the PBMC samples using gene set  
397 enrichment analysis (Figure 2). After D2, there was no longer any pathways significantly  
398 altered during the altitude exposure or upon return to sea level. Due to this probable immune  
399 response to hypoxia, it has been suggested that athletes acclimatise for at least one week prior  
400 to the commencement of intense physical activity (52). Considering a strong PBMC response  
401 at D2 (9 days at altitude), but not at D3 (16 days at altitude) was identified within the present  
402 study, a longer period of acclimatisation should be recommended of 9-16 days in length. The  
403 whole blood response to altitude was markedly different to that observed with the PBMC and  
404 may reflect the adaptation to altitude exposure and an increase in training intensity as the  
405 athletes become more familiar with training at altitude (none of the athletes had trained at  
406 altitude prior to this study). Similarly, the increased number of transcripts identified within  
407 whole blood matches the continual increase in the haematological variables (Figure 1), likely  
408 demonstrating adaptation to altitude.

409

410 Differentiating rHuEpo abuse and altitude is a difficult considering the limitations of the ABP  
411 (53), potentially enabling athletes to “dope” with impunity. The present study has identified a  
412 unique series of gene “markers” in both blood and PBMC of altitude exposure which may aid  
413 in the differentiation of altitude exposure and rHuEpo abuse. A surprising finding of this  
414 study was the lack of genes found upon return to sea level, a finding which will render the  
415 “altitude genes” identified in this study somewhat redundant in an anti-doping situation (i.e.,  
416 anti-doping agencies will know if a sample is taken at altitude). When taken in context of the  
417 other available literature however, the genes identified within this study can be used to reduce  
418 a list of genes identified with rHuEpo abuse (i.e., (17, 18)), to a subset of genes that have not  
419 be observed during altitude exposure and thus enable the creation of a robust test, able to  
420 differentiate the two. Similarly, since no genes were identified upon return to sea level, those  
421 genes identified following rHuEpo administration (i.e., (17)) can be used as evidence for

422 rHuEpo abuse and are likely not in response to a recent sojourn to altitude. Furthermore, the  
423 present study has identified several genes related to hemopoietic stem cell production, some  
424 of which require a hypoxic environment to be stimulated. Genes such as these should be  
425 considered as candidates as “negative controls” of rHuEpo. Since rHuEpo increases  
426 erythropoiesis *without* a hypoxic environment, the lack of these stem cells indicates  
427 erythropoiesis without the hypoxic stimulus and indicate rHuEpo abuse. Considering the  
428 popularity of altitude training, athletes could, in theory use rHuEpo during an altitude training  
429 camp, merging both the response of altitude and rHuEpo. Such cases will require more  
430 analysis and further study to identify candidate genes representing these unique situations.

431

432 There are several limitations of this study which must be considered when interpreting the  
433 presented result. Firstly, the height and duration of altitude training camps varies significantly  
434 between athletes and therefore, further studies should investigate the transcriptomic response  
435 to altitude exposure, with the effect of changing height, duration and training structure (i.e.,  
436 LHTL) quantified. **No measure of total haemoglobin mass (tHbmass) was made within the  
437 present study and therefore an accurate quantification of the participant’s adaptation to  
438 altitude cannot be made. Future studies should consider measuring tHbmass and gene  
439 expression to explore potential correlations.** The genes expressed within this study were  
440 determined via microarray and not subsequently validated using quantitative polymerase  
441 chain reaction (q-PCR). Validation of the genes will be required prior to the creation of an  
442 anti-doping test which will have to be defended in a court of law, if successfully used to catch  
443 and prosecute doping athletes. During the analysis of the whole blood gene expression, it  
444 became apparent that the baselines (four days and one day prior to departure) differed  
445 significantly. This difference was not observed within the PBMC baselines, suggesting an  
446 issue relates to whole blood samples specifically. No data is available one day prior to  
447 departure and thus we are unaware of any potential haematological changes that may have  
448 occurred over the four-day baseline period, as has been observed when athletes are unfamiliar  
449 with needles/blood drawing as has been demonstrated elsewhere (i.e., Figure 1A and 1B  
450 (54)). However, considering the lack of differences between both baselines in the PBMC, the  
451 authors believe this issue is related to the whole blood samples taken four days prior to  
452 departure only and have therefore excluded these data from the analysis. **Only two female  
453 athletes were included within the current study and therefore sex-specific differences in gene  
454 expression in response to altitude exposure cannot be determined.**

455

456 In conclusion, the present study has demonstrated a unique molecular signature of altitude  
457 exposure in both whole blood and PBMC. Surprisingly, there was only a minimal  
458 transcriptomic response to altitude in both tissue types upon return to sea level. The identified  
459 genes can be used to eliminate markers of “natural” stimulation of erythropoiesis from the  
460 established markers of rHuEpo abuse. Prior to the creation of an “OMICS” anti-doping test  
461 for rHuEpo, further studies are needed to quantify the effect of other confounding factors  
462 such as exercise, sex and repeated exposure to altitude. Similarly, further efforts should be  
463 made to assess the variability in gene expression in a much larger sample size than that used  
464 within the present study.

465

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469

#### 470 *Conflict of interest*

471 None declared

472

#### 473 *Figure legends*

474

475 Figure 1. Haematological variables across 27-day sojourn to altitude (~2400 – 2500 m above  
476 sea level), specifically haemoglobin concentration (A), haematocrit (B) and Reticulocyte  
477 percentage (C). Significant differences ( $p < 0.05$ ) from baseline are indicated by \*.

478

479 Figure 2. Biological network of the whole blood dataset at D1 using the Gene Ontology  
480 Biological process gene set enrichment analysis and Cytoscope visualisation. Each circle  
481 represents an individual gene set, and each interconnected line represents a shared gene  
482 between gene sets. The size and width of the circles and lines is proportional to the number of  
483 genes within a set and the number of shared genes, respectively. Pathways related to similar  
484 biological themes are grouped together. The enrichment map was created with the following  
485 cut offs;  $< 0.1\%$  FDR,  $p < 0.05$ , Jaccard Overlap coefficient  $> 0.375$  with a combined constant  
486  $K = 0.5$  and a normalised enrichment score  $\geq 1.70$ .

487

488 Figure 3. Biological network of the PBMC dataset at D2 using the Gene Ontology Biological  
489 process gene set enrichment analysis and Cytoscope visualisation. Each circle represents an

490 individual gene set, and each interconnected line represents a shared gene between gene sets.  
491 The size and width of the circles and lines is proportional to the number of genes within a set  
492 and the number of shared genes, respectively. Pathways related to similar biological themes  
493 are grouped together. The enrichment map was created with the following cut offs; <0.1%  
494 FDR,  $p < 0.05$ , Jaccard Overlap coefficient  $> 0.375$  with a combined constant  $K = 0.5$  and a  
495 normalised enrichment score  $\geq 1.90$ .

496

497 Table 1. 29 genes, commonly expressed in whole blood during altitude exposure over 24 hr,  
498 9, 16 and 24 days after arriving at altitude (~2400-2500 m above sea level). All genes exceed  
499 the predetermined 1.2-fold change, 5% FDR and  $p < 0.05$ . Average FC indicates the mean FC  
500 expressed across each time point during altitude exposure.

501

502 Table 2. 10 genes, commonly expressed in peripheral monocyte blood cells (PBMC) during  
503 altitude exposure over 24 hr, 9, 16 and 24 days after arriving at altitude (~2400-2500 m  
504 above sea level). All genes exceed the predetermined 1.2-fold change, 5% FDR and  $p < 0.05$ .  
505 Average FC indicates the mean FC expressed across each time point during altitude  
506 exposure.

507

508 Supplementary table 1. Complete list of all differentially expressed genes in whole blood  
509 when compared with baseline over the 27-day altitude sojourn and upon return to sea level.

510

511 Supplementary table 2. Complete list of all differentially expressed genes in PBMCs when  
512 compared with baseline over the 27-day altitude sojourn and upon return to sea level.

513

514 Supplementary data 1. Gene set enrichment analysis of whole blood when compared with  
515 baseline, using the Hallmark database. Data highlighted in green indicates that the pathway  
516 has exceeded the predetermined cut offs of  $p < 0.05$  and 10% FDR.

517

518 Supplementary data 2. Gene set enrichment analysis of whole blood when compared with  
519 baseline, using the Gene Ontology biological process database. Data highlighted in green  
520 indicates that the pathway has exceeded the predetermined cut offs of  $p < 0.05$  and 10% FDR.

521



522 Supplementary data 3. Gene set enrichment analysis of PBMC when compared with baseline,  
523 using the Hallmark database. Data highlighted in green indicates that the pathway has  
524 exceeded the predetermined cut offs of  $p < 0.05$  and 10% FDR.

525

526 Supplementary data 4. Gene set enrichment analysis of PBMC when compared with baseline,  
527 using the Gene Ontology biological process database. Data highlighted in green indicates that  
528 the pathway has exceeded the predetermined cut offs of  $p < 0.05$  and 10% FDR.

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