An analytical model for predicting specific cutting energy in whirling milling process

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ABSTRACT

The specific cutting energy (SCE) of machining processes is a significant indicator for machining sustainability. However, the characteristics of SCE in whirling milling as a promising green process are unknown because of the special material removal mechanism of this process. This paper presents an analytical model for predicting SCE based on the material removal mechanism of whirling milling. The cutting parameters affecting the SCE characteristics are identified considering the un-deformed chip formation. An analytical model is developed as functions of the identified cutting parameters by calculating material removal volume and cutting forces. To validate the proposed model, the analytical model was applied in ball screw shaft whirling milling. The results indicate that the analytical model can be effectively used to predict the SCE with over 90% accuracy. In addition, the effects of cutting parameters and material removal rate (MRR) on SCE were investigated and analyzed based on the proposed model, which can provide valuable information and guidance for the optimal selection of cutting parameters to minimize SCE and improve MRR.

Keywords: Whirling milling process; Specific cutting energy; Energy model; Cutting parameters; Material removal rate

1 **Nomenclature**

$A(\theta)$	un-deformed chip cross-sectional area at rotation angle θ
E	cutting energy
E_t	rotation energy
E_f	axis feed energy
$F_t(\theta), F_r(\theta), F_a(\theta)$	tangential, radial, axial force component
$\bar{F}_t, \bar{F}_r, \bar{F}_a$	average tangential, radial, axial force component
K_{ts}, K_{rs}, K_{as}	shearing force coefficients in tangential, radial, axial directions
K_{tp}, K_{rp}, K_{ap}	specific ploughing force coefficients in tangential, radial, axial directions
$l(\theta)$	edge contact length at rotation angle θ
MRR	material removal rate
n_t	rotating speed of whirling tool, i.e. cutting speed
n_w	workpiece speed
e	eccentricity between workpiece axis and whirling tool holder axis
p	lead of the screw
$P_{cutting}$	net cutting power
R	cutting tool nose rotation radius
d_1	screw thread outer diameter
d_2	screw thread root diameter
r_t	radius of cutting tool
SCE	specific cutting energy
t	cutting time of a chip formation of per cutting
V	material removal volume of per cutting
v_f	axis feed speed of cutting tools or whirling tool holder
v_t	tangential cutting velocity
Z	number of cutting tools
α	mounting position angle
β	rotation angle of cutting tool between $(i-1)$ -th cutting and i -th cutting
θ	instantaneous cutting rotation angle

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1. Introduction

Rapidly increasing global energy demand has caused a severe energy crisis and an increasingly severe environmental impact. Manufacturing processes and activities contribute significantly to industrial energy consumption, which consume approximately 90% of total industrial energy (Salahi and Jafari, 2016). Machining processes used to remove material from workpieces are a major part of manufacturing industries, and are both energy wasting and inefficient (Li et al., 2015). Cai et al. (2018) reported that the energy efficiency of machining processes is low, and is typically less than 30%. In addition, machining processes using cutting machine tools consume more energy than other types of machining processes (such as lasers and welding) (Fraunhofer, 2012). Therefore, it is critical that manufacturing enterprises reduce energy consumption of machining processes, which will force process planners and operators to improve their understanding of energy conservation while carrying out production. Consequently, studies have been conducted to model machining energy consumption.

Whirling milling is a promising green process and one of the most significant machining processes, which is widely used to produce screw parts. It has numerous benefits, including high material removal efficiency and dry cutting (without cutting fluid). Screw parts, such as worm drives and ball screws, are key components in a large number of mechanical products, including machine tools, and mining and construction equipment. Because of the significant demand for screw parts and the unknown energy consumption characteristics of the whirling milling process, there is good potential for exploring energy savings in this process. Therefore, it is necessary to forecast and characterize the energy consumption of whirling milling, which would assist different levels (including machine operation, process design, and planning), to achieve energy saving for manufacturing sustainability and cleaner production.

Previous studies on energy consumption in machining processes reported that cutting energy consumed in actual material removal can range from 15% to 70% of total energy consumption (Dahmus and Gutowski, 2004), and plays an important role in energy efficiency (Wang et al., 2016) and the quality of new surfaces (Sealy et al., 2016a) in machined parts. Therefore, cutting energy for material removal should be considered in the energy modeling of machining processes. According to Bayoumi et al. (1994), specific cutting energy (SCE), which is defined as the energy consumed per unit material removal volume (1 cm^3), is a key indicator of cutting energy. Pawade et al. (2009) reported that SCE (as an important parameter) has a significant impact on chip

1 formation, cutting forces, tool wear, and machined surface integrity. To achieve high energy
2 efficiency and high-quality surface generation of machining processes, it is essential to have a
3 good understanding of the characteristics of SCE and its relationship with cutting parameters.

4 The SCE in machining processes has been investigated previously, such as by employing
5 experimental data analysis methods to predict energy consumption and to improve the
6 understanding of its characteristics with cutting parameters. An empirical model was developed by
7 Nandy et al. (2009) to study the effects of cutting parameters on SCE employing response surface
8 methodology based on plain-turning experiments under different lubricating environments. In
9 addition, Sealy et al. (2016b) and Liu et al. (2016b) reported similar predictive models in hard
10 milling using experimental data to quantify the relationship between SCE and cutting parameters.
11 Paul et al. (2017) experimentally characterized the effects of cutting parameters and tool
12 parameters on the back force and SCE in turning of AISI 1060 steel. Cui and Guo (2018)
13 experimentally investigated the optimum cutting parameters in intermittent hard turning
14 considering SCE, damage equivalent stress, surface roughness. A number of other researchers
15 aimed to enhance the understanding of the variation in SCE in relation to un-deformed chip
16 thickness through cutting experiments. For example, Balogun and Mativenga (2014) reported an
17 empirical SCE model of end milling via the nonlinear regression of experimental data obtained by
18 the Taguchi experiment, and then analyzed the relationship between SCE and un-deformed chip
19 thickness. Balogun et al. (2015) reported a similar empirical model of end milling and turning
20 process using experimental data to characterize SCE with un-deformed chip thickness. Gao et al.
21 (2017) proposed a novel experimental method to clarify the relationship between SCE and cutting
22 parameters, and presented a prediction model by using a fuzzy logic method based on
23 experimental data to optimize cutting parameters in micro-milling. However, the above studies
24 were primarily dependent on the design of experiment, accuracy of experimental data, and a large
25 number of experiments. This has limited the wider application of the proposed research
26 methodologies. However, analytical models of SCE are attracting increasingly attention.

27 In previous studies, the analytical models were typically developed as functions of cutting
28 parameters, and could be used for energy prediction and to explore the relationship between
29 energy and cutting parameters directly for traditional machining. The process parameters were
30 employed to analyze the effects of feed rate, spindle speed, and width of flank wear on SCE in end
31 milling (Bayoumi et al., 1994). Pawade et al. (2009) reported an analytical model in high-speed

turning to predict SCE and to investigate the influence of cutting parameters. Recently, Liu et al. (2016a) proposed an analytical model via process parameters to predict SCE in slot milling, and the relationship between the SCE and surface roughness was then characterized under different cutting parameters. These analytical studies focused on traditional machining processes such as turning and milling.

In recent years, whirling milling, as a promising cutting machining process, has been widely used to produce precision transmission lead screw thread parts (such as worm drives and ball screw shafts) for modern advanced equipment using hard-to-machine materials. Whirling milling is a variant of milling, where the cutting tools are installed on the tool holder that encompasses the workpiece as shown in Fig. 1. It has numerous advantages, such as a high cutting rate of approximately nine times of traditional milling (Mohan and Shunmugam, 2007), high surface integrity of machined surfaces (Zanger et al., 2017) and low cost (Han and Liu, 2013). As opposed to the machining processes of typical turning or milling, the whirling milling process has a special material removal mechanism led from the combination of workpiece rotation, cutting tools rotation, cutting tools axial feed motion, the interrupted cut by multiple cutting tools, and time variant characteristics of un-deformed chips. The SCE characteristics are significantly different from those of conventional machining. In particular, as opposed to conventional machining processes, the relationship between SCE and cutting parameters in whirling milling has yet to be understood. In addition, the material removal rate (MRR), a key parameter related to cutting rate, plays a critical role in energy consumption. However, its relationship with SCE for the whirling milling process has been rarely studied.

Fig. 1. A screw geometry by whirling milling (Zanger et al., 2017).

Therefore, the aim of this study is to develop an analytical model to predict the SCE of

whirling milling, and to explore the coupling relationship between SCE, cutting parameters and MRR. The study is structured as follows. First, a description of the material removal mechanism of whirling milling is presented to explain how the cutting parameters affect SCE characteristics. This is followed by modeling the SCE of whirling milling based on the calculation of material removal volume and cutting force, which are functions of the identified cutting parameters. Experiments employing a ball screw shaft are then conducted to validate the proposed model. In addition, the effects of cutting parameters and MRR on SCE are then investigated and analyzed based on the proposed model. The results provide valuable information and guidance for optimal selection of cutting parameters to minimize SCEC and improve MRR.

2. Specific cutting energy characteristics based on material removal mechanism of whirling milling

Whirling milling has been widely used to product screw parts such as worm drives and ball screws, from hard-to-machine material for transmissions in the machinery equipment industry. It is a promising green machining process benefiting from high material removal efficiency, high surface quality, low cost and dry cutting (without cutting fluid).

The material removal mechanism of whirling milling for machining a ball screw shaft is shown in Fig. 2. In the whirling milling process, the screw is machined by a combination of workpiece rotation at a speed of n_w , the tool holder whirling at a rotational speed of n_t , and axial feed at a velocity of v_f . A number of cutting tools (the four cutting tools in Fig.2) are evenly clamped on the tool holder at a radius R , and the tool holder encompasses the workpiece with an offset of e . The tangential cutting velocity v_t and axial feed velocity v_f of the cutting tool are a result of the rotation of the tool holder and the axial feed, respectively. The workpiece material is successively removed by cutting tools with the rotating tool holder. When the cutting tool plunges in the workpiece material with velocities of v_t and v_f , the cutting forces acting on the cutting tool in whirling milling are divided into three directions (see Fig. 2): the tangential force component $F_t(\theta)$, radial force component $F_r(\theta)$, and axial force component $F_a(\theta)$. The radial force component $F_r(\theta)$ consumes no energy during material removal in whirling milling because it is perpendicular to both axial feed velocity v_f and tangential velocity v_t . Therefore, the energy for material removal during whirling milling is consumed by $F_t(\theta)$ parallel to v_t , and $F_a(\theta)$ parallel to v_f .

As defined by Bayoumi et al. (1994), SCE is the energy used to remove a unit volume of material, and is defined as the ratio of cutting energy E to material removal volume V . In this study, the cutting energy and material removal volume are investigated based on material removal mechanism to establish an analytical model for the modeling of the SCE in the whirling milling process. The cutting energy can be obtained based on cutting forces acting on the tool edge and speed of the cutting motion, as reported by Liu et al. (2015). The material removal volume can be obtained based on the cutting parameters.

Fig. 2. Whirling milling mechanism for machining a ball screw shaft.

2.1. Analysis of material removal mechanism and un-deformed formation

In Fig. 2, the center of the workpiece is offset from the center of the whirling tool holder at a distance of e , which is the so-called the eccentricity. The whirling tool holder is rotated through the cutting tools nose with a rotation radius R . It can be seen in Fig. 2a that a number of cutting tools that were mounted on the tool holder could move in the radial direction of the tool holder. The material on the workpiece is removed by a combined movement of low-speed workpiece rotation n_w , high-speed cutting tools rotation n_t , and axial feed motion of the cutting tool v_f . Consequently, the cutting forces exerted on the cutting tool used to obtain the cutting energy of the whirling milling can be decomposed into a tangential force component $F_t(\theta)$, radial force component $F_r(\theta)$ and axial force component $F_a(\theta)$, where θ is the instantaneous cutting rotation angle. It has been shown that the cutting forces can be calculated using the un-deformed chip cross-sectional area ($ah(\theta)$) and edge contact length (a) as follows (Altintas, 2012):

$$1 \quad \begin{cases} F_t(\theta) = K_{tc}ah(\theta) + K_{te}a \\ F_r(\theta) = K_{rc}ah(\theta) + K_{re}a \\ F_a(\theta) = K_{ac}ah(\theta) + K_{ae}a \end{cases} \quad (1)$$

2 where K_{tc} , K_{rc} , and K_{ac} (N/mm^2) are the cutting force coefficients in the tangential, radial,
 3 and axial direction, respectively; K_{te} , K_{re} , and K_{ae} (N/mm) are the edge force coefficients in
 4 the tangential, radial, and axial directions, respectively; the θ (rad) is the instantaneous rotation
 5 angle of the cutting tool.

6 The un-deformed chip formation is directly related to the un-deformed chip cross-sectional
 7 area, edge contact length and material removal, which has a significant on SCE and cutting forces
 8 (Pawade et al., 2009). In whirling milling, based on the rotational motions of the cutting tools and
 9 workpiece (as shown in Fig. 2), the un-deformed chip formation is described to identify the cutting
 10 parameters and to calculate the un-deformed chip cross-sectional area, edge contact length,
 11 material removal volume, and MRR. According to the un-deformed chip formation in Fig. 2b, a
 12 unit un-deformed chip is formed between the i -th and $(i-1)$ -th cutting. Therefore, the calculation of
 13 the material removal volume in whirling milling differs from that in typical machining processes
 14 because of the special un-deformed chip formation. However, the un-deformed chip
 15 cross-sectional area and edge contact length are time variant, with values from zero to maximum
 16 value and returning to zero during each chip formation. Therefore, the time variant characteristics
 17 of un-deformed chips have a significant influence on the estimation of cutting forces and material
 18 removal volume, and could further affect the cutting energy for SCE prediction.

19

20 2.2. Cutting parameters identification

21 For traditional machining, a number of parameters, such as depth of cut and cutting tool axial
 22 feed, have an influence on un-deformed chip formation. However, for thread whirling milling, the
 23 four key cutting parameters are workpiece speed, cutting speed, cutting tool nose rotation radius,
 24 and number of cutting tools because of the material removal mechanism (see Fig. 2). As reported
 25 by Serizawa et al. (2015), the depth of cut in whirling milling is controlled by the workpiece
 26 rotating in the eccentric whirling tool holder. According to the un-deformed chip formation details,
 27 as described in Section 3.1, the depth of cut is geometrically expressed as $|DE|$ in the first cutting
 28 stage and $|D'E|$ in the second cutting stage. Therefore, the depth of cut in whirling milling is a
 29 dependent parameter that can be calculated as a function of eccentricity e , mounting position

angle α , instantaneous rotation angle of cutting tool θ , screw thread outer radius r_1 , cutting tool nose rotation radius R , and rotation angle β of the cutting tool between (i-1)-th cutting and i-th cutting. The mathematical formulations of $|DE|$ and $|D'E|$ will be presented in Section 3.1. In addition, the workpiece speed n_w and the axial feed v_f of the whirling tool holder are synchronized and coordinated to generate the lead of the thread. The axial feed v_f of the cutting tool is also a dependent parameter, which can be calculated as $v_f = pn_w$, where p is the lead of the screw, and n_w is the workpiece speed. Therefore, the depth of cut and axial feed of cutting tools are closely related to the four cutting parameters because of the unique characteristics of the thread whirling milling process, are required for the analytical model.

In addition, the thread helix angle is set as the inclination angle of the whirling tool holder and can be neglected for precision transmission screw parts that have a small lead or pitch (i.e., small thread helix angle). For example, Serizawa et al. (2015) neglected the influence of thread helix angle to investigate the un-deformed chip formation of whirling milling for small pitch screws. In a previous study of the authors (Wang et al., 2014), the thread helix angle was also neglected because of the negligible influence caused by the small pitch on mechanistic modeling in whirling milling. Therefore, the thread helix angle was neglected when describing the un-deformed chip formation for the analytical modeling of SCE in whirling milling. The limitation is that the proposed analytical model in this study is suitable for whirling milling of small pitch screws. The study on whirling milling for large pitch screws will be a topic for future study by the authors.

Therefore, the four cutting parameters, namely workpiece speed n_w (rpm), cutting speed n_t (rpm), cutting tools nose rotation radius R (mm), and number of cutting tools Z are identified to develop the analytical model for predicting SCE. Based on the above analysis, whirling milling is a complex machining process, and a number of assumptions are required in the development of the model. Following existing studies (i.e., Serizawa et al., 2015; Wang et al., 2014), the assumptions are described and added as follows: 1) The thread helix angle is neglected for the analytical modeling of SCE. The proposed analytical model is suitable for whirling milling of the small pitch screws, with a thread helix angle less than 3° . 2) The workpiece is set as the reference and fixed without rotating; the whirling tool holder rotates around the center of the workpiece at a radius equal to the offset and travels along the workpiece axially with the axial feed.

Fig. 3. Structure scheme of the research methodology used in this study.

2.3. Research approach

A structure scheme of the research methodology, as shown in Fig. 3, shows the approach followed in this study, including the modeling, parameter identification, model validation, and the performance analysis. Firstly, the material removal mechanism and un-deformed chip formation of whirling milling are analyzed to identify the cutting parameters for developing the SCE analytical model in this study. Based on the identified cutting parameters, the un-deformed chip cross-sectional area $A(\theta)$ and edge contact length $l(\theta)$ are determined to calculate the material removal volume and MRR. The cutting force model is then established using $A(\theta)$, $l(\theta)$, and force coefficients, where the force coefficients can be calculated by the LSS regression method that will be described in detail in Section 3.2. Subsequently, the analytical model SCE is developed as functions of cutting parameters through the cutting force, material removal volume, and MRR.

In addition, the cutting force and power curve measurements were performed in whirling milling experiments. The force coefficients were determined through the LSS regression procedure using the measured time domain cutting forces. These obtained coefficients were further used to calculate the predicted SCE according to the proposed analytical model. In addition, the experimental SCE was obtained by MRR and the measured net cutting power $P_{cutting}$. A

comparison between the predicted and experimental SCE was then conducted to validate the proposed analytical SCE model. Finally, the SCE and MRR, under different cutting parameter settings, were calculated based on the validated analytical SCE model. Both the effects of cutting parameters on SCE and MRR and the effects of MRR on SCE were analyzed. The research results can provide valuable information and guidance for optimal selection of cutting parameters to reduce SCE as well as to improve MRR.

3. Analytical SCE model of whirling milling

In this section, the material removal volume and cutting forces are both calculated in details based on un-deformed chip formation. An analytical SCE model is established as functions of cutting parameters in the whirling milling process. In addition, the MRR is also calculated and its effects on SCE are analyzed in Section 5.

3.1. Calculation of material removal volume and MRR

For traditional milling, Sealy et al. (2016b) and Liu et al.(2016a) calculated the material removal volume and MRR using milling parameters, including axial depth of cut a_p , radial depth of cut a_e , feed per tooth f_z , and cutting speed v . Compared to traditional milling, it is difficult to directly calculate the material removal volume and MRR in the whirling milling process using cutting parameters because of the values of chip cross-sectional area and edge contact length changing from zero to a maximum value and returning to zero again, as discussed in Section 2. In this study, the material removal volume of whirling milling needs to be calculated by integrating the infinitesimal volume of un-deformed chip obtained using cutting parameters.

According to Fig. 2b, the un-deformed chip formation details of whirling milling are shown in Fig. 4. This figure shows that the cutting tools engaged in whirling milling remove materials because of the previous cutting (namely (n-1) th cutting) and the subsequent cutting (namely n th cutting). Therefore, polygon AFCB is the un-deformed chip. Arcs A-C, B-C, and A-B are the trajectories of the i-th cutting, trajectories of the (i-1)-th cutting, and workpiece outer circle, respectively.

The infinitesimal volume of the un-deformed chip, as shown in Fig. 4, can be expressed as $RA(\theta)d\theta$, where R is the cutting tools nose rotation radius and $d\theta$ is the infinitesimal cutting tool rotation angle. In addition, to obtain the un-deformed chip-cross sectional area $A(\theta)$, the un-deformed chip formation is divided into two cutting stages due to the time variant

characteristics of $A(\theta)$. As shown in Fig. 4, the cutting point moves from A to F in the 1st cutting stage; the cutting tool rotation angle is θ_1 ; and $A(\theta)$ is the area of polygon GEHD based on cutting parameters. In the 2nd cutting stage, the cutting point moves from F to C; the cutting tool rotation angle is θ_2 ; and $A(\theta)$ is the area of polygon GEHD' based on cutting parameters. Finally, the material removal volume of whirling milling as well as the MRR can be calculated. In addition, the edge contact length $l(\theta)$ is also calculated as the length of arc G-H, as shown in Fig. 4, to estimate the cutting force in the following section.

Fig. 4. Un-deformed chip formation details of whirling milling.

Based on un-deformed chip formation details, the $A(\theta)$ (mm^2) and $l(\theta)$ (mm) are expressed as Eq. (2) and Eq. (3), respectively.

$$\begin{cases} A(\theta) = r_t^2 \arccos\left(\frac{r_t - |DE|}{r_t}\right) - (r_t - |DE|)\sqrt{r_t^2 - |DE|^2}, & 0 < \theta \leq \theta_1; \\ A(\theta) = r_t^2 \arccos\left(\frac{r_t - |DE|}{r_t}\right) - (r_t - |DE|)\sqrt{r_t^2 - |DE|^2} \\ \quad - (r_t^2 \arccos\left(\frac{r_t - |DD'|}{r_t}\right) - (r_t - |DD'|)\sqrt{r_t^2 - |DD'|^2}), & \theta_1 < \theta \leq \theta_1 + \theta_2; \end{cases} \quad (2)$$

where r_t is the cutting tool radius; α is the mounting position angle; eccentricity e is expressed as $e = R - r_2$; r_1 and r_2 can be calculated as $r_1 = d_1 / 2$ and $r_2 = d_2 / 2$ respectively; d_1 and d_2 are the screw thread outer diameter and root diameter, respectively, both depending upon screw thread specifications; $|DE|$, $|D'E|$, and $|D'D|$ can be geometrically given by Eqs. (2a), (2b), and (2c), respectively; and the cutting rotation angle θ_1 in the 1st cutting stage and θ_2 in the 2nd cutting stage as shown in Fig. 4 can be calculated by Eqs. (4) and (5), respectively.

$$1 \quad |DE| = |DO_{t_i}| - |EO_{t_i}| = e \sin(\alpha + \theta) + \sqrt{r_1^2 - e^2 \cos^2(\alpha + \theta)} - R \quad (2a)$$

$$2 \quad \text{Where } |DO_{t_i}| = e \sin(\alpha + \theta) + \sqrt{r_1^2 - e^2 \cos^2(\alpha + \theta)}, \quad \alpha = \frac{\pi}{2} - \angle O_w O_{t_i} A = \frac{\pi}{2} - \arccos \frac{R^2 + e^2 - r_1^2}{2eR}.$$

$$3 \quad \begin{cases} |D'E| = |D'O_{t_i}| - |EO_{t_i}| = |D'O_{t_i}| - R \\ = \left(\begin{aligned} &e(\sin \beta \cos(\alpha + \theta) + (1 - \cos \beta) \sin(\alpha + \theta)) \\ &+ \sqrt{R^2 - e^2 (\sin \beta \sin(\alpha + \theta) - (1 - \cos \beta) \cos(\alpha + \theta))^2} \end{aligned} \right) - R \end{cases} \quad (2b)$$

$$4 \quad \text{where } |O_{t_i} O_{t_{i-1}}| = \sqrt{|O_w O_{t_i}|^2 + |O_w O_{t_{i-1}}|^2 - 2|O_w O_{t_i}||O_w O_{t_{i-1}}|\cos \beta} = e\sqrt{2 - 2\cos \beta}, \quad \beta = \frac{2\pi n_w}{Zn_i},$$

$$5 \quad |D'O_{t_i}|^2 + |O_{t_i} O_{t_{i-1}}|^2 - 2|D'O_{t_i}||O_{t_i} O_{t_{i-1}}|\cos \angle D'O_{t_i} O_{t_{i-1}} = |D'O_{t_{i-1}}|^2,$$

$$6 \quad \cos \angle D'O_{t_i} O_{t_{i-1}} = \cos(\alpha + \theta - \gamma) = \cos(\alpha + \theta)\cos \gamma + \sin(\alpha + \theta)\sin \gamma,$$

$$7 \quad \cos \gamma = \frac{|O_w O_{t_i}| \sin \beta}{|O_{t_i} O_{t_{i-1}}|} = \frac{\sin \beta}{\sqrt{2 - 2\cos \beta}} \quad \text{and} \quad \sin \gamma = \frac{1 - \cos \beta}{\sqrt{2 - 2\cos \beta}}; \text{ then,}$$

$$8 \quad \begin{cases} |D'O_{t_i}| = \frac{2|O_{t_i} O_{t_{i-1}}|\cos \angle D'O_{t_i} O_{t_{i-1}} + \sqrt{(2|O_{t_i} O_{t_{i-1}}|\cos \angle D'O_{t_i} O_{t_{i-1}})^2 - 4(|O_{t_i} O_{t_{i-1}}|^2 - |D'O_{t_{i-1}}|^2)}}{2} \\ = \frac{e(\sin \beta \cos(\alpha + \theta) + (1 - \cos \beta) \sin(\alpha + \theta))}{\sqrt{R^2 - e^2 (\sin \beta \sin(\alpha + \theta) - (1 - \cos \beta) \cos(\alpha + \theta))^2}} \end{cases}.$$

$$9 \quad \begin{cases} |D'D| = |DE| - |D'E| \\ = e \sin(\alpha + \theta) + \sqrt{r_1^2 - e^2 \cos^2(\alpha + \theta)} - e(\sin \beta \cos(\alpha + \theta) + (1 - \cos \beta) \sin(\alpha + \theta)) \\ - \sqrt{R^2 - e^2 (\sin \beta \sin(\alpha + \theta) - (1 - \cos \beta) \cos(\alpha + \theta))^2} \end{cases} \quad (2c)$$

$$10 \quad \begin{cases} l(\theta) = 2r_i \arccos\left(\frac{r_i - |DE|}{r_i}\right) \\ = 2r_i \arccos\left(\frac{r_i - \left(e \sin(\alpha + \theta) + \sqrt{r_1^2 - e^2 \cos^2(\alpha + \theta)} - R\right)}{r_i}\right), \quad 0 \leq \theta < \theta_1 + \theta_2; \\ l(\theta) = 0, \quad \theta = \theta_1 + \theta_2; \end{cases} \quad (3)$$

$$11 \quad \theta_1 = \angle O_w O_{t_i} A - \angle O_w O_{t_i} B = \arccos\left(\frac{R^2 + e^2 - r_1^2}{2er_1}\right) - \arccos\left(\frac{e^2 + |O_{t_i} B|^2 - r_1^2}{2e|O_{t_i} B|}\right) \quad (4)$$

$$12 \quad \text{where } |O_{t_i} B| = \sqrt{e^2 + r_1^2 - 2er_1 \cos(\beta + \angle O_{t_i} O_w A)} \quad \text{and} \quad \angle O_{t_i} O_w A = \arccos \frac{r_1^2 + e^2 - R^2}{2er_1}.$$

$$13 \quad \theta_2 = \angle O_w O_{t_i} C + \angle O_w O_{t_i} B = \arccos\left(\frac{e^2 + R^2 - |O_w C|^2}{2eR}\right) + \arccos\left(\frac{e^2 + |O_{t_i} B|^2 - r_1^2}{2e|O_{t_i} B|}\right) \quad (5)$$

$$14 \quad \text{where } |O_w C|^2 + |O_w O_{t_i}|^2 - 2|O_w C||O_w O_{t_i}|\cos \angle CO_w O_{t_i} = |CO_{t_i}|^2, \quad \angle CO_w O_{t_i} = \pi - \frac{\beta}{2}; \quad \text{then,}$$

$$1 \quad \begin{cases} |O_w C| = \frac{2|O_w O_i| \cos \angle CO_w O_i + \sqrt{(2|O_w O_i| \cos \angle CO_w O_i)^2 - 4(|O_w O_i|^2 - |CO_i|^2)}}{2} \\ = \sqrt{R^2 - e^2 \sin^2 \frac{\beta}{2}} - e \cos \frac{\beta}{2} \end{cases}.$$

2 Then, the material removal volume V (mm^3) of per cutting and MRR (mm^3/s) are then
3 represented as:

$$4 \quad \begin{cases} V = \int dV = \int_0^{\theta_1 + \theta_2} A(\theta) R d\theta \\ = \int_0^{\theta_1} r_i^2 \arccos\left(\frac{r_i - |DE|}{r_i}\right) - (r_i - |DE|) \sqrt{r_i^2 - |DE|^2} R d\theta \\ + \int_{\theta_1}^{\theta_1 + \theta_2} \left(r_i^2 \arccos\left(\frac{r_i - |DE|}{r_i}\right) - (r_i - |DE|) \sqrt{r_i^2 - |DE|^2} \right. \\ \left. - (r_i^2 \arccos\left(\frac{r_i - |DD'|}{r_i}\right) - (r_i - |DD'|) \sqrt{r_i^2 - |DD'|^2}) \right) R d\theta \end{cases} \quad (6)$$

$$5 \quad MRR = \frac{V}{t} \quad (7)$$

6 where t (s), is the cutting time of per cutting, can be expressed as $t = 60/Zn_t$; Z is the number of
7 cutting tools; and n_t (rpm) is the cutting speed.

9 3.2. Cutting force model

10 Studies have been conducted to simulate the whirling milling process. Mohan and Shunmugam
11 (2007) simulated the tool profile of whirling milling for worm screws based on homogeneous
12 coordinate transformation of discrete surface coordinates. However, because the simulation model
13 used discrete surface coordinates, it is not suitable for investigating the un-deformed chip
14 formation which has a significant effect on SCE and cutting forces. Lee et al. (2008) simulated the
15 cutting forces of the whirling milling process based on the cutting volume and the measured SCE.
16 In this model a constant SCE is assumed. This does not reflect the fact that the SCE is a variable
17 due to the significant influence of cutting parameters on it. Moreover, a simulation model of
18 cutting forces for whirling milling was proposed by Son et al. (2010) to compare the difference
19 between cutting forces estimated by simulation software (such as DEFORM and ADAMS) and
20 those measured in experiments. There was a significant discrepancy between these values. For
21 example, the force simulations predicted 1472 N with ADAMS, and 325 N with DEFORM,
22 whereas 858 N was measured experimentally. This discrepancy could be a result of the constant
23 parameters (e.g., depth of cut) assumed in the simulation model. In addition, the number of cutting
24 tools was not considered in the simulation model. For the whirling milling process, the depth of

cut as a dependent parameter is variable and has a direct influence on the cutting cross-sectional area, as discussed above. Therefore, the cutting force model in this study is developed using the four cutting parameters (workpiece speed, cutting speed, cutting tool nose rotation radius, and number of cutting tools) and considering the variable depth of cut in the calculation of the cutting cross-sectional area.

In the whirling milling process, the cutting tools (cutting inserts) periodically cut into or out of the material in sequence because of the whirling milling material removal mechanism (Han and Liu, 2013; Serizawa et al., 2015). In addition, according to a previous study of the authors, only one of the cutting tools is used to cut during each cutting period (Wang et al., 2014). The cutting forces in whirling milling only act on the cutting tool being used to cut during its cutting period, and acts on every cutting tool periodically. As shown in Fig. 2, the cutting forces exerted on the cutting tool for whirling milling during each cutting period can be decomposed into a tangential force component $F_t(\theta)$, radial force component $F_r(\theta)$, and feed force component $F_f(\theta)$. Based on Eq. (1), it can be derived as follows:

$$\begin{cases} F_t(\theta) = K_{ts} \cdot A(\theta) + K_{tp} \cdot l(\theta) \\ F_r(\theta) = K_{rs} \cdot A(\theta) + K_{rp} \cdot l(\theta) \\ F_a(\theta) = K_{as} \cdot A(\theta) + K_{ap} \cdot l(\theta) \end{cases} \quad (8)$$

where K_{ts} , K_{rs} , and K_{as} (N/mm²) are the specific shearing force coefficients in the tangential, radial, and axial directions, respectively; K_{tp} , K_{rp} , and K_{ap} (N/mm) are the specific ploughing force coefficients in the tangential, radial, and axial directions, respectively; and $A(\theta)$ (mm²) and $l(\theta)$ (mm) are the un-deformed chip cross-sectional area and the edge contact length, respectively, at rotation angle θ , as shown in Fig. 4.

The force coefficients are typically determined based on the measured time domain cutting forces data. The standard linear least squares (LLS) method has been demonstrated to be an appropriate method to obtain the cutting coefficients with experimental data points (Liu et al., 2015; 2016a). Therefore, according to Eq. (8), the tangential and axial force coefficients in this study can be calculated by using LLS based on m selected points, as expressed in Eq. (9).

$$\begin{cases} \begin{bmatrix} K_{ts} & K_{tp} \end{bmatrix}^T = (\Theta^T \Theta)^{-1} \Theta^T \mathbf{F}_t \\ \begin{bmatrix} K_{rs} & K_{rp} \end{bmatrix}^T = (\Theta^T \Theta)^{-1} \Theta^T \mathbf{F}_r \\ \begin{bmatrix} K_{as} & K_{ap} \end{bmatrix}^T = (\Theta^T \Theta)^{-1} \Theta^T \mathbf{F}_a \end{cases} \quad (9)$$

$$\mathbf{\Theta} = \begin{bmatrix} A(\theta_1) & l(\theta_1) \\ \vdots & \vdots \\ A(\theta_i) & l(\theta_i) \end{bmatrix} \quad (9a)$$

where $\mathbf{F}_t = [F_t^1 \ \dots \ F_t^i]^T$, $\mathbf{F}_r = [F_r^1 \ \dots \ F_r^i]^T$, and $\mathbf{F}_a = [F_a^1 \ \dots \ F_a^i]^T$ are the measured force vectors of the selected tangential, radial, and axial data points, respectively; θ_i is the cutting tool rotation angle according to the i th selected data point i and $i=1, 2, \dots, m$; and $A(\theta_i)$ and $l(\theta_i)$ are the un-deformed chip cross-sectional area and edge contact length for point i , respectively. The detailed LSS regression procedure for identifying the force coefficients is presented as follows.

Step 1 Perform whirling milling experiments and measure the time domain tangential, radial, and axial cutting force profiles using the force sensor.

Step 2 Select m data points from the measured tangential, radial and axial force profiles in steady state (i.e., excluding cutting tool entry and exit).

Step 3 Calculate the rotation angle, i.e., θ_i in Eq. (9), for each selected data point by $\theta_i = 2\pi n_t t_i / 60$, where t_i is the cutting time of each selected data point.

Step 4 Construct the matrices $\mathbf{\Theta}$ based on Eqs. (2) and (3).

Step 5 Employ the LLS method in Eq. (9) to determine the cutting force coefficients based on the measured force vectors (i.e., $\mathbf{F}_t$, $\mathbf{F}_r$, and $\mathbf{F}_a$).

The average component $\bar{F}_t$, radial force component $\bar{F}_r$, and axial force component $\bar{F}_a$ can then be obtained as follows:

$$\begin{cases} \bar{F}_t = \frac{1}{\theta_1 + \theta_2} \int_0^{\theta_1 + \theta_2} F_t(\theta) d\theta \\ \bar{F}_r = \frac{1}{\theta_1 + \theta_2} \int_0^{\theta_1 + \theta_2} F_r(\theta) d\theta \\ \bar{F}_a = \frac{1}{\theta_1 + \theta_2} \int_0^{\theta_1 + \theta_2} F_a(\theta) d\theta \end{cases} \quad (10)$$

19

20 3.3. Analytical model of SCE

As discussed in Section 3.2, the cutting forces in whirling milling only act on the cutting tool being used to cut during each cutting period, which means the cutting energy E is consumed by only one cutting tool during each cutting period. The total cutting energy E_{total} consumed during the total cutting time t_{total} can then be calculated as $E_{total} = Zn_t t_{total} E$ where Z , n_t , and E are the number of cutting tools, the cutting speed, and the cutting energy contributed by one cutting tool, respectively. The total material removal volume V_{total} can be determined as $V_{total} = Zn_t t_{total} V$, where

V is the material removal volume by one cutting tool, as shown in Eq. (6). The SCE analytical model can be developed as $SCE = E_{total}/V_{total} = E/V$. The cutting energy E can be calculated based on the above cutting force model. As the radial force component $F_r(\theta)$ is perpendicular to both the tangential cutting velocity v_t in rotational motion and the axis feed speed v_f in feed motion, therefore, there is no energy consumed by $F_r(\theta)$. The tangential force component $F_t(\theta)$ and axial force component $F_a(\theta)$ are parallel to the tangential cutting velocity and axis feed speed v_f , respectively. Therefore, the cutting energy E (J) can be divided into tangential cutting energy E_t expended by $F_t(\theta)$, and axis feed energy E_f expended by $F_a(\theta)$. From Eq. (8), the cutting energy E per cutting of whirling milling can be expressed as follows:

$$E = E_t + E_f \quad (11a)$$

$$E_t = \int_0^{\theta_1+\theta_2} F_t(\theta) R d\theta = \int_0^{\theta_1+\theta_2} (K_{ts} A(\theta) + K_{tp} l(\theta)) \frac{R}{1000} d\theta \quad (11b)$$

$$\begin{cases} E_f = \int_0^t v_f F_a(\theta) dt \\ = \int_0^{\theta_1+\theta_2} \frac{pn_w}{60000} F_a(\theta) \frac{d\theta}{2\pi n_t / 60} = \int_0^{\theta_1+\theta_2} \frac{pn_w}{2000\pi n_t} (K_{as} A(\theta) + K_{ap} l(\theta)) d\theta \end{cases} \quad (11c)$$

where axis feed speed v_f (m/s) can be expressed as $v_f = pn_w/60000$; n_w (rpm) and n_t (rpm) are the workpiece speed and cutting speed, respectively; and p (mm) is the lead or pitch of the screw thread, depending on the specification. Based on Eqs. (6), (7) and (11-11b), and the definition of SCE (J/mm^3) by Bayoumi et al. (1994), the analytical SCE model can be developed as follows:

$$\begin{cases} SCE = \frac{E}{V} = \frac{E_t + E_f}{V} \\ = \frac{\int_0^{\theta_1+\theta_2} (K_{ts} A(\theta) + K_{tp} l(\theta)) \frac{R}{1000} d\theta + \int_0^{\theta_1+\theta_2} \frac{pn_w}{2000\pi n_t} (K_{as} A(\theta) + K_{ap} l(\theta)) d\theta}{V} \\ = \frac{\left(\frac{K_{ts}}{1000} + \frac{pn_w K_{as}}{2000\pi R n_t} \right) \int_0^{\theta_1+\theta_2} A(\theta) R d\theta + \left(\frac{K_{tp}}{1000} + \frac{pn_w K_{ap}}{2000\pi R n_t} \right) \int_0^{\theta_1+\theta_2} l(\theta) R d\theta}{V} \end{cases} \quad (12a)$$

The SCE (J/mm^3) is then calculated as Eq. (12b) by substituting the material removal volume (Eq. (6)) and MRR (Eq. (7)).

$$\begin{cases} SCE = \frac{K_{ts}}{1000} + \frac{pn_w K_{as}}{2000\pi R n_t} + \left(\frac{K_{tp}}{1000} + \frac{pn_w K_{ap}}{2000\pi R n_t} \right) \frac{R \int_0^{\theta_1+\theta_2} l(\theta) d\theta}{MRR t} \\ = \frac{K_{ts}}{1000} + \frac{pn_w K_{as}}{2000\pi R n_t} + \left(\frac{K_{tp}}{1000} + \frac{pn_w K_{ap}}{2000\pi R n_t} \right) \frac{Z n_t R \int_0^{\theta_1+\theta_2} l(\theta) d\theta}{60 MRR} \end{cases} \quad (12b)$$

4. Experimental work

This section presents the detailed experimental design and setup used to determine the cutting force coefficients and net cutting power. The experimental SCE was obtained as the ratio of net cutting power decomposed from the measured power curve to MRR. Accordingly, the results of predicted and experimental SCE were compared to validate the proposed analytical model.

Fig. 5. Experimental data measurement configuration. ① Whirling tool holder; ② Workpiece; ③ Piezoelectric force sensor (Kistler 9602A); ④ Cutting tool; ⑤ Slip ring; ⑥ Data acquisition equipment (PROSIG P8020); ⑦ HIOKI PW3365-30 clamp-on power quality analyzer meter.

4.1. Experimental design and setup

To validate the proposed analytical SCE, a series of experiments were conducted by whirling milling a ball screw shaft on a CNC whirling machine (HJ092 x 80) which was developed by Hanjiang Machine Tool Co., Ltd, China. The PCBN cutting tools ($r_t = 3.304$ mm) were used. The test workpiece material selected for the experiments under dry cutting condition was GCr15 bearing steel (AISI 52100, approximately 62 HRC after heat treatment), which is one of the most common materials used for transmission parts. Its chemical composition, the properties of the workpiece material, and dimension parameters of the workpiece are presented in Table 1. The test sample was a cylindrical bar (after heat treatment) with dimensions of $\varnothing 78.5$ mm $\times$ 2000 mm.

Table 1

Chemical composition and properties of workpiece material and dimension parameters of workpiece.

Chemical composition (wt%)		C (0.98); Cr (1.5); Mn (0.35); Si (0.21); S (0.02); P (0.021); Fe (Balance)
Properties	Density (kg/m^3)	7810
	Young's modulus (GPa)	201
	Hardness (HRC)	62
	Poisson's ratio	0.277
	Thermal conductivity (W/(m K))	46.6
Dimension parameters of workpiece	Outer diameter d_1 (mm)	78.5
	Root diameter d_2 (mm)	73.8
	Lead of thread p (mm)	10

The experimental data measurement configuration is shown in Fig. 5. A piezoelectric force sensor (Kistler 9602A), with integrated charge amplifier electronics was installed on the tool apron and rotated with the cutting tool to collect the cutting forces. Because of the rotation of force sensor with the cutting tool, a slip ring was used to connect the force sensor and the data acquisition equipment. A data acquisition unit (PROSIG P8020, Prosig Ltd, USA) was used to collect the cutting force data. The power curve of the CNC whirling machine in whirling milling was measured with a clamp-on power quality analyzer meter produced (HIOKI PW3365-30, HIOKI Company).

As analyzed in Section 2, the cutting parameters are cutting speed n_t , workpiece speed n_w , number of cutting tools Z , and tool nose rotation radius R . In addition, the values of these parameters were selected according to the forming requirements of the screw surfaces and capacity of the whirling machine tools in accordance with manufacturer recommendations. The experiments were performed with the four parameters at three levels, as presented in Table 2. There was a total of 81 parameter settings without any design of experiment (DOE) method. Hence, the Taguchi method was chosen in the experiment design due to its widely accepted benefits (Camposeco-Negrete, 2013). The combinations of cutting parameter in experiments can be determined using the Taguchi method, as shown in Table 3. Each set of cutting parameters was tested three times so that to reduce random experimental errors. In addition, the bandwidths of the cutting force measurement were measured, by the institute of the authors (Ni and Li, 2015), to be approximately 1080 Hz, 942 Hz, and 1200 Hz for the tangential, radial, and axial forces, respectively. The cutting forces in the experiments could be effectively measured when the bandwidth was sufficiently large compared to the maximum tooth passing frequency, i.e., 200 Hz ($n_t = 1500$ rpm and $Z = 8$).

Table 2

Levels of cutting parameters used in whirling milling experiments.

Parameters	Levels
Cutting speed n_t (rpm)	500, 1000, 1500
Workpiece speed n_w (rpm)	2, 5, 8
Number of cutting tools Z	4, 6, 8
Cutting tool nose rotation radius R (mm)	40, 45, 50

Table 3

Cutting parameters in experiments.

Test group no.	Cutting speed n_t (rpm)	Workpiece speed n_w (rpm)	Number of cutting tools Z	Cutting tool nose rotation radius R (mm)
1	500	2	4	40
2	500	5	6	45
3	500	8	8	50
4	1000	2	6	50
5	1000	5	8	40
6	1000	8	4	45
7	1500	2	8	45
8	1500	5	4	50
9	1500	8	6	40

4.2. Measurement and model validation

4.2.1. Predicted SCE

The cutting force coefficients required in the analytical SCE model should be determined according to Eq. (12b) in Section 3.3. In the experiments, the forces under the same conditions in each cutting test were measured three times. Liu et al. (2015; 2016a) reported that the measured cutting force profiles under one test group 1 was used to calculate the force coefficients, and the measured profiles under the other test groups (2–9) were used to validate and adjust the obtained coefficients. Therefore, the K_t and K_a were obtained according to Eq. (9) in Section 3.2 by using 1000 measured experimental data points of $F_t(\theta)$ and $F_a(\theta)$, respectively, for test group 1 in Table 3. Based on the LLS, the obtained force coefficients were $K_{ts}=1040.54 \text{ N/mm}^2$, $K_{tp}=2.47 \text{ N/mm}$, $K_{as}=300.85 \text{ N/mm}^2$ and $K_{ap}=2.00 \text{ N/mm}$. The confidence interval of the identified force coefficients was 95%

To validate the effectiveness of the obtained cutting force coefficients, the predicted cutting force profiles were compared to the measured profiles of $F_t(\theta)$ and $F_a(\theta)$ in test group 6, as shown in Fig. 6. The rotation angles (θ in Fig. 6) of the cutting tool positions were determined by using the cutting time t (s), i.e., $\theta = 2\pi n_t t / 60$, where n_t is cutting speed (rpm). A good agreement

was observed between the predicted cutting forces and the measured data, both in tendency and magnitude. In addition, using Eq. (10), the average predicted cutting forces were calculated to compared with the measured results under test group 2–9 (see Fig. 7), which could guarantee that the obtained coefficients could be appropriately used to predict cutting forces with a smaller than 9% of prediction error in whirling milling. The predicted SCE can be obtained according to Eq. (12b) based on the cutting parameters and obtained cutting force coefficients.

Fig. 6. Comparison between estimated and measured cutting force profiles of $F_t(\theta)$ and $F_a(\theta)$ in test group 6.

Fig. 7. Average cutting forces for different test group of cutting parameters.

4.2.2. Comparison between predicted and experimental SCE

As discussed above, the experimental SCE could be obtained as the ratio of net cutting power in experiments to MRR (i.e., $SCE = P_{cutting}/MRR$), and the net cutting power $P_{cutting}$ can be decomposed from the power curve monitored during machining experiments in accordance with the approach used in the literature (Yoon et al., 2014). Fig. 8 shows a decomposition example of the net cutting power using the measured power curve of test group 1 in whirling milling. The net cutting power $P_{cutting}$ can be calculated as the difference between normal power P_{normal} during the normal cutting stage and the air cutting power P_{air} . Then, the experimental SCE can then be determined using the net cutting power $P_{cutting}$ and MRR under each test group of cutting parameters in Table 3. In addition, the method proposed by Satyarthi and Pandey (2013) was employed to validate the rightness of Eq. 7 for calculating MRR. Based on this method, the experimental MRR in mm^3/s is calculated as the ratio of experimental MRR in mg/s to the density

(i.e., 7.81 mg/mm³ obtained by Table 1) of the workpiece material. The experimental MRR in mg/s is the rate of the removal weight of workpiece material that is obtained by weighting the workpiece before and after cutting. The predicted MRR in mm³/s was calculated by Eq. (7), and the comparison between the predicted and experimental MRR is presented in Table 4. It can be observed that the maximum error in calculating the MRR is 2.1% for the 9 test groups.

Table 4

The comparison of the predicted and experimental MRR and specific cutting energy in whirling milling.

Test group no.	MRR (mm ³ /s)			Specific cutting energy ($\times 10^{-2}$ J/mm ³)		
	Predicted	Experimental	Error (%)	Predicted	Experimental	Accuracy (%)
1	85.2	84.6	0.7	135.3	130.0	96.0
2	212.8	209.6	1.5	115.9	110.5	95.2
3	340.3	334.3	1.8	112.1	117.2	95.7
4	85.2	84.3	1.1	151.9	139.7	91.3
5	212.8	211.1	0.8	153.9	141.6	91.3
6	340.3	335.5	1.4	113.9	107.3	93.8
7	85.2	84.3	1.1	221.0	204.5	91.9
8	212.8	208.8	1.9	123.2	117.4	95.0
9	340.3	333.3	2.1	139.1	127.3	90.7

Fig. 8. Decomposition example of net cutting power $P_{cutting}$ test group 1 in the CNC whirling machine.

In order to validate the analytical model, the predicted SCE in whirling milling was obtained using the analytical model in Eq. (12b). The comparison between predicted and experimental SCE in Table 4 indicates that the prediction accuracy is greater than 90%, which means that the proposed analytical model for whirling milling process can be employed for a reliable prediction of SCE under a given set of cutting conditions.

5. Results and discussion

In this section, after the model validation in Section 4, the SCE and MRR under different cutting parameter settings are calculated based on the validated analytical model (Eq. (12b)). The effects of both the cutting parameters on SCE and MRR and the effects of MRR on SCE was

analyzed.

5.1. Effects of cutting parameters on SCE and MRR

The effects of cutting parameters on SCE are presented here, as shown in Fig. 9. In addition, according to the analysis in Section 2, the effects of cutting parameters on MRR (see Fig.8) are also required.

For the SCE, it can be found that it increases linearly with increasing cutting speed n_t , as shown in Fig. 9a. Fig. 9c shows a similar linear increasing variation of SCE with an increase in the number of cutting tools Z . By contrast, the nonlinear decreasing variations in SCE with workpiece speed n_w and number of cutting tools Z are shown in Fig. 9b and d, respectively. The SCE decreased sharply with increasing n_w , as shown in Fig. 9b, but remained steady with increasing R , as shown in Fig. 9d. In particular, it is noted in Fig. 9b that the SCE decreased by more than a factor of three (i.e., from greater than $450 \times 10^{-2} \text{ J/mm}^3$ to less than $150 \times 10^{-2} \text{ J/mm}^3$) with the workpiece speed n_w increasing from 0.5 to 5 rpm, and then remained approximately constant despite a further increase of n_w from 5 to 10 rpm. This means that, when the workpiece speed n_w was less than 5 rpm, it had a dominant effect on the SCE compared to n_t , Z , and R . In addition, it can be seen from Fig. 9 that the effects of n_t , n_w , Z , and R were approximately the same order of magnitude for SCE when $n_w \geq 5 \text{ rpm}$.

For the MRR, it can be observed in Fig. 9a that the variation in MRR with increasing cutting speed n_t was a horizontal line (i.e., constant value) for the different combinations of n_w , Z , and R . Similar results can be observed where the MRR remained constant despite the increasing number of cutting tools Z and tool nose rotation radius R in Fig. 9c and d, respectively. However, in Fig. 9b, compared to the effects of n_t , Z , and R on MRR, it can be observed that MRR increased linearly with increasing workpiece speed n_w . This means that workpiece speed n_w was the dominant cutting parameter affecting on MRR, while cutting speed n_t , number of cutting tools Z , and tool nose rotation radius R had little effect. Therefore, the workpiece speed n_w could be increased to improve MRR (i.e., cutting rate) and to further reduce the cutting time for energy saving.

The above results could be used for the optimal selection of cutting parameters, and could enable process planners and operators to select a low cutting speed n_t , small number of cutting tools Z , high workpiece speed n_w (specifically $n_w \geq 5 \text{ rpm}$), or large tool nose rotation radius R to reduce SCE and high workpiece speed n_w to improve MRR.

Fig. 9. The effects of cutting parameters on specific cutting energy and MRR.

5.2. Effects of MRR on SCE

As analyzed in Section 5.1, the workpiece speed n_w was set as 5 rpm (i.e., MRR=212.8 mm³/s) and 8 rpm (i.e., 340.3 mm³/s). Fig. 10 shows the effects of MRR on SCE. The trends for SCE are shown in Fig. 10a, c, and e (MRR = 212.8 mm³/s) and Fig. 10b, d, and f (MRR = 340.3 mm³/s).

It can be observed from Fig. 10a, c, and e that, with the MRR at 212.8 mm³/s in response to the varying number of cutting tools and the cutting speed, the SCE ranges varied from 105.8 to 143.9 $\times 10^{-2}$ J/mm³, 106.3 to 135.3 $\times 10^{-2}$ J/mm³, and 108.4 to 166.4 $\times 10^{-2}$ J/mm³, respectively. This means that SCE varied, even at the same MRR. Similar results can be observed where the SCE varied from 105.2 to 129.0 $\times 10^{-2}$ J/mm³ (Fig. 10b), 105.5 to 123.6 $\times 10^{-2}$ J/mm³ (Fig. 10d), and 106.8 to 143.0 $\times 10^{-2}$ J/mm³ (Fig. 10f) at the same MRR of 340.3 mm³/s. Based on the abovementioned discussion, these results can contribute to reduce the SCE by selecting appropriate cutting parameters without impacting on the MRR (i.e., cutting rate). Fig. 10 also suggests that the optimal (minimal) SCE was 105.8 $\times 10^{-2}$ J/mm³ at an MRR of 212.8 mm³/s, with cutting parameters $n_t = 250$ rpm, $n_w = 5$ rpm, $Z = 2$, and $R = 50$ mm, as in shown in Fig. 10a.

1 Similarly, at an MRR of $340.3 \text{ mm}^3/\text{s}$, the optimal (minimal) SCE of $105.2 \times 10^{-2} \text{ J/mm}^3$ was
 2 obtained under the cutting parameters $n_t = 250 \text{ rpm}$, $n_w = 8 \text{ rpm}$, $Z = 2$, and $R = 50 \text{ mm}$, as
 3 shown in Fig. 10b.

4 In addition, Fig. 10 also shows that the ranges of SCE varied from 105.2 (see Fig. 10b) to 166.4
 5 $\times 10^{-2} \text{ J/mm}^3$ (see Fig. 10e) with the variable MRR changing from 212.8 to $340.3 \text{ mm}^3/\text{s}$. From Fig.
 6 10, the SCE can be reduced by $61.2 \times 10^{-2} \text{ J/mm}^3$ and the MRR can be increased by $127.5 \text{ mm}^3/\text{s}$
 7 by selecting the optimal of cutting parameters $n_t = 250 \text{ rpm}$, $n_w = 8 \text{ rpm}$, $Z = 2$, and $R = 50 \text{ mm}$
 8 (see Fig. 10b). Therefore, this implies that these results can be used for the optimization of the
 9 process parameters for minimizing SCE as well as simultaneously improving MRR (i.e., cutting
 10 rate).

Fig. 10. Effects of MRR on specific cutting energy (SCE).

6. Conclusions

It has been approved that the SCE is significantly affected by cutting parameters and material removal mechanism within different machining processes. In previous studies, the SCE model in typical machining processes (e.g. turning and milling) was developed, and its variation under different cutting parameters was also analyzed. However, to date, the SCE of the whirling milling process has not been investigated because of the unique cutting parameters and material removal mechanism. This study proposed an analytical model for predicting SCE of the whirling milling process and investigated the understanding of the basic SCE characteristics and the variation in SCE under a wide range of cutting parameters and MRR. This study adds value for predicting the SCE and providing valuable information for the optimal selection of cutting parameters to reduce SCE, as well as to improve MRR for promoting energy efficiency and cutting rate in the promising whirling milling process, while machining precision transmission screw thread parts for modern advanced equipment. The primary conclusions drawn are as follows:

1. The SCE characteristics based on the material removal mechanism of whirling milling was investigated in detail. An analytical model for predicting SCE of the whirling milling process was developed as functions of cutting parameters.
2. The proposed analytical SCE model was implemented and validated in whirling milling a ball screw shaft on a CNC whirling machine. Comparison of the predicted and experimental SCEs indicated a prediction accuracy greater than 90%, and the proposed model exhibited a satisfactory forecasting performance to predict the SCE of the whirling milling process for a given set of cutting parameters.
3. For the case study, this study indicated that a workpiece speed $n_w < 5$ rpm had the greatest

effect on the SCE of all cutting parameters. In addition, the effects of the four cutting parameters analyzed in this study were approximately of the same order of magnitude. It was suggested that a lower cutting speed n_t , smaller number of cutting tools Z , higher workpiece speed n_w , and higher tool nose rotation radius R were beneficial for reducing the SCE of the whirling milling process. In particular, the workpiece speed n_w should not be set less than 5 rpm to reduce the SCE in ball screw shaft whirling milling.

4. The workpiece speed n_w was the dominant parameter affecting the MRR of the whirling milling process, with the other parameters having a minimal effect. A high workpiece speed n_w can be used to improve MRR (i.e., cutting rate), thereby reducing the cutting time for energy saving.
5. For the same MRR, the SCE of whirling milling process varied with the cutting parameters, which can be used to reduce the SCE without reducing the MRR.
6. The SCE and MRR of the whirling milling process could be optimized simultaneously by employing the proposed analytical model with the cutting parameters as the variables. For the case study, the SCE of the whirling milling could be reduced by $61.2 \times 10^{-2} \text{ J/mm}^3$ and, simultaneously, the MRR could be increased by $127.5 \text{ mm}^3/\text{s}$ by the suggested selection of optimal cutting parameters: $n_t = 250 \text{ rpm}$, $n_w = 8 \text{ rpm}$, $Z = 2$, and $R = 50 \text{ mm}$.

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Highlights

- An analytical model for predicting specific cutting energy of whirling milling is proposed.
- Effects of cutting parameters and MRR on specific cutting energy of whirling milling are analyzed.
- The specific cutting energy characteristics based on material removal mechanism of whirling milling are investigated.
- The valuable information and guidance are obtained to minimize specific cutting energy and improve MRR.